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6 -v- : 7 RAPOWER-3, LLC, INTERNATIONAL :	7		
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8 LTB1, LLC, R. GREGORY : NELDON JOHNSON	9		
SHEPARD, NELDON JOHNSON, and	10		
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1	Exhibit 480 Cease and Desist Letter 257		and I know that there is one, and I think it's
2	Exhibit 507 U.S. Securities and Exchange 42		applicable.
3	Commission Form 10-K for	3	MS. HEALY-GALLAGHER: Well, there are
4	International Automated	1	provisions in the protective order for invoking it, so
5	Systems, Inc.		that's up to you at your discretion.
6	Exhibit 508 Profile of LTB, LLC 57	6	Mr. Don Reay is not present today. He
7	Exhibit 509 Audio disk 87		represents R. Gregory Shepard and Roger Freeborn.
8	Exhibit 510 Multiple contracts between 126	8	And, Mr. Snuffer, am I correct you also
9	Rick Rower and XSun Energy		represent International Automated Systems, RaPower-3
10	Exhibit 511 RaPower-3 Equipment Purchase 218		LLC, and LTB1, LLC?
11	Agreement	11	MR. SNUFFER: Right. All of all of
12	Exhibit 512 Operation and Maintenance 218	1	those parties. But today's deposition is, as I
13	Agreement		understand it, of Neldon Johnson individually.
14		14	MS. HEALY-GALLAGHER: That's correct.
15	Exhibit 513 Handwritten diagram 245	15	MS. HINES: Erin R. Hines, also appearing
16	Exhibit 514 E-mail string dated 8-31-11 267		on behalf of the United States.
17	Exhibit 515 Memorandum of Understanding 276	17	MS. HEALY-GALLAGHER: And we have
18	dated December 20, 2013	18	Christopher Moran on the phone.
19	Exhibit 516 E-mail from Roger Hamblin 280	19	Also present with us in the room are
20	dated April 12, 2014, with		Dr. Tom Mancini and Mrs. Glenda Johnson.
21	attachment	21	All right. This deposition will be
22		22	governed by the Federal Rules of Civil Procedure and
23		23	the rules of the District of Utah.
24		24	The exhibits will be marked and kept here
25		25	for the course of this week of depositions, after
	Page 6		Page 8
1	June 28, 2017 9:10 a.m.	1	which time they'll go with the court reporter.
2	PROCEEDINGS	2	And we'll address any other stipulations
3		3	as the need arises.
4	NELDON JOHNSON,	4	
5	called as a witness, having been duly sworn,	5	EXAMINATION
6	was examined and testified as follows:	6	BY MS. HEALY-GALLAGHER:
7		7	Q. Would you please, sir, state your name
8	MS. HEALY-GALLAGHER: All right. We're	8	and address for the record.
9	on the record in the case of the United States v.	9	A. Yes. Neldon Paul Johnson, and my mailing
10	RaPower-3, et al., on June 28th, and we're starting at	10	address is 2730 West 4000 South, Oasis, Utah.
11	approximately 9:10 a.m.	11	Q. Is that your home address or simply where
12	My name is Erin Healy-Gallagher of the	12	you receive mail?
13	U.S. Department of Justice's Tax Division, appearing	13	A. That's where I receive the mail.
14	on behalf of the United States.	14	Q. Would you give me the city and state of
15	Counsel, would you please make your	15	your home address.
16	appearance.	16	A. I don't know it. I never use it. Do you
17	MR. SNUFFER: My name is Denver Snuffer,	17	want me to ask my wife and see what my address is?
	and I'm on behalf of Mr. Neldon Johnson today.	18	MR. SNUFFER: If you don't know, you
19	It's my understanding that there is a		don't know.
	protective order that's in place in this case, and	20	THE WITNESS: I don't know the address.
	it's also my understanding that whatever the scope of	21	Q. BY MS. HEALY-GALLAGHER: Do you know what
	the questions are today, that the protective order		county you live in?
	would apply to the testimony of this witness.	23	A. What is it? Millard County? Yeah,
24	And I haven't seen the protective order,		Millard County.
	haven't read it, but I discussed it with the client	25	Q. Utah, yes?
1 20			

Johnson, Neldon Pages 5 - 8

1 A. Millard County, Utah.

- 2 Q. Yes?
- 3 A. Yes, uh-huh.
- 4 Q. As I just said, my name is Erin
- Healy-Gallagher, and I'll be taking your depositiontoday.
- 7 A. Okay.
- 8 Q. Have you ever been deposed before?
- 9 A. Yes.
- 10 Q. We'll talk about those depositions in a
- 11 bit. For right now, I'd like to cover the ground
- 12 rules for this deposition. You may have discussed
- 13 this with Mr. Snuffer, but I find it's helpful that we
- 14 get on the same page right at the front end.
- 15 In this deposition I will ask you
- 16 questions. My questions and your answers will be
- 17 recorded by the court reporter that we have here next
- 18 to us. So you need to speak loudly enough for her to
- 19 hear you and answer my questions verbally.
- 20 Do you understand?
- 21 A. Uh-huh. Yes, I do.
- 22 Q. For example, the court reporter cannot
- 23 record a nod or a shake of the head, and words like
- 24 uh-huh or huh-uh are not -- do not create a good25 transcript.
  - Page 10
- 1 A. Utah, it's going to be a real problem for
- 2 us.
- 3 Q. Well, I will --
- 4 A. I'm just joking.
- Q. I will let you know if I need a differentanswer.
- 7 A. I'm just being funny. I shouldn't do
- 8 that. I'm sorry.
- 9 Q. Okay. We also have a tendency, in casual
- 10 conversation, to speak over one another. For example,
- 11 to start answering a question before it's finished
- 12 being asked. In this context here, please wait until
- 13 I'm finished asking my question before you start your
- 14 answer.
- 15 A. I will. Thank you.
- 16 Q. When I do finish asking each question,
- 17 your task for today is to give full and complete
- 18 answers.
- 19 Do you understand that obligation?
- 20 A. Yes, I do.
- 21 Q. It's my obligation to ask understandable
- 22 questions to you. So if you don't understand a
- 23 question for any reason, please let me know and I'll
- 24 try to ask a better one.
- 25 Will you do that?

1 A. Yes.

- 2 Q. Occasionally, another attorney may object
- 3 to a question that I ask. The objection is simply to
- 4 make a note on the record. So unless your attorney
- 5 instructs you not to answer to protect a privilege,
- 6 you need to go ahead and answer my question.
- 7 Do you understand?
- 8 A. Yes, I do.
- 9 Q. Sometimes it will happen that you may
- 10 give an answer as completely as you can, but then
- 11 later on, whether it's a couple minutes or a couple of
- 12 hours later, you may remember additional information
- 13 or different information that would clarify or correct
- 14 a previous answer.
  - When that occurs, if it occurs, please
- 16 let me know and we'll just clear up the record right
- 17 then.

15

- 18 Will you do that?
- 19 A. Yes. Thank you.
- 20 Q. And I'll also try to give you some
- 21 opportunities for that throughout the course of the
- 22 day.
- 23 A. Okay.
- 24 Q. And when you're answering a question, if

2 let me know and we'll see if we have them here.

25 you think that there's a document or some documents

Page 12

- 1 that may help you remember the correct answer, please
  - 3 A. Okay.
  - 4 Q. I'll try to remember to break every
  - 5 90 minutes or so, but if you need a break at any time,
  - 6 please let me know.
  - 7 The only thing that I will ask is that if
  - 8 there's a question pending, you must complete your
  - 9 answer to the question before we take a break.
  - 10 Do you understand?
  - 11 A. Yes, I do. Thank you.
  - 12 Q. All right. Mr. Johnson, we're here today
  - 13 to get as accurate a record as we can of the facts of
  - 14 the case as you know them. So I have to ask if
  - 15 there's anything that would prevent you from
  - 16 understanding or answering my questions with the full
  - 17 capacity of your recollection today?
  - 18 A. I don't believe so.
  - 19 Q. Okay. Are you taking any drugs or
  - 20 medications of any kind that might interfere with your
  - 21 memory?
  - 22 A. No
  - 23 MR. SNUFFER: You do have a cold today,
  - 24 though; right?
  - 25 THE WITNESS: Yeah, but I don't take any

-

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1 medication for it.

- 2 MR. SNUFFER: Okay.
- THE WITNESS: I just -- it's just a light 3
- 4 -- it's just a little light snuffling and sniffles, or
- 5 whatever. I hope it's not contagious, but I don't
- 6 know.
- 7 Q. BY MS. HEALY-GALLAGHER: Are you
- 8 currently under a doctor's care for any illness?
- 9 No. I'm not.
- 10 Q. Is there any other reason you can think
- 11 of why you may not be able to answer my questions
- 12 fully and accurately today?
- 13 Α. No, I don't believe so.
- Have you had anything alcoholic to drink 14
- 15 in the last eight hours?
- 16 A. No.
- 17 You sound like my bishop now.
- Mr. Johnson, what's your date of birth? 18 Q.
- January 31, 1946. 19 Α.
- To prevent me from having to do math, how 20 Q.
- old does that make you today? 21
- 22 71 years old. Almost as old as he -- oh,
- 23 no, not even close.
- 24 Are you married? Q.
- 25 Α. I am.

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23

3

- To whom? 1 Q.
- 2 Glenda E. Johnson. Α.
- Do you have any children? 3 Q.
- I have four children. Three boys, one 4 Α. 5 daughter.
- 6 Q.
- And actually real quick, how long have 7 you been married to Mrs. Johnson?
- Going to get me in trouble. What, 16, 17 8
- 9 -- 16 years? Almost -- yeah, it's over 16 years;
- 10 right? 16 years, yeah.
- Were you married before Mrs. Johnson --11
- 12 the current Mrs. Johnson?
- I was married before, yes. 13 Α.
- 14 Q. To whom?
- 15 Ina Marie Jolly Johnson. Α.
- How long were you married to her? 16 Q.
- 17 Α. 37 years.
- Any other marriages besides to the two 18
- 19 women you've identified --
- 20 Α. No.
- 21 Q. -- already?
- 22 Α. No.
- 23 Q. Let's go to your children. What are
- 24 their names?
- 25 First one is Donnel, D-O-N-N-E-L, Paul Α.

- 1 Johnson. LaGrand Todd Johnson. Randale -- I can't
- 2 think of his middle name -- oh, no, it's Donnel Robert
- 3 Johnson, and it's Randale Paul Johnson. And Brenda
- 4 Marie Johnson.
- 5 When you get older you get a little
- 6 senile, so it's okay.
- 7 Are you under a doctor's care for any --
- Α. 8
- 9 Q. -- mental condition?
- 10 Α. No, I'm just teasing. I just -- I just
- 11 got the two names mixed up.
- Have any of your children ever gone by 12
- 13 any other names?
- 14 Α. No.
- 15 Q. Have you ever gone by any other name?
- 16 Α. No.
- 17 Q. Mr. Johnson, one of the depositions that
- 18 you have given was to the Securities Exchange
- 19 Commission; correct?
- 20 Α. It is, correct, yes.
- 21 All right. And in that deposition you Q.
- 22 went through some of your education and background.
  - Do you remember that?
- 24 Probably not. It's been a long time ago. Α.
- 25 Okay. I'm just prefacing that by saying

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- 1 I'm going to pick up where they left off, because we
- 2 already have that information from that deposition.
  - Α. Okay.
- Q. 4 I'm going to start us at about 2001 --
- 5 Α.
- -- which is when that deposition was 6 Q.
- 7 taken.
- And I'm going to ask: Have you had any 8
- 9 formal education on any topic from 2001 to the
- 10 present? For example, a degree program, a certificate
- 11 program?
- 12 Α. I think I was in -- I got my pilot's
- 13 license. I think that's -- was through, I think, a
- 14 college, but I'm not positive. There was some college
- 15 credits involved, but I'm not sure. But I don't
- 16 believe I've done anything else. I can't think of
- 17 anything.
- Have you had any formal education in the 18 Q.
- 19 area of solar energy technology?
- 20 Α. No, huh-uh.
- 21 Had you had any education in the field of
- 22 solar energy technology before 2001?
- 23 No, I haven't.
- 24 I take that back. When I was in college,
- 25 in my first years of college, we -- they were just

- 1 changing from -- from tube technology to solid state,
- 2 and I was one of the first classes to learn solid
- 3 state technology.
- 4 And in that we used some photovoltaic
- 5 systems that were being early developed, and some of
- 6 those, I think, were copper sulfate or copper --
- 7 copper -- I can't think of the name.
- 8 It was -- it wasn't copper sulfate. It
- 9 was -- but it was a copper solar energy that was just
- 10 coming out, and then we were just exploring
- photovoltaic at the time. So it's been a long time 12 ago.
- 13 Q. Approximately what year was that?
- 14 Α. '64 -- '64, I believe. 1964.
  - Any other education in solar energy Q.
- 16 technology besides what you just described?
- No, I haven't had any. 17 Α.
- Since 2001 have you had any education of 18 Q.
- any kind in the field of federal taxation? 19
- 20 No, I have not.

15

- Q. Before 2001 had you had any education in 21
- 22 the field of federal taxation?
- 23 Well, we -- I probably took -- had taken
- 24 some business classes during my tenure in college.
- 25 I'm not positive, but it seemed like in some of the

- 1 Q. I'll ask that question.
  - 2 Do you receive a Form W-2 from any of the

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Page 20

- 3 entities that you identified?
- 4 No. I -- I think -- no, I don't think
- 5 so. I don't think I get...
- Q. Okay. We'll talk about those entities in 6 7 a moment.
- Mr. Johnson, do you recognize the e-mail 8 9 address Neldon@IAUS.com?
- 10 Yes. That's my -- that's my personal
- 11 e-mail system, I think, yeah.
  - Q. That's your e-mail address?
- 13 Α. Yes. That's my e-mail address, yes.
- And do you recognize the e-mail 14 Q.
- 15 address -- I'm sorry, let me take -- let me withdraw
- 16 that.

12

- 17 So if people want to contact you, they
- 18 can reach you at Neldon@IAUS.com?
- Well, if I look at it. I don't normally 19
- 20 even pay much attention to it, unless somebody tells
- 21 me to look at something. And if they do, then I'll
- 22 look at it. But normally I don't -- I don't spend
- 23 much time looking at the system.
- 24 If you e-mail me and you didn't tell me,
- 25 chances are you wouldn't get a response.

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- 1 business classes there was some information on some 1
- 2 taxations. But I'm not -- it's been such a long time
- 3 ago, I really can't be sure.
- Q. About when would that have been? 4
- 5 '64, something like that.
- 6 Q. Any other education on federal taxation,
- 7 other than those classes you mentioned around 1964?
- 8 No, I haven't. I did develop a program.
  - Q. That's okay, Mr. Johnson. If it's not an
- 10 education, the question is complete.
- 11 A. Okav.

9

- 12 Q. Since 2001 -- withdraw that.
- Since 2001, have you been an employee of 13
- 14 any other person or entity?
- 15 I'm considered an employee of, I think,
- 16 International Automated Systems, RaPower, Cobblestone
- Central, BLT, DCL16 something, Solstice, XSun --17
- Q. I'm sorry? 18
- Solstice. 19 A.
- 20 Q. Could you spell that, please.
- Probably not. I -- I think it's
- 22 S-O-L-I-C-E [sic], but I'm not positive. And I think
- 23 that's all. I'm not sure, really, I'm an employee. I
- 24 don't get paid, so is that -- is that considered
- 25 employee?

- Q. Do you recognize the email address
- GlendaEJohnson@hotmail.com? 2
- That's my wife's e-mail. 3 Α.
- Q. What about the e-mail address 4
- 5 RJ@IAUS.com?
- 6 I believe that's my son Randy. Randy Α.
- 7 Johnson's.

17

- 8 Q. Mr. Johnson, have you ever published any
- papers or books on solar energy technology?
- 10 A. Well, I -- I've written quite a bit for
- 11 the website. Would that be considered a publication?
- 12 Q. Which website is that?
- For International Automated Systems. 13 Α.
- 14 Q. Has anything you've written ever been
- 15 subject to peer review?
- 16 Yeah, I think so.
  - Well, I don't know how you classify peer
- 18 -- peer review, but we've had white papers where we've
- 19 hired people to come and evaluate and get their
- 20 assessment as a -- as professionals in their fields.
- 21 Mr. Johnson, are you familiar with the
- 22 process of submitting a paper to a journal, for
- 23 example, that is peer reviewed?
- I don't know. I don't know what that 24
- 25 process would be.

Q. Have you ever submitted any of your

- 2 writings to a journal to be peer reviewed by that
- 3 journal?

7

- 4 A. I don't know. I was invited to speak at,
- 5 I think, the Royal Academy of Sciences in London.
- 6 Q. Mr. Johnson, that's not my question.
  - A. I don't know what the question is, then.
- 8 MS. HEALY-GALLAGHER: Could you read back
- 9 my question.
- 10 (The record was read as follows:
- 11 "Q. Have you ever submitted any of
- 12 your writings to a journal to be peer
- 13 reviewed by that journal?")
- 14 THE WITNESS: No, I don't believe I have.
- 15 Q. BY MS. HEALY-GALLAGHER: Have you ever
- 16 submitted anything you've written to any other person
- 17 or entity for peer review?
- 18 A. Other than just the patent office. Is
- 19 that -- would that be considered a peer review of some
- 20 sort?
- 21 Q. If that's your answer, that's your
- 22 answer.
- 23 A. I'm not sure it is. I don't know what it
- 24 is. I know I've done a lot of patents and that's -- I
- 25 guess that would be a peer review of the technology,
- Page 22
- 1 so in that -- in that -- if -- if that's true, then,
- 2 yes, then I have -- I have submitted a number of --
- 3 lots of patents.
- 4 Q. Mr. Johnson, have you submitted any of
- 5 your writing to anywhere other than the patent office,
- 6 to any other person or entity for peer review?
- 7 A. Well, there again, you're talking
- 8 about --
- 9 Q. Mr. Johnson --
- 10 A. -- you're talking about a journal.
- 11 Q. -- the answer is yes or no. I'm not
- 12 talking about a journal.
- 13 A. Well, then you're talking about, like, if
- 14 I submitted a -- if there was a group of higher
- 15 people, such as Stanford University.
- 16 The dean of Stanford University did --
- 17 did some reviews on -- on some of my equipment. I
- 18 think MIT did some. And I think that -- I'm not sure
- 19 MIT, but I think that's correct.
- 20 But then BYU did some peer reviews on
- 21 some of my technology. Some other entities that were
- 22 professional in the field of -- of lens --
- 23 professional to evaluate the lens, the solar lens that
- 24 we developed.
- 25 And then there were peer reviews by some

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- 1 people that worked for -- I believe they worked for
- 2 NASA. They were -- they were doctors. There was
- 3 three, I think. Three professionals that evaluated
- 4 the turbine.

9

15

- 5 Q. So I'm going to --
- 6 A. And --
- 7 Q. I'm going to ask you to stop there for a
- 8 second and we're going to revisit some of these folks.
  - Who at Stanford, Mr. Johnson? The name
- 10 of the person at Stanford.
- 11 A. I don't remember his name. He was just
- 12 the dean of the -- like, the dean department.
- 13 Q. Did that person provide you anything in
- 14 writing in response to what you submitted?
  - A. Yes, he did. Yes.
- 16 Q. What did he provide?
- 17 A. He provided the explanation of his
- 18 interpretation of what was presented, and he felt like
- 19 that I was accurate in what I presented, and I think
- 20 he said it would be viable technology.
- 21 Q. Mr. Johnson, do you have that writing
- 22 from this person at Stanford?
- 23 A. I don't, no.
- 24 Q. When did you receive that writing from
- 25 this person at Stanford?
- 1 A. It was a long time ago. It was before
  - 2 19- -- it was before 2001. It was -- I think it was
  - 3 about in the 19 -- early -- mid-1990s, actually. Been
  - 4 quite a while ago.
  - 5 Q. So you do not have the writing that this
  - 6 person from Stanford provided to you?
  - 7 A. The SEC does. So if you need it, they
  - 8 probably have it.
  - 9 Q. Okay. MIT --
  - 10 A. I don't keep those things.
  - 11 Q. Mr. Johnson, the person at MIT. Who was
  - 12 that?

20

- 13 A. It wasn't me that did that. I think it
- 14 was BYU that gave it to them, but I'm not positive how
- 15 that relationship --
- 16 Q. So, Mr. Johnson, please listen to my
- 17 questions and answer them.
- 18 If you don't know the person at MIT, you
- 19 can just say "I don't know."
  - A. Okay.
- 21 Q. So I'm going to ask you again: Who is
- 22 the person at MIT to whom you or someone else
- 23 submitted a writing or technology for their review?
- 24 A. I don't know.
- Q. Who is the person at BYU to whom -- well,

Page 24

Page 23

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Page 25

- 1 let me ask you this: Is there just one person at BYU
- 2 to whom you or someone else has submitted information
- 3 about your technology?
- 4 A. Well, no. There was -- there was
- 5 different technology submitted to BYU. And I don't
- 6 know exactly the procedure, but the last one was the
- dean -- I think the dean of electrical engineering
- department at BYU.
- 9 Okay. We'll stop you right there.
- How many people at BYU do you think have 10
- 11 reviewed your writings or your technology?
- Boy, I don't know. There was a group 12
- 13 there at one time. There was -- I don't know. It was
- 14 three or four. I don't -- at least three or four, I
- 15 imagine.
- 16 Q. Okay. What were their names?
- 17 A. I don't know.
- When did these people review anything you 18
- wrote or your technology? 19
- Well, the first group was right around, I 20
- think, in 2000 or 2001. 21
- 22 I'm going to stop you there for a second.
- You said "the first group." Who was in 23
- 24 that group?
- 25 I don't know. I don't know the names,

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- 1 but there was -- there were several of them.
- 2 And you said that was in 2000 to 2001? Q.
- 3 Somewhere around there. 2001, yeah. Α.
- Did that group provide any writing in 4 Q.
- 5 response to what you submitted?
- 6 No, that group didn't, I don't believe.
- 7 Q. Did there come a time when there was any
- other information or technology submitted to anyone at
- BYU? 9
- 10 Not that I -- not on -- not on -- not --
- 11 not in 2001, no. I don't believe so.
- 12 Q. Since then?
- Yeah, I believe so. I believe Dave 13
- 14 Nelson submitted some information to some BYU...
- 15 Q. When?
- It was in -- I don't know exactly when. 16
- 17 It was after we got the patent on the project, so
- 18 would be about 2010 or '12. I don't know. I can't
- 19 remember.
- 2010 or 2012 you say? 20 Q.
- 21 Well, it was in between -- in between
- 22 those dates. I'm not -- it may have even been after
- 23 that. So I'm not positive just the dates. I don't
- 24 know.
- 25 Q. Do you have any idea what it is that

- 1 David Nelson submitted to BYU at some point between
- 2 2010 and 2012?
- 3 Α. Yeah. It was the electronic voltage --
- 4 DC voltage control form.
- 5 Q. And David Nelson is one of your
- 6 attorneys; correct?
  - He's the patent attorney, yeah.
- For this technology, which he may have 8
- 9 submitted to someone at BYU.
- 10 Do you know the names of the person at --
- 11 person or persons at BYU who reviewed it?
  - I don't remember names like that. I have
- 13 a hard time with names.
- 14 Did you receive anything in writing in
- 15 response from any folks at BYU about your technology?
- 16 Α. Yes. We had a white paper given to us by
- 17 the person at BYU.
- 18 Q. Do you still have that white paper?
- Α. Yes, somewhere. I'm sure. Dave has it 19
- 20 at least, so it's -- it's -- you probably have
- 21 it. I'm quite sure you do.
- 22 Has any of your writing or technology
- 23 been submitted to BYU, other than these two times
- 24 we've discussed?
- 25 I don't know. We met -- also we met with

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- 1 the dean of the college of U- -- of UVU over our
- 2 technology in that --
- Q. Excuse me, sir. Is that BYU? 3
- No. it's UV- --4 Α.
- 5 Q. Okay. I'm asking about BYU right now.
  - So has there been any other time that you
- 7 have submitted technology or writing to BYU, other
- 8 than the two times you've already identified?
- 9 There may have been. They -- they may
- 10 have come down and visited the site and may -- they
- 11 may have received --
- 12 Q. Why do you think they may have visited
- 13 your site?

6

- We get -- we get hundreds of people visit 14 Α.
- 15 with us, and some of those people represent themselves
- 16 as having been to various colleges or universities.
  - Q. Have you had --
- A. So we don't -- but we don't keep track of 18
- 19 them.

17

23

- So do you have names of anyone at BYU --20 Q.
- 21 from BYU who have visited your site?
- I don't have, no. 22 Α.
  - Q. All right. Mr. Johnson, other than the
- 24 time that something was submitted in 2000-2001, or
- 25 David Nelson may have submitted some of your

15

20

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- 1 technology to BYU, have you, or anyone else on your
- 2 behalf, submitted any other writings or technology to
- 3 BYU for review?
- 4 Α. Not that I'm aware of, no.
- 5 Q. Okay. Mr. Johnson, what is UVU?
- 6 Utah Valley University, I think. Α.
- 7 And you believe you may have submitted
- your writings or technology to UVU at some point?
- 9 Yeah. They invited me over, so we had
- 10 two, three, four meetings over there. And they had --
- 11 one of the group there was the head of the department
- 12 over the solar energy department at -- and another one
- 13 was over the electrical engineering department, I
- 14 believe, in automation robotics. And the dean of the
- 15 college there was also there.
- 16 Q. When was that?
- 17 Not positive, but it was just a few years Α.
- back. Been three, four years back, something like
- 19 that. But I don't remember the dates.
- 20 Q. What were the names of the people that
- 21 you met with at UVU?
- 22 I don't remember who they are.
- 23 Q. Did anyone from UVU provide you with any
- written evaluation --
- 25 Α. No.

1

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- -- of your technology? Q.
- 2 No. We didn't -- didn't ask for that.
- There was one other -- there's one other 3
- 4 time that we did have some peer reviews on my
- 5 technology, twice, and that was by the -- by the
- 6 United States Government.
- 7 So it was quite a while ago. We were
- invited -- I was invited back to -- to Washington D.C.
- to discuss one of our technologies, and they had --
- 10 I'm going to stop you right there,
- 11 Mr. Johnson, for a quick second.
- 12 What agency?
- That's what I was going to say. There 13
- 14 were several agencies there present. There was...
- 15 I'm sorry, sir. Which agency invited
- 16 you?
- 17 I'm not sure now. I think it was NSA, Α.
- 18 but I'm not sure.
- 19 Q. Do you have a name of the person who
- 20 invited you?
- 21 Α. No, I don't, but -- but...
- Do you have any names of the people that 22 Q.
- 23 you met with there?
- 24 Α. No. I didn't keep track of them.
- 25 Did anyone from the U.S. Government, on

1 this visit, provide a written evaluation of any of

- 2 your technology or writings?
- 3 No, I don't think so. But there were six
- 4 of the best scientists in the whole country there,
- 5 supposedly.
- 6 Q. I'll object to the responsiveness of the 7 answer.
- Sir, when was this that you went to 8
- 9 Washington at the invitation of...
- 10 It was -- it was before 2000, but I don't
- 11 remember the time. I don't remember the exact date.
  - Q. Did you ever go back?
- 13 Α. I went back, yes.
- 14 Q. How many times?
  - Α. They -- they -- they went -- I went back
- 16 there one time and then they came -- brought their
- group out to my offices one time. 17
- Do you have any names of any person with 18 Q.
- whom you met or who visited your offices? 19
  - No, I don't -- I didn't keep track of
- 21 anything like that.
- 22 Q. You mentioned NASA. When, Mr. Johnson,
- 23 did you submit any technology or writings to NASA?
- 24 Well, they were -- there was a whole
- 25 group of people at the time.

- 1 Q. I'm sorry, sir. When?
- 2 I don't know the date. That's what I'm
- 3 saying. But it wasn't just NSA, it was also CIA,
- 4 the -- somebody from the FBI, somebody from the
- 5 Department of Energy, somebody from the Department of
- 6 Defense, and six scientists.
- 7 Mr. Johnson, so when you said earlier
- 8 that NASA had reviewed some of your technology or
- 9 writings, was NASA included in this meeting with
- 10 the --
- 11 A. Yes.
- 12 Q. -- U.S. Government you described?
- 13 A. Yes.
- 14 Q. Yes?
- 15 A. I believe so. There were other groups
- 16 that they didn't even mention who they were. They
- 17 were names that -- that I wouldn't have recognized
- 18 anyway, but...
- 19 Q. So, Mr. Johnson, other --
- 20 There was a group of them.
- 21 Mr. Johnson, other than that meeting with
- 22 the U.S. Government, did you ever submit any of your
- 23 technology or writings to NASA separately?
- 24 No. They didn't -- they had it all -- it
- 25 was all together. It was all done in the same

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- 1 meeting.
- 2 Q. Mr. Johnson, you said that somebody at
- 3 BYU provided a white paper in response to David
- 4 Nelson's submission. Have you received any other
- 5 white papers from any other people or entities?
- 6 A. Yeah. There was -- I think there was,
- 7 like I said, people from -- that were specialists in
- 8 the field of -- of optics. There was a specialist in
- 9 the field of -- of thermodynamics, rocket scientists,
- 10 mechanical engineering.
- So we had -- yeah, there was -- there had
- 12 been, I think, several white papers from those areas.
- 13 Q. Okay. Mr. Johnson, who's the optics
- 14 specialist who provided you a white paper?
- 15 A. I don't know who the name of the people
- 16 were. It's listed somewhere, but I don't have it.
- 17 Q. When did you receive this white paper
- 18 from the optic specialist?
- 19 A. I don't know. It was about 2005 or 2007,
- 20 something -- between 2005 and 2007. I'm not sure
- 21 exactly the date.
- 22 Q. Mr. Johnson, what's the name of the
- 23 thermodynamic specialist who provided you a white
- 24 paper?
- 25 A. I don't -- I don't know the names. The

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- 1 names are on the white paper themselves, but I don't
- 2 -- I don't remember the names.
- 3 Q. When did you receive the white paper from
- 4 the thermodynamic specialist?
- 5 A. Seems like in the early 2000s, but I'm
- 6 not sure the dates on this.
- 7 Q. Mr. Johnson, when did you receive the
- 8 white paper from the rocket scientist?
- 9 A. It was in the -- it was between the dates
- 10 of 2002 and 2005, I think. But I'm not sure the date.
- 11 Q. And what was that person's name?
- 12 A. I don't know.
- 13 Q. And what about the mechanical engineer
- 14 specialist? What's that person's name?
- 15 A. I don't know who they are. I don't know
- 16 the names.
- 17 Q. And approximately when did you receive
- 18 the white paper from the mechanical engineer
- 19 specialist?
- 20 A. Between 2002 and 2007 -- or '5. I'm not
- 21 sure.
- 22 Q. All right. Mr. Johnson, we're going to
- 23 run through some questions about some entities.
- 24 And with respect to these entities, I'll
- 25 ask it in each question, but -- we'll just carry on.

- 1 Mr. Johnson, you're familiar with a
- 2 company called International Automated Systems, Inc.;
- 3 correct?

7

- 4 A. Yes, I am.
- 5 Q. Okay. And I understand that people call
- 6 it both IAUS and IAS.
  - Is that your understanding?
- 8 A. It's -- it's -- excuse me -- it is -- can
- 9 I have just a little drink of water there. Yeah, I
- 10 apologize.
- 11 IAS is the acronym that's used to
- 12 identify, you know, the company, International
- 13 Automated Systems.
- 14 IAUS is the stock symbol of the company.
- 15 And some people refer to it as IAUS because of the
- 16 stock symbol. But that's not something that we do,
- 17 actually.
- 18 Q. Oh, okay. So your preferred acronym is
- 19 IAS?
- 20 A. Right.
- 21 Q. We may see both of those today.
- 22 A. Right, exactly. But you understand why
- 23 they -- why they identified it that way.
- 24 Q. Sure.
- 25 A. Okay.

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- 1 Q. And you mentioned the IAUS is what's on a
- 2 stock ticker. So IAS is owned by shareholders;3 correct?
- 4 A. That's correct. It's a public
- 5 corporation.
- 6 Q. What's your percentage of ownership in
- 7 IAS?
- 8 A. Probably 80 percent, or something in that
- 9 neighborhood.
- 10 Q. And that's of the preferred stock or
- 11 generally?

20

- 12 A. It's just the general ownership. It's
- 13 the voting -- voting rights.
- 14 Q. What, if any, percentage of IAS is owned
- 15 by the NPJ Family Partnership?
- 16 A. Well, actually, that's -- I apologize. I
- 17 don't -- I don't own it. It's -- it's actually owned
- 18 by the -- it's actually owned by -- by the partner.
- 19 It's a family trust of some sort.
  - It's a -- I think -- but I think that
- 21 it's been -- I think that's been transferred now to --
- 22 the ownership's been transferred to two other
- 23 companies. One is called Starlight, and the other
- 24 one's Blacknight, and they each own half.
- 25 So I don't own -- I don't own anything,

Page 37 1 actually. I don't -- I personally don't own any of

- 2 IAS's stock at all.
- So just to make sure I understand 3 Q.
- 4 correctly.
- 5 A. Right.
- 6 Previously the NPJ Family Partnership had Q.
- 7 owned about 80 percent of the IAS stock?
- That's correct, yes. 8 Α.
- 9 Q. But your understanding is that now --
- 10 Α.
- 11 Q. -- two different companies --
- 12 -- split, yes. Α.
- 13 Q. -- have split that 80 percent ownership;
- 14 correct?
- 15 Α. Right, yes.
- 16 Q. And one company is called Starlight and
- one company is called Blacknight? 17
- 18 I think -- I believe that is correct. Α.
- Do you know if the split is even between 19 Q.
- 20 them?
- 21 Α. I believe that it is.
- And do you know where Starlight is 22 Q.
- 23 incorporated?
- 24 I think they're in new -- Nevis. Α.
- Nevis? N-E-V-I-S? 25 Q.

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- I think so, yeah. 1 A.
- 2 What about Blacknight? Where is it Q.
- 3 incorporated?
- 4 Α. Same, Nevis, Nevis,
- 5 Q. Who owns Starlight?
- 6 It's owned by the family. It's a fam- --
- the same group that owned the NPJ Trust or the same
- group that owned the two other companies.
- 9 What two other companies?
- The Starlight and Black -- Blacknight, I 10 Α.
- 11 believe.
- 12 Q. Okay. So, to your understanding,
- 13 Starlight is owned by the NPJ Family Partnership?
- No. The same people own -- that owned
- 15 the trust own the -- the new trust. They just -- they
- 16 just transferred into two entities, and then they
- 17 wanted two entities rather than one.
- Okay. And who are those people? 18 Q.
- 19 I think they're my two sons, Randale
- 20 Johnson and LaGrand Johnson. And I, myself, own --
- 21 own -- I think I own 10 percent --
- 22 Q. Does --
- 23 Α. -- membership.
- To your knowledge, does anyone other than 24
- 25 you, Randale Johnson, or LaGrand Johnson own

1 Starlight?

2 Α. I think that's -- that's all that owns

- 3 that, yes.
- 4 Q. And, to your knowledge, the only owners
- of Blacknight are yourself, Randale, and LaGrand 6 Johnson?
- 7 Α. I believe that's correct, yes.
- 8 Q. Does the NPJ Family Partnership still
- 9 exist?
- 10 Α. I believe it still exists, but I don't
- 11 think it has any assets in it. I'm not positive about
- 12 that, but -- I'm not sure.
- Mr. Johnson, IAS has a website that's 13
- 14 identified as IAUS.com; is that correct?
- 15 Α. That is correct, yes.
- 16 Q. Who is responsible for maintaining that
- 17 website?
- 18 Α. Myself.
- 19 Q. And who writes the content for the
- 20 website?
- 21 Α. I write -- I write a lot of it, and then
- 22 I have it reviewed by some other -- other people
- 23 review it, but I -- I do most of the writing for that.
- 24 Q. Who else might do writing, if not you?
- 25 They wouldn't understand the tech- --Α.

- 1 nobody understands the technology but me, so I would 2 have to be the one to write it.
- 3 Q. Okay.

6

- Α. 4 But I have it reviewed, you know, to make
- 5 -- for accuracies and...
  - Q. Who reviews it?
- 7 Α. Just the -- the board of directors, the
- 8 -- my son Randy, my son LaGrand. There may be other
- 9 people that I use, but that's the basic ones. But the
- 10 content is basic -- what I do. I should say
- 11 "basically," I apologize.
- 12 You got to review everything I say and
- 13 then I can say it accurately so when I look at these
- 14 depositions, like, who in the devil would speak like
- 15 that? It's crazy, isn't it?
- 16 And my understanding, Mr. Johnson, is
- 17 that IAS owns certain technology that it licenses to
- 18 RaPower-3?
- 19 Actually, the -- the -- actually, they
- 20 don't own any technology. What they do is they
- 21 license the technology from the -- the Starlight and
- 22 Blacknight on an exclusive license.
- 23 Q. Okay. We'll come back to that in a
- 24 second.
- 25 Does IAS have employees?

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Just myself, I believe, right now. Yeah.

- 2 And I'm -- I don't really know if I'm an employee,
- 3 but, yeah.

1

- 4 Q. So then -- and my question is: If IAS is
- 5 going to take action, are you the person to take that
- action?
- 7 Yes, yes. I'm the only one that does it, Α.
- actually. That and the board of directors, you know,
- that control the company.
- 10 Q. Who's on the board of directors?
- 11 Oh, man, you would ask that.
- MR. SNUFFER: I'm going to object to the 12
- 13 extent it calls for testimony about IAS, whose
- deposition will be later this week. 14
- 15 If you know, you can answer.
- 16 THE WITNESS: I'm trying to think of the
- 17 names. Curtis Snow and...
- 18 MRS. JOHNSON: It's not Curtis. It's
- 19 Stacey.
- 20 MS. HEALY-GALLAGHER: It's okay. If you
- don't know, you don't know. That's fine.
- THE WITNESS: Okay. Yeah, I went to a 22
- 23 party one time, okay, and -- or a group where I -- a
- 24 professional group there, and somebody asked my name 24
- 25 as I walked in. I says, hold on a minute, I got to go

23

3

6

- 1 ask somebody. It was embarrassing, wasn't it? It was
- 2 terrible.
- 3 MS. HEALY-GALLAGHER: This will be 507,
- 4 please.
- 5 (Exhibit 507 was marked for identification.)
- 6 BY MS. HEALY-GALLAGHER: Mr. Johnson,
- 7 you've been handed a copy of what's been marked as
- 8 Plaintiff's Exhibit 507. Please go ahead and take a
- 9 look at that document. Feel free to review it and let
- 10 me know when you're ready.
- Α. Okay. I'm ready. 11
- 12 Q. Okay. Mr. Johnson, IAS has a yearly
- 13 reporting requirement to the U.S. Securities and
- 14 Exchange Commission; correct?
- 15 Α. No, it doesn't.
- 16 Q. No?
- 17 No. We're -- we're -- it's -- it's not a
- 18 requirement. We're -- we as a voluntarily --
- 19 volunteer -- volun- -- okay. Say the word for me.
- 20 Voluntary. It's voluntary on our part to submit these
- 21 documents.
- Q. And Plaintiff's Exhibit 507 is IAS's Form
- 23 10-K for the fiscal year ending June 30, 2016;
- 24 correct?
- 25 Α. That's correct, uh-huh.

- Page 41 Q. 1 Would you take a look, please, at Page 32
  - 2 of 41.
  - 3 A. 32, 41 -- or 31, 41, okay. 32. Okay.
  - 4 32's on the --
  - 5 Q. There we go.
  - 6 Α. Oh, there we go. Blaine Phillips. Okay.
  - 7 Okay. So you're recognizing other
  - 8 directors of IAS on this form: correct?
  - 9 Α. Correct.
  - 10 Q. Okay. And at the top of the signature
  - 11 list, here we see that this page is the signature page
  - 12 for the 10-K; right?
  - 13 A. Right.
  - 14 Q. And your name is signed electronically at
  - 15 the top: correct?
  - 16 Α. Correct.
  - 17 Q. So you signed this 10-K?
  - 18 A. I did.
  - 19 Q. Mr. Johnson, if there are other Form
  - 20 10-Ks available for IAS on the SEC's website, would
  - 21 you have any reason to believe that those are not
  - 22 accurate copies of what you submitted to the SEC?
    - A. I hope they are accurate, yes.
  - Q. Any reason to believe that they're not
  - 25 accurate copies of what you submitted?
- Page 44
- 1 Α. No. They should be accurate, yes.
- 2 Q. Okay.
  - Absolutely accurate. Α.
- Mr. Johnson, you're familiar with the 4 Q.
- 5 company called RaPower-3, LLC?
  - A. Yes, I am.
- 7 Q. Who owns RaPower-3, LLC?
- I think it's DCL16. They -- I think, but 8 Α.
- 9 I'm not exactly positive, but I think that's who.
- Did you say that's DCL16A? 10 Q.
- I think so. 11 Α.
- 12 Q. Who owns that entity?
- I think that's owned by Starlight or 13
- 14 Blacknight, and I'm not positive.
- Does RaPower-3 have any employees? 15 Q.
- 16 None that get paid, so I don't --Α.
- 17 What do you mean by that? Q.
- If you don't know, Mr. Johnson, just say 18
- 19 you don't know. Please don't look at your wife for 20 answers.
- 21 Okay. I -- I -- I do not believe -- I do
- 22 not believe that they have any what you'd call
- 23 employees. So I'm not -- I don't think so. I'm not
- 24 positive, but I don't think so.
- 25 RaPower-3 is an LLC; correct?

20

23

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- 1 A. That's correct, yes.
- 2 Q. So who are the members of the LLC?
- 3 A. RaPower, I think, is -- DCL16, I think,
- 4 is the members. I may have some ownership in it. I
- 5 may have 10 percent. But I think the major owner is
- 6 DCL16A. And I haven't -- in order to be accurate, I'd
- 7 have to review the, you know, the documents, you know,
- 8 the legal documents, but...
- 9 Q. Who's the manager of RaPower-3, LLC?
- 10 A. That I can tell you. That's myself.
- 11 Q. So when RaPower-3 acts, it acts through 12 you; correct?
- 13 A. Yes. Yes, it does. When I'm acting as
- 14 the manager. So I don't know that there's a
- 15 distinction between what you just said.
- 16 Q. I'll ask you this: When RaPower -- like,
- 17 does RaPower-3 act through anyone else?
- 18 A. No. No. But I just don't know the
- 19 distinction of what -- of what you -- of how you
- 20 classified your statement. And I just wanted to make
- 21 that clear that while I -- while I'm acting as a
- 22 manager of RaPower, I'm acting as -- as the manager.
- 23 Q. Sure. Maybe --
- 24 A. Not in -- not in behalf of myself.
- 25 Q. Sure. Maybe -- maybe this will help

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- 1 clarify.
- 2 A. Okay.
- 3 Q. When -- who gets to make the decisions on
- 4 behalf of RaPower-3?
- 5 A. Well, it's the manager of RaPower-3,
- 6 which I am then the manager. But I'm not acting at
- 7 that point in time for and behalf of myself.
- 8 Q. Does anyone, other than you, make
- 9 decisions on behalf of RaPower-3?
- 10 A. There again, the statement "you" is
- 11 confusing. Am -- if you're referring to you as a
- 12 manager --
- 13 Q. Mr. Johnson --
- 14 A. I know, but I know it's -- I know it's --
- 15 but my mind works that way, I'm sorry. Because it
- 16 takes the details and looks at them very closely. And
- 17 so when you say "you," if you're referring to me as
- 18 the manager, then, yes, then I am the one who makes
- 19 the decisions.
- 20 If you're referring to me as the
- 21 individual, then, no. Or if you're referring to me as
- 22 the CEO of International Automated Systems, then, no.
- 23 See?
- Q. So, Mr. Johnson, does anyone else make
- 25 decisions for RaPower-3?

1 A. No. Only the manager. Yes.

- A. No. Only the manager. Tes
- 2 Q. And the manager is you?
- 3 A. The manager is myself, yes.
- 4 Q. Mr. Johnson, what relationship, if any, 5 does R. Gregory Shepard have with RaPower-3, LLC?
- 6 A. He is an independent salesperson.
  - Q. What relationship, if any, does the --
- 8 does Shepard Global have with RaPower-3, LLC?
- 9 A. As far as -- I assume nothing more than
- 10 what I just explained. That's their only -- their
- 11 only sales people that are part of an MLM marketing12 company.
- 13 Q. Okay. Does Mr. Greg Shepard have the
- 14 authority to make decisions on behalf of RaPower-3?
- 15 A. No.
- 16 Q. Mr. Johnson, who owns RaPower-3.com?
- 17 A. I believe that's Greg Shepard's website.
- 18 but it's not owned by RaPower-3, nor is it associated
- 19 as any control by RaPower-3, the LLC.
  - Q. What about RaPower-3.net?
- 21 A. I believe it's the same. We don't --
- 22 RaPower-3 does not own a website in behalf of itself.
  - Q. So, to your knowledge, Greg Shepard is
- 24 responsible for RaPower-3.net?
- 25 A. Not -- we have a letter written to him by

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- 1 Dave which he signed indicating that he is totally
- 2 responsible for any of the content that's placed upon
- 3 that website.
- 4 Q. Do you have a copy of that letter?
- 5 A. I don't have, but it's in the -- it's --
- 6 Dave Nelson has it. So if you don't have it, you can 7 get one.
- 8 Q. Do you know when that letter was written?
- 9 A. Several years ago. I don't know the
- 10 exact date, no.
- 11 Q. Do you know why it was written?
- 12 A. Yes, I do.
- 13 Q. Why is that?
- 14 A. Because we asked if certain information
- 15 would be not put up on his website. And then he,
- 16 without consulting me -- and he said he would do what
- 17 he wanted to. It was his. So I had to write a letter
- 18 stating that fact.
- 19 Q. All right. Let's back that up a little
- 20 bit.

23

- 21 What information are you talking about
- 22 that he put on the website without your permission?
  - A. Two letters. The -- supposedly the tax
- 24 opinion letter written by McConkie and Anderson. I
- 25 didn't want -- I didn't want that information out.

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Q. Do you remember approximately when that

2 was?

1

- 3 A. I don't know. When I -- I didn't know it
- 4 was out until I -- it was made aware of me through
- 5 some other entity. But I -- I think it was 2011,
- 6 2012, but I'm not positive.
- 7 Q. How did you come to learn that
- 8 Mr. Shepard had posted a Kirton McConkie memorandum
- 9 and Anderson letter on his website?
- 10 A. I think some other individual brought my
- 11 attention to it about that time.
- 12 Q. How did Mr. Shepard get those documents?
- 13 A. Well, he probably got them from me, but I
- 14 -- he was never authorized to go out on to the
- 15 websites.
- 16 Q. Is there any way he could have gotten
- 17 those documents other than from you?
- 18 A. I don't know that he could have done.
- 19 Q. Was there any other content that
- 20 Mr. Shepard posted on his website that you did not
- 21 want out there?
- 22 A. There probably was certain things that I
- 23 asked him not to -- to put out in the way that he put
- 24 the things out, yes.
- 25 Q. What was that?

Page

- 1 A. I don't remember what they were, but they
- 2 -- there were probably a number of items that I
- 3 disagreed with.
- 4 Q. But you can't remember what those items 5 are?
- 6 A. Not specifically, no.
- 7 Q. Since this incident when Mr. Nelson wrote
- 8 the letter to Mr. Shepard, what, if anything, have you
- 9 done to monitor what Mr. Shepard says on his websites
- 10 about your companies?
- 11 A. I tell him that I don't think some things
- 12 are appropriate is all. But I don't know what they
- 13 were. I can't remember.
- 14 Q. So have you reviewed the websites
- 15 occasionally to see what he's saying?
- 16 A. I -- I hardly ever -- I don't think I've
- 17 ever even looked at it, actually. Other people tell
- 18 me what's up there. I don't usually -- I don't -- I
- 19 don't really have a lot of time to spend doing that
- 20 kind of stuff. I have a full plate.
- 21 Q. Who tells you about what Mr. Shepard
- 22 posts?
- 23 MR. SNUFFER: Objection. Hearsay.
- 24 THE WITNESS: I don't know. I just --
- 25 just people will tell me things and I'll say, well,

1 I'll see what I can do to talk to him.

- 2 But I don't -- specifically I don't
- 3 know -- I don't have -- I don't have -- I have not
- 4 designated a particular individual to monitor.
- 5 Every -- every person that sells for us
- 6 is entitled to a website of their own, so anybody that
- 7 is a salesperson could put out a website. Some do.
- 8 some don't. I don't know. I've never looked at it.
  - But we don't -- we have -- we try -- we
- 10 put out specific things in -- in the -- I think in the
- 11 -- in the document that -- that allows them to do
- 12 certain things with websites, and hopefully they stay
- 13 within those guidelines.
- 14 But we don't have anybody specifically to
- 15 monitor those.
- 16 Q. BY MS. HEALY-GALLAGHER: Have there been
- 17 any other occasion that you've written a letter to
- 18 Mr. Shepard telling him to take information off of his
- 19 website?
- 20 A. I've never -- I don't -- I don't
- 21 personally write those kind of letters. I -- I would
- 22 go through an attorney whenever I do anything like
- 23 that.
- 24 Q. Has there ever been an occasion --
- 25 another occasion when you've asked an attorney to do

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- 1 that?
  - 2 A. I don't believe I have, but I'm not
  - 3 positive that I haven't either. So I don't...
  - 4 Q. Mr. Johnson, you -- well, let me ask you
  - 5 this: What, if anything, do you know about an entity
  - 6 called RaPower Web, LLC?
  - 7 A. I don't know anything about it, actually.
  - 8 Q. What about an entity that's called
  - 9 RaPower, LLC?
  - 10 A. I'm not sure about that one either. I'm
  - 11 not familiar with it. Not right off the top of my
  - 12 head.
  - 13 Q. What about an entity called RaPower
  - 14 Three, with the "three" spelled out --
  - 15 A. There --
  - 16 Q. -- LLC?
  - 17 A. I'm not familiar with the -- I may know
  - 18 -- I may have documentation somewhere, but it's not --
  - 19 it's not something that I would -- that I recognize.
  - 20 Q. Mr. Johnson, are you familiar with an
  - 21 entity called Cobblestone Center?
  - 22 A. I am.
  - 23 Q. Who owns that entity?
  - 24 A. I think it's DCL16A, but I'm not --
  - 25 without the documentation, I'm not positive. I may

Pi

- 1 only gain 10 percent.
- 2 Q. Do you know what kind of entity that is?
- 3 A. Just an LLC.
- 4 Q. Who's the manager of Cobblestone Center?
- 5 A. I'm the manager of Cobblestone Center.
- 6 Q. Does Cobblestone Center have any
- 7 employees?
- 8 A. Yes, they do.
- 9 Q. About how many employees?
- 10 A. I think it's about 30.
- 11 Q. Who runs the daily operations for
- 12 Cobblestone Center?
- 13 A. I do, as the manager of Cobblestone
- 14 Center.
- 15 Q. Who makes decisions on behalf of
- 16 Cobblestone Center?
- 17 A. I do.
- 18 Q. Does anyone else make decisions on behalf
- 19 of Cobblestone Center?
- 20 A. No.
- 21 Q. What does Cobblestone Center, LLC do?
- 22 A. They are the manufacturing facility --
- 23 they're the -- the company that actually manufactures
- 24 the solar energy project.
- 25 Q. What, if any, role, Mr. Johnson, does

Page 53 1 Q. She doesn't perform any work?

- Q. She doesn't penorin any work?
- 2 A. She writes checks for me. Again,
- 3 bookkeeping. But she doesn't -- there again, not as

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- 4 an employee, just as a favor to me.
- 5 Q. Does Mrs. Johnson --
- 6 A. And I don't pay her, either.
- 7 Q. Does Mrs. Johnson do anything other than
- 8 writing checks and engage in bookkeeping for IAS?
- 9 A. No.
- 10 Q. Okay. Now we're going to turn to the LTB
- 11 entities.
- A. Okav.
- 13 Q. And there are a few involved here, so I
- 14 want to make sure I understand the distinctions.
- 15 A. Okav.
- 16 Q. Are you familiar with a company called
- 17 LTB, LLC?
- 18 A. I do.
- 19 Q. Who owns LTB, LLC?
- 20 A. I don't know. I don't know. I can find
- 21 out, but I don't know.
- 22 Q. Who is the manager for LTB, LLC?
  - A. I am. Neldon Johnson is.
- Q. So you make decisions on behalf of LTB,
- 25 LLC?

23

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- 1 Mrs. Glenda Johnson play for Cobblestone Center?
- 2 A. She's not actually an employee of
- 3 Cobblestone Center, so she doesn't do anything.
- 4 Q. Mrs. Johnson does not perform any work on
- 5 behalf of Cobblestone Center?
- 6 A. She does it in behalf of myself, and I
- 7 ask her to do things for me.
- 8 Q. What do you ask her to do?
- 9 A. She -- she takes care of the paper.
- 10 Q. Anything else?
- 11 A. No, that's about it.
- 12 Q. What role does Mrs. Johnson have with
- 13 respect to RaPower-3?
- 14 A. She basically -- there again, she's not
- 15 an employee, but she -- she works -- she does things
- 16 when I ask her to do them, and basically she takes
- 17 care of the -- the bookkeeping of the system, but not
- 18 -- she doesn't act as an employee.
- 19 Q. What, if anything else, does she do other
- 20 than bookkeeping?
- 21 A. That's about it. That's about all she
- 22 does.
- Q. What, if any, role does Mrs. Johnson play
- 24 with respect to IAS?
- 25 A. None.

1 A. I do.

- 2 Q. Does anyone else make decisions on behalf
- 3 of LTB, LLC?
- 4 A. No.
- 5 Q. When was LTB, LLC incorporated?
- 6 A. I don't know. I really --
- 7 Q. Do you have a rough idea?
- 8 A. I don't know. About 2007 -- about 2000
- 9 -- I don't -- just right around 2007, but I'm not
- 10 positive.
- 11 Q. Since 2007, have you been the sole
- 12 decision maker for LTB, LLC?
- 13 A. I can't answer that for positive, but I
- 14 -- from my recollection, I believe I am, yes.
- 15 Q. Do you have any reason to believe that
- 16 anyone else made decisions on behalf of LTB since
- 17 2007?
- 18 A. I don't know. I -- I'm not -- I'm not
- 19 really positive, but I'm just -- just saying that
- 20 there may have been -- been somebody else, but I can't
- 21 remember.
- 22 Q. Who might that person have been?
- 23 A. I think it would have been Chris Taylor,
- 24 but I'm not positive. I'm not positive of that.
- 25 Q. Anyone else?

- 1 Α. No.
- 2 Q. What is the business purpose of LTB, LLC?
- 3 The only purpose is to operate the power
- 4 plant when it -- when the power plant is selling
- 5 power. It's to regulate the sales of power, mostly,
- 6 and to maintain the -- the power plant when it's in 7 operation.
- Mr. Johnson, to your knowledge, has LTB, 8 Q.
- 9 LLC ever operated a power plant?
- 10 A. No, it has not.
- 11 (Exhibit 508 was marked for identification.)
- Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, I'm 12
- 13 handing you what's been marked as Plaintiff's
- Exhibit 508. For the record, the Bates number of this
- document is Ra3009201.
- 16 Would you take a look at that document
- and let me know when you've had a chance to review it. 17
- 18 Okay.
- Q. Do you recognize this document, 19
- 20 Mr. Johnson?
- I don't really, but I can read it, yes. 21
- Okay. So this is a profile of LTB, LLC. 22
- Do you see that? 23
- Uh-huh. 24 A.
- 25 Q. Yes?

- Yes, I see that. 1 Α.
- 2 MRS. JOHNSON: Where did this come from?
- 3 MS. HEALY-GALLAGHER: Let's go off the
- record, please. 4
- 5 (There was a discussion held off the record.)
- 6 MS. HEALY-GALLAGHER: Back on the record,
- 7 please.
- All right. Mr. Johnson, we're taking a 8
- 9 look at what's been marked as Plaintiff's Exhibit 508,
- and you've had a chance to take a look at that
- document: is that correct?
- 12 Α. That's correct.
- Now, let's take a look real quick. This 13
- 14 is, like we said, a profile of LTB, LLC, and the
- description there says:
- "A power generating company formed to 16
- 17 operate International Automated
- Systems, Inc., IAS proprietary solar 18
- 19 thermal and bio burner power plants."
- Yes. I am familiar with that part of it, 20 Α.
- 21 yes.
- Okay. And it identifies manager/member 22 Q.
- 23 Chris Taylor.
- Do you see that? 24
- 25 I -- yes, I do. Α.

Page 57 Q. And that's the Chris Taylor you mentioned 1

- 2 earlier?

7

- A. 3 That is correct.
- 4 Q. Okay. Do you have an understanding of

Page 59

Page 60

- what Mr. Taylor's background is or was?
- 6 Α. No, I do not.
  - Q. Okay. Do you know if Mr. Taylor had any
- experience operating any solar energy plant?
- 9 I know he did not, no. He did not have
- 10 that experience.
- 11 Q. Then when we see under -- next to "Plant
- 12 Manager" it says:
- 13 "Experienced manager of power plants
- 14 to be named."
- 15 Do you see that?
- 16 Α. Yes.
- 17 Q. Do you have any idea of who might have
- 18 been an experienced manager of a power plant that LTB
- 19 might have named?
- 20 Α. The only thing that I can remember is
- 21 that there was an individual that had worked for a
- 22 power plant in Hawaii. And they were talking -- we
- 23 were talking about him through the board of directors,
- 24 but prior to the new board of directors that are there
- 25 now.

1 And I think there was a relationship

- 2 between that board of directors and the plant manager
- 3 that they're referring to here, is that individual in
- 4 Hawaii.
- 5 Q. What's that person's name?
- I don't know. The -- I can't remember 6 A.
- 7 the names, but it's the -- it's the old guy. What's
- 8 his name? Oh, man, I wish I had better memory on
- names. 9
- 10 Q. Do you --
- 11 Α. That's one thing I don't have.
- 12 Q. Do you remember about when anyone was
- 13 talking to this plant manager in Hawaii?
- It's about 2007, in that relationship 14 Α.
- 15 there.
- 16 Q. Did the plant manager from Hawaii ever
- 17 join LTB?
- 18 A. No. The -- it didn't go any further than
- 19 talk, as far as I know.
- Has LTB ever since sought to hire a 20 Q.
- 21 manager of power plants?
- 22 No. They're -- there hasn't been any A.
- 23 need to do that yet, no.
- Why not? 24 Q.
- 25 Α. Because they haven't been -- been given a

Page 61 Page 63 1 power plant to manage. That's the reason. 1 graduated, of course. But we have studied out the 2 Let's take a look down at the "Summary 2 requirements to interconnect with the grid and what --Scope of Work By Contractor/Coordinator for LTB, LLC." 3 3 what applications and what equipment are required. In Do you see that section? 4 4 fact, we do have that -- that equipment. Let's see. You got marketing, 5 5 And so, yes, I am -- I am -- perfectly 6 contractor. Is that below that --6 understand what -- what's required in connecting to 7 Skip that. Q. 7 the grid. 8 Α. Is that it? Q. And what's your understanding of that 8 9 Q. I guess it's under -- yeah, it's under 9 process? 10 that heading. 10 A. Well, you have to get -- the procedure is 11 Α. Okay. 11 to -- is to get the qualify -- or the owner -- the 12 Q. It's the last third of the page. 12 owner of that portion of the grid permission and to 13 Α. Right. Contract company. Starts there? 13 hook up to their grid. 14 Q. Right. 14 Q. Have you --15 Α. Okay. Okay. Yes, I've got that. 15 And --Α. 16 Q. Okay. Let's take a look at 16 Q. Sorry, let me stop you there. "Interconnection." Next to "Interconnection" it says: 17 17 Have you ever obtained permission from "All technical and commercial aspects 18 18 any person or entity to connect to their electrical for grid interconnection." 19 19 grid? 20 Did I read that correctly? 20 A. I'm not positive, but we were in --Let's see. Company is also wholesale 21 21 Α. Q. Yes or no, sir. 22 power. 22 Well, I'm not positive, so -- I could 23 Q. Sir, let me point that out to you. 23 have done. Because we were in Mesquite and we hooked 24 Yeah, right there (indicating). Α. 24 it up there, I believe. But that was with the City of 25 Q. Right here where it says 25 Mesquite. And so they -- they're the ones that hooked Page 62 Page 64 "Interconnection." 1 -- hooked it into the grid. 1 2 2 But I'm not positive about the procedure Α. I'm sorry, I didn't read down far enough. 3 So next to "Interconnection" it says: 3 that we followed at that -- it's been a long time ago. Q. 4 "All technical and commercial aspects 4 It was 2005. 5 for grid interconnection." 5 Since 2005, have you ever actually 6 Did I read that correctly? 6 obtained permission to hook into the grid owned by any 7 7 other person or entity? Α. Correct, uh-huh. 8 Sir, do you have any experience with the No. No, I don't -- we did -- we did 8 requirements for technical and commercial aspects for 9 before that, though, with Utah -- it was Utah Power & grid interconnection? 10 Light at the time, I think. Rocky Mountain Power. 10 11 Α. I do, yes. 11 This was back in 2001-2002. We got permission to hook 12 Q. You do, okay. 12 to the grid on -- I think with the Rocky Mountain What experience? 13 Power. 13 But I don't know that this -- this person 14 14 Q. And, Mr. Johnson, since 2005, has any 15 has. I don't -- I don't know what they're talking 15 entity that you direct obtained permission to hook about. 16 into any electrical grid owned by any other person or 16 17 Q. 17 entity? Hang on. What, if any, experience do you have with A. 18 No. 18 19 the technical and commercial aspects for grid 19 Q. All right. You've identified two 20 situations, one in 2001 or 2002, and one in 2005 where 20 interconnection? 21 I'm going to -- I'm -- I'm an FCC 21 you believe you may have gotten permission to hook

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23

24

22 into an electrical grid.

Yes.

Are there any other -- others prior to

Α.

Q.

25 those two times --

22 electronics engineer, and part of my schooling would

And -- and so the interconnect was

23 have been in power, electrical engineering as well.

25 developed a considerable number of years after I

- 1 A. No.
- 2 -- or other than those two times? Q.
- 3 Α. No.
- 4 Q. With respect to 2005, where is Mesquite?
- 5 Mesquite, Nevada. I'm sorry. Α.
- 6 Do you have any agreements, such as an Q.
- 7 interconnection agreement, with respect to that 2005
- hookup? 8
- 9 Α. It was just for -- they were there at the
- time and they hooked it in. 10
- 11 So, no, you don't have a written
- 12 interconnection agreement?
- 13 Α. I do not, no.
- And when you say they gave you verbal 14
- 15 permission, who is "they"?
- 16 I believe it was the City of Mesquite, if
- 17 I remember correctly. I think that was the people
- 18 that allowed us in. And I'm not positive on that, but
- 19 that's -- they could have been some other entity, but
- 20 it -- as I recollect, I think it was some of the
- 21 people -- manager of the city or something. I -- but
- 22 I'm not positive.
- 23 Q. Do you have any names of anyone from that
- situation? 24

1

Α. 25 No.

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- So in 2005, Mr. Johnson, did you, or any
- entity under your control, actually produce
- electricity that went onto the grid? 3
- 4 Α. Yes.
- 5 Q. Did any other person or entity purchase
- that electricity? 6
- 7 A. No.
- 8 Q. How do you know that electricity was
- placed on the grid in Mesquite, Nevada?
- We had meters on it. 10 Α.
- 11 Q. Do you -- did you keep any record?
- 12 Α. No.
- Sorry, let me finish the question. I 13 Q.
- 14 know I paused.
- 15 A. Sorry.
- I pause sometimes. 16
- 17 Do you keep -- did you keep any record of
- electricity being produced and put on the grid in
- 19 Mesquite, Nevada, in 2005?
- Α. 20 No.
- 21 Did you keep any data regarding the
- 22 electricity that you believe was put on the grid in
- Mesquite, Nevada?
- No. 24
- 25 MS. HEALY-GALLAGHER: Could we take a

1 quick break off the record for a second.

- 2 (There was a break taken.)
- BY MS. HEALY-GALLAGHER: Mr. Johnson, 3

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- 4 what was the technology in use in Mesquite, Nevada,
- when you believe you put electricity on the grid?
- 6 It was the -- we had purchased some 7 Fernel [sic] lens.
- 8 THE REPORTER: What kind of lens?
- 9 THE WITNESS: Fernel. Fresnel. I don't
- know how to...
- 11 MS. HEALY-GALLAGHER: F-R-E-S-N-E-L.
- 12 THE WITNESS: Lenses from a manufacturer.
- 13 They're very expensive, by the way.
- We then used our turbine and our -- and 14
- 15 our new heat exchanger -- the old style heat
- 16 exchangers and the turbine.
- 17 The International Automated Systems
- 18 patented turbine was used and a generator was attached
- 19 to that turbine. And then we produced power from that
- 20 system and showed that it would hook into the grid,
- 21 demonstrated the fact that it would, and make power.
- 22 Q. BY MS. HEALY-GALLAGHER: You mentioned
- 23 that there was an old-style heat exchanger?
- 24 Well, it's the traditional. It wasn't
- 25 our new heat exchanger. It was traditional heat

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- 1 exchangers.
  - Q. 2 Did you use a heat transfer fluid?
  - 3 Α. Yes, we did.
  - Q. 4 What was that heat transfer fluid?
  - 5 A. It was a high temperature oil.
  - 6 Is there a commercial name for this heat Q.
  - 7 transfer fluid?
  - Yes, but I -- I don't know what it is. 8
  - It's -- I think it was a Dow Chemical company, but I'm
  - not even positive about that. 10
  - But you think it might have been Dow? 11 Q.
  - 12 D-O-W?
  - 13 Yeah, but I'm not positive. Α.
  - 14 Do you know what the chemical composition Q.
  - 15 was of the heat transfer fluid?
  - 16 No. It was just designed to get right
  - 17 around 700 degrees Fahrenheit. If you put it under
  - pressure with a nitrogen, you can go higher. 18
  - 19 Mr. Johnson, how long -- for how long a
  - 20 period of time did this technology in Mesquite
  - 21 generate electricity that went on the grid?
  - 22 It wasn't -- it wasn't constant. It was
  - 23 just off and on. And then it was -- it was there for,
  - 24 I don't know, maybe a month or so. But it was just
  - 25 sporadic.

- Do you know how much power the technology 1 2 generated in the course of that month?
- I don't, no. 3 Α.
- 4 Q. Did you keep any records of the
- performance of the technology and how much -- and/or
- 6 how much power was generated in Mesquite, Nevada?
- 7 Not that I recall. Α.
- 8 Did you record any data about the
- 9 performance of the technology in Mesquite, Nevada?
- 10 Not that I recall.
- 11 Q. Why did you leave it up for only a month?
- 12 It was just a demonstration unit. I was Α.
- 13 asked to provide some people that were in some kind of
- position in Mesquite to -- to demonstrate our
- 15 technology in Mesquite. It was close to Las Vegas and
- so there were a lot of people that wanted to see it
- that didn't want to travel up -- clear up to Utah. 17
- 18 But not only that, it was a portable
- 19 unit. It wasn't -- it wasn't really very big. Just
- 20 one of our small units. And so it was just -- it was
- 21 just to demonstrate the technology actually
- 22 functioned.
- 23 Q. You said that there were some people
- 24 interested in seeing the technology?
- 25 Oh, yeah. There was a lot of people from

- 1 Vegas that came up. Hundreds of them.
- What, if -- what, if anything, happened 2
- after that with those people who were interested? 3
- A lot of them bought stock. A lot of 4
- 5 them want me to get involved with them in developing
- projects for them, you know.
- 7 I think one was -- city was -- they even
- 8 offered some ground. I think it was Boulder City.
- 9 Maybe it was -- not Boulder. Was it Boulder? Anyway,
- 10 there was some city that was interested in doing some.
- 11 They still are, I think. I don't -- I don't know.
- 12 For the people who were interested in
- 13 having projects for themselves, have any of those come
- 14 to fruition?
- 15 Α. No.
- Q. 16 Why not?
- 17 We hadn't got through everything that I
- wanted to get done. 18
- 19 Q. What does that mean?
- A. 20 Just means that I didn't want to put out
- 21 something that -- that would have been a -- that I
- 22 would have felt like would be too expensive to operate
- 23 and maintain. It was more expensive than I wanted to
- 24 introduce. We had other things that were -- that were
- 25 testing and research and development that I felt like

- 1 would be more pertinent for our overall -- what we
- 2 wanted to accomplish with the project.
- 3 Could we have done? Probably. It would
- 4 have diverted our attention, I think, away from what I
- 5 wanted to accomplish.
- Mr. Johnson, has any entity that you make 6
- 7 decisions for ever entered a Power Purchase Agreement?

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- 8 I don't know. We had -- there was a
- 9 power purchase in the process of being submitted as --
- 10 it was a -- it was a -- it was a bout this
- 11 thick of the process. Whether or not that actually
- 12 went through, I -- I don't recall. But it -- we did
- 13 -- there was one submitted, I think, yeah.
- 14 When was that one that you recall being Q.
- 15 in process?
- A. 16 I think it's about 2007, something like
- 17 that, yeah.
- 18 Q. Mr. Johnson, for the entities that you
- 19 make decisions for, could anyone but you sign a Power
- 20 Purchase Agreement?
- 21 A. No.
- 22 For the entities that you make decisions
- 23 for, could anyone but you sign an interconnection
- 24 agreement?
- 25 Α. No.

Page 70 1 Q.

- Okay. Let's talk -- I think this will 2 just be very quick and we'll take a break -- about the
- 3 Utah Power & Light project in 2001-2002.
- You -- Mr. Johnson, you testified earlier 4
- 5 that you recalled, I believe, having put power on the
- 6 grid at that time?
- 7 Yeah. I'm not positive, but there was --
- 8 Utah Power & Light wanted to -- to get engaged with me
- 9 on a -- on a project, and they invited me to come down
- 10 to the power plant at Bluffdale down in Beaver County,
- 11 geothermal power plant.
- 12 And at that time they had an electrical
- 13 engineer that was assigned to work with me in getting
- 14 that project hooked up to their geothermal plant in
- 15 Millard County -- or not Millard -- Beaver County.
- 16 Q. So did this have to do with solar lenses?
- 17 A. Well, it had to do with the -- with the
- 18 -- with one aspect of it, which was the turbine.
- 19 Okay. But not the solar lenses that
- 20 we're talking about in this case?
- 21 Well, the -- yeah, the -- no, there was
- 22 -- it was -- this demonstrates the feasibility of the
- 23 turbine itself, yeah.
- Okay. So the 2001-2002 situation with 24
- 25 Utah Power & Light --

			Page 73				
1	A.	It was		1	A.	Just recently.	Within a year

- 2 Q. -- did not involve the solar lenses that
- 3 are at issue in this case; correct?
- 4 No. That was for coal fired and -- and geothermal site.
- 6 MS. HEALY-GALLAGHER: Okay. Off the 7 record, please.
- (There was a break taken.) 8
- 9 (Ms. Hines left the proceedings.)
- MS. HEALY-GALLAGHER: We'll go back on 10
- the record, please. 11
- Mr. Johnson, we're back on the record 12 Q.
- after a short break. 13
- 14 Α. Thank you.
- 15 Q. Are there any answers to my questions so
- 16 far that you need to add information to or change?
- A. I forgot them all. I can't even remember 17
- which one you asked. 18
- Okay. Did you talk to anyone during the 19
- 20 break about the facts of this case?
- A. Yeah. He said I was boring. 21
- 22 And that's your attorney, Mr. Snuffer?
- Yeah. Isn't that rude? I can't believe 23 Α.
- 24 he would say that.
- 25 One quick follow-up on RaPower-3.

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or two, or

- 2 three. I -- I can't remember, but that's -- I think
- 3 definitely it is changed to the Utah corporation.
- 4 Q. Do you know why?
- 5 A. I'm not positive. I think it's just
- 6 easier to do business in Utah than it is Nevada, is
- the only reason. I don't know.
- Q. Who made that change? 8
- 9 Α. I asked Dave Nelson to make that change
- 10 for me.
- 11 Q. So did you decide to make the change?
- 12 Α. I did.
- 13 Q. Okay.
- 14 A. I did.
- 15 Q. So why did you decide to make that
- 16 change?
- 17 I just felt like that Utah was a more
- 18 favorable place to do business.
- Do you know if LTB, LLC is still the name 19 Q.
- 20 of the company in Utah?
- I -- as far as I know, it hasn't changed 21 Α.
- 22 the name.
- 23 (Ms. Hines joined the proceedings.)
- 24 BY MS. HEALY-GALLAGHER: Okay. Let's Q.
- 25 talk about LTB1, LLC. Are you familiar with that

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- Do you recall when RaPower-3 was 1
- 2 incorporated?
- A. I don't know the date. I don't know. 3
- 4 From the date of its incorporation to the
- 5 present date, has anyone else made decisions on behalf of --6
- 7 Α. No.
- -- RaPower-3? 8 Q.
- 9 No.
- 10 Sorry, didn't mean to interrupt.
- And with respect to Cobblestone Center, 11
- 12 has there ever been anyone else to make decisions on
- 13 behalf of that entity, other than you?
- 14 Α.
- To your knowledge, Mr. Johnson, is LTB, 15 Q.
- 16 LLC in good standing with its state of incorporation?
- A. I think they changed the state to Utah. 17
- 18 That was done by Dave Nelson, and I believe it is in good standing.
- Q. What -- let's see. 20
- 21 So what was the initial state of
- 22 incorporation for LTB, LLC?
- 23 The initial state was Nevada.
- And, to your understanding, that changed 24 Q.
- 25 when?

- 1 entity?
  - 2 I think they're the same. They do the --Α.
  - 3 maybe that's what they changed the name to. I'm not
  - 4 positive, but -- so I'm not sure there's any
  - 5 difference between the two.
  - 6 You're not sure there's any difference
  - 7 between LTB, LLC and LTB1, LLC?
  - 8 Α. Not that I know of.
  - 9 Q. So -- sorry, let me rephrase that a
  - 10 little bit.
  - So, to your knowledge, there is no 11
- 12 difference between LTB, LLC and LTB1, LLC; correct?
- Well, I think they assume the same 13
- 14 functions. If there are -- if there's a difference in
- 15 the name and why they changed, I'm not -- I don't
- 16 remember why -- if there is a change.
  - Q. Well, let's --
- Α. They would -- they would function as the 18
- 19 same -- do the same function.
- 20 Q. Well, let's start with who owns LTB1,
- 21 LLC?

17

- 22 Α. That I don't know without going through
- 23 the records. I wouldn't know.
- 24 Q. Who is its manager?
- 25 I think I am the manager. Like, I don't Α.

- 1 think Chris Taylor is anymore, of the LTB1. I don't
- 2 know Chris Taylor was ever LTB1, but I -- I believe I
- 3 am the manager.
- 4 Q. So you make decisions on behalf of LTB1?
- 5 A. I do.
- 6 Q. Does anyone else make decisions on behalf
- 7 of LTB1?
- 8 A. No.
- 9 Q. Has anyone else ever made decisions on
- 10 behalf of LTB1?
- 11 A. No.
- 12 Q. What does LTB1 do?
- 13 A. They -- they're -- they don't do anything
- 14 right at the present time, nor have they done
- 15 anything. It's -- it's a -- it's a company that --
- 16 that may or may not be the manager or -- of a power
- 17 plant, and there isn't any definite decision made on
- 18 that. It's just that it's available if we need it.
- 19 Q. So correct me if I -- if I state this
- 20 wrong, but it sounds to me like LTB1 could fulfill the
- 21 same functions as LTB, LLC?
- 22 A. That's correct.
- 23 Q. But LTB1, LLC has never maintained or
- 24 operated a power plant; correct?
- 25 A. That's correct.

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- 1 Q. Do you know where LTB1, LLC is
- 2 incorporated?
- 3 A. I believe it's Utah.
- 4 Q. So, to your knowledge, Mr. Johnson, LTB1,
- 5 LLC has never entered an interconnection agreement;6 correct?
- 7 A. Correct.
- 8 Q. And, to your knowledge, LTB1, LLC has
- 9 never entered a Power Purchase Agreement; correct?
- 10 A. Correct.
- 11 Q. Are you familiar with the entity LTB O&M?
- 12 A. LTB what?
- 13 Q. O&M. I believe that's LLC.
- 14 A. Not that I know of.
- 15 Q. Please don't look at your wife, sir. If
- 16 you don't know the answer, just say you don't know.
- 17 A. Sorry. Okay. All right. I'm in
- 18 trouble. Are we going to have a fight? That makes it
- 19 more interesting. It wouldn't be so boring.
- 20 Q. So, Mr. Johnson, do you know who owns LTB
- 21 O&M, LLC?
- 22 A. I -- not myself. I don't know.
- 23 Q. Do you know who the manager of LTB O&M,
- 24 LLC is?
- 25 A. I -- as far as I know, I've never seen

1 the document. But I may have done, I just don't 2 recall.

3 Q. So you don't know who the manager is?

4 A. I don't recall anything about the company

5 at all. I'm sure that I -- if I saw some paperwork, I

6 -- I might find a recollection. But at this point in

7 time, I -- I don't have any recollection of that.

8 Q. Okay. Are you familiar, sir, with the 9 entity XSun Energy?

10 That's the letter X --

- 11 A. Yes, uh-huh.
- 12 Q. -- S-U-N.
- 13 A. Okay. Sorry.
- 14 Q. You are, okay.
  - Who owns XSun Energy?
- 16 A. I don't know who the ownership is without
- 17 looking at the documents, but I think I still own
- 8 probably 10 percent.
- 19 Q. Do you know, sir, who the manager is for
- 20 XSun Energy?
- 21 A. I believe that is -- I am the manager of
- 22 XSun.

15

- 23 Q. Do you recall when XSun Energy was
- 24 formed?
- 25 A. I don't. 2008, '9, '10, I don't know.

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- 1 I'm not positive.
  - 2 Q. And do you make decisions on behalf of
  - 3 XSun Energy?
  - 4 A. I do. I do.
  - 5 Q. Since XSun Energy was formed, has anyone
  - 6 else made decisions on behalf of XSun Energy?
  - 7 A. No.
  - 8 Q. What does XSun Energy do?
  - 9 A. They're a marketing organization for the
  - 10 technology -- energy technology, with an agreement, I
  - 11 believe, with International Automated Systems on a
  - 12 license royalty agreement.
  - 13 Q. What's the difference, if any, between
  - 14 what XSun Energy does and what RaPower-3 does?
    - A. There's a difference -- excuse me --
  - 16 there's a difference in the way they market the
  - 17 products.

15

18

- Q. What's that difference?
- 19 A. One -- I -- one is a multilevel marketing
- 20 company; one is a direct sales organization.
- 21 Q. So XSun Energy is direct sales?
- 22 A. Yes, uh-huh. The -- the -- there's --
- 23 they don't operate -- the sales people are -- are --
- 24 are separate. They're not employees of XSun, either,
- 25 but they don't operate as a multilevel marketing

- 1 group.
- 2 Q. But the RaPower-3 sales structure is a
- 3 multilevel marketing group; correct?
- 4 A. That is licensed in all 50 states, yes,
- 5 it is.
- 6 Q. And that is the multilevel marketing?
- 7 A. That's correct, yes.
- 8 Q. Does XSun Energy have any employees?
- 9 A. No, they do not. But me, I mean, I don't
- 10 know how you qualify that to me, but -- so I am an
- 11 employee, I guess, of XSun.
- 12 Q. What, if any, role does Mrs. Glenda
- 13 Johnson play with respect to XSun Energy?
- 14 A. She would take care of the bookkeeping by
- 15 just a favor of -- for me. She's not an employee.
- 16 Q. She writes checks for XSun Energy?
- 17 A. Yes, yes. She takes care of all the
- 18 money for me.
- 19 Q. Does she do anything else other than
- 20 bookkeeping and check writing for XSun Energy?
- 21 A. She does not.
- 22 Q. And let me ask you this, too: What, if
- 23 any, role does Mrs. Johnson play with respect to LTB,
- 24 LLC?
- 25 A. None.

- 1 Q. No bookkeeping?
- 2 A. There hasn't been any bookkeeping for
- 3 that company. I don't even know if it has a bank
- 4 account, to tell you the truth.
- 5 Q. And is the same true for LTB1, LLC?
- 6 A. That's correct. Excuse me. That's
- 7 correct, yes.
- 8 Q. Does XSun Energy, LLC do anything other
- 9 than marketing the technology?
- 10 A. No.
- 11 Q. Okay. Let's talk about SOLCOI, LLC. Are
- 12 you familiar with that entity?
- 13 A. Yes, I am.
- 14 Q. Who owns SOLCOI, LLC?
- 15 A. There again, without having the
- 16 documents, I don't really know. But I think I still
- 17 own 10 percent.
- 18 Q. Who is the manager of SOLCOI, LLC?
- 19 A. That -- that's me. That's Neldon
- 20 Johnson.
- 21 Q. Do you recall when SOLCOI, LLC was
- 22 formed?
- 23 A. It's been a while. 2008, '9. Or it
- 24 could even be later than that. I don't know. Could
- 25 have been before that, too. I don't know.

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1 Q. Do you make the decisions for SOLCOI,

2 LLC?

7

9

- 3 A. I do.
- 4 Q. Since SOLCOI was formed, has anyone else
- 5 made decisions for that entity?
- 6 A. No
  - Q. What, if any, role does Mrs. Glenda
- 8 Johnson play with respect to SOLCOI?
  - A. She, again, as a favor to me does the
- 10 bookkeeping and controls the money.
- 11 Q. Anything else?
- 12 A. No.
- 13 Q. What does SOLCOI do?
- 14 A. Just the marketing. It has marketing
- 15 arrangements with IAS with a contract on royalties.
- 16 Q. How is SOLCOI's marketing different from
- 17 RaPower-3's or XSun Energy's?
- 18 A. Just probably the size of the projects.
- 19 They have a different entity, there's different
- 20 requirements to -- different sales structure,
- 21 different entity that they're able to work with rather
- 22 than the -- the XSun or the RaPower.
- 23 Q. So what's different about the SOLCOI
- 24 structure and system?
- 25 A. They're able, then -- they're able to

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- 1 separately negotiate contracts without having a -- a
- 2 set structure on -- on the way the negotiations of the
- 3 separate contracts are arranged.
- 4 Q. Who negotiates on behalf of SOLCO?
- 5 A. I -- I -- I do all the negotiations on
- 6 behalf of -- of SOLCO as their manager.
- 7 Q. How many customers does SOLCO have?
- 8 A. I don't know for sure.
- 9 Q. More than five?
- 10 A. Could be, but I don't know for sure. I
- 11 -- I don't keep track of that unless I need -- need to
- 12 know for some reason.
- 13 Q. Who does keep track?
- 14 A. Well, my wife does the bookkeeping, and
- 15 so she -- Glenda Johnson probably has a record of it
- 16 on her computer system. So...
  - But she does it, you know, as a favor for
- 18 me.

17

- 19 Q. So do you have an idea of SOLCO's sales
- 20 since it was formed?
- A. Well, I know of a couple.
- 22 Q. I mean gross. Gross sales?
- 23 A. I -- it's in the tens of millions of
- 24 dollars. I don't know. They're under contract. They
- 25 have -- they haven't collected all that money, but

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- 1 there's money in escrow accounts, as far as from what
- 2 I understand, to complete the project.
- 3 Do you have an idea of the number of
- 4 customers that XSun Energy has?
- 5 I don't know right off the top of my
- 6 head, no.
- 7 Do you have an idea of XSun Energy's
- 8 gross sales?
- 9 No, I'm -- I don't even venture on that
- 10 one. I -- without looking at the books, I really
- wouldn't know.
- Does SOLCOI sell lenses or does it sell 12 Q.
- 13 something else?
- 14 No, it does sell lenses, but the projects
- 15 are negotiated on a different basis and there's
- 16 different requirements on -- on the legal structures
- 17 that we enter into that we require them to have, I
- 18 think, in order to do business on that level.
- 19 Does XSun Energy sell lenses or does it
- 20 sell something else?
- Mostly just lenses. 21
- 22 And RaPower-3 sells lenses; correct?
- 23 Α. That's correct.
- 24 Q. And are they the same lenses, whether
- 25 it's RaPower-3, XSun Energy, or SOLCOI that's doing

- Okay. And is the idea that those -- that
  - 2 the lenses sold by XSun Energy, RaPower-3, and SOLCOI

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- 3 would ultimately be operated and maintained by LTB.
- 4 LLC or LTB1, LLC?
- A. It depends on the contracts. The
- 6 contracts are not -- the people that buy the lenses
- 7 are not obligated to use LTB or any -- any -- any
- 8 operational company. That's their choice.
  - To your knowledge, has anyone who has
- 10 bought lenses chosen a company other than LTB, LLC or
- 11 LTB1, LLC?

9

- 12 A. No, not that I know of.
- 13 MS. HEALY-GALLAGHER: Let's go off the
- 14 record for a quick second.
- 15 (There was a discussion held off the record.)
- 16 (Exhibit 509 was marked for identification.)
- 17 MS. HEALY-GALLAGHER: All right.
- 18 Mr. Johnson, we have set up what has been marked as
- 19 Plaintiff's Exhibit 509, which is a disk exhibit. And
- 20 like we talked about, what we're going to do is walk
- 21 through some files on this disk, and I will call those
- 22 out for the record as we go through.
- Q. First off, Mr. Johnson, do you recall 23
- 24 that on April 4, 2017, the United States counsel made
- 25 a site visit to Millard County, Utah?

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- 1 the selling?
- 2 Yeah. Well, you know, we could enter 3 into a contract for different sizes of lenses, and I
- suppose that --
- 5 Q. I'm sorry, I'm going to stop you right
- 6 there.
- 7 Different physical sizes of the physical
- lens or a different quantity of lenses?
- 9 A. No. We can -- the size of the lenses are
- -- are -- are variable according to the -- the mold
- 11 that goes on the machine.
- 12 Okay. Well, let me -- let me ask
- 13 potentially a better question.
- 14 Α. Okay.
- 15 Q. In the past, as of today, have RaPower-3,
- 16 XSun Energy, and SOLCOI sold the same lenses?
- Yes. Yes, that's correct. 17
- Is there any difference in pricing of 18
- 19 those lenses between XSun Energy, SOLCO, and
- 20 RaPower-3?
- 21 Α. No, the pricing is the same.
- For lenses sold by RaPower-3, XSun 22
- 23 Energy, and SOLCOI, LLC, is it Cobblestone Center that 23 clip is 13:01:38.
- 24 manufactures the infrastructure for those lenses?
- 25 That's correct, yes.

- A. Yes. 1
  - 2 Okay. And the first place that we
  - 3 stopped there was the manufacturing plant.
  - 4 Do you recall that?
  - Correct. 5
  - 6 Okay. Then we traveled to a house that
  - was on some land. 7
  - 8 Do you recall that?
  - 9 Α. Yes.
  - 10 And behind that house was a large field
  - 11 that had certain structures in it. I believe you
  - 12 called that the construction site; is that right?
  - 13 A. Correct.
  - 14 Q. Okay. And then after we visited the
  - 15 construction site, we drove a little bit and visited
  - 16 what I understand to be the R&D side.
  - 17 Is that your understanding as well?
  - 18 That's correct. Α.
  - 19 Okay. So -- all right.
    - Let's take a look at the first video,
  - 21 which file name is video 12\_4\_00-4-23. And just for
  - 22 the record, the starting time stamp for this video

20

- 24 (Video played.)
- 25 BY MS. HEALY-GALLAGHER: Mr. Johnson, do

9

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1 you recognize what's shown in this particular video

- 2 clip?
- 3 A. Yes.
- 4 Q. This video clip is a pan of at least much
- 5 of the R&D site; correct?
- 6 A. Correct.
- 7 Q. And, Mr. Johnson, the only towers that
- 8 have been built are the ones that are on the R&D site;
- 9 correct?
- 10 A. Correct.
- 11 Q. Next clip is video 12\_4-38-5\_15. The
- 12 beginning time stamp is 13:2:16.
- 13 (Video played.)
- 14 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, was
- 15 that an accurate depiction of what the R&D site looked
- 16 like on April 4th?
- 17 A. Yes.
- 18 Q. Next clip is video 16\_12\_24-12\_41. The
- 19 beginning time stamp is about 13:28:16.
- 20 (Video played.)
- 21 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson,
- 22 does that video clip accurately reflect a board
- 23 burning underneath a set of lenses?
- 24 A. Yes.
- 25 Q. Next clip is video 16\_1\_38-1\_59.

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- 1 Beginning time stamp is 13:17:30.
- 2 (Video played.)
- 3 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson,
- 4 what's depicted in that video clip?
- 5 A. That represented a fire input to a new
- 6 type of heat exchanger that we had patents -- just
- 7 received patents on.
- 8 Q. So is the new heat exchanger featured in
- 9 this video clip?
- 10 A. It is.
- 11 Q. Okay. I'm going to play it again and you
- 12 tell me where we first see it.
- 13 A. Okay.
- 14 (Video played.)
- 15 THE WITNESS: Okay. Right there.
- 16 Q. BY MS. HEALY-GALLAGHER: Okay. So that's
- 17 at time stamp 13:17:42.
- 18 I'm going to continue the clip and you
- 19 let me know when the heat exchanger is no longer in
- 20 view.
- 21 A. Okay.
- 22 (Video played.)
- 23 Q. BY MS. HEALY-GALLAGHER: Okay. The clip
- 24 just ended, so then did the camera pan to see the
- 25 entire heat exchanger?

1 A. It did.

- 2 Q. Okay.
- 3 A. Yes. Sorry.
- 4 Q. Next video clip is video 16 2 10-2 40.
- 5 Beginning time stamp is approximately 13:18:2.
  - (Video played.)
- 7 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson,
- 8 what's depicted in that video clip?
  - A. That's the burner -- gas burner control
- 10 system for the burner -- the gas burner.
- 11 Q. Is that an accurate depiction of the gas
- 12 burner control that was on site April 4th?
- 13 A. It is.
- 14 Q. And you know what, I'll ask for the
- 15 previous video clip: Was that an accurate depiction
- 16 of the heat exchanger as it appeared on April 4th?
- 17 A. Yes, it is.
- 18 Q. Okay. Back to the gas burner control.
- 19 What does that do?
- 20 A. That controls the temperature of the --
- 21 of the molten salt that we're using there and so that
- 22 we can heat water with the molten salt for the
- 23 turbine.
- 24 Q. How, if at all, is the gas burner control
- 25 connected to anything?

1 A. Well, it -- the gas burner controller is

- 2 connected with the valves on the -- on the piping that
- 3 controls the gas flow for the burner, and then part of
- 4 the control mechanism also controls the ignition of
- 5 the gas in the burner that has a temperature control
- 6 mechanism that maintains proper temperature for the
- 7 mold. So...
- 8 Q. You mentioned molten salt. Was there any
- 9 molten salt on site when we visited on April 4th?
- 10 A. Yes.
- 11 Q. Where was it?
- 12 A. It was inside the heat exchangers and the
- 13 tanks and the other heat exchangers.
- 14 Q. Where did you get the molten salt?
- 15 A. That just -- we -- there's a company --
- 16 there's several companies that -- that make molten
- 17 salt. We -- we found this company that makes a molten
- 18 salt that is a very low temperature melting point
- 19 molten salt, and so -- but I can't remember the 20 company.
- 21 Q. You don't know the company name?
- 22 A. I don't. I don't remember what it is.
- 23 Q. Is it in any of your documents?
- 24 A. Oh, yes. It's -- it's there in the -- in
- 25 your documents.

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- 1 Q. Mr. Johnson, what's the composition of
- 2 the molten salt that you use?
- 3 A. Without -- without looking at the exact
- 4 composition, I don't know. But I can -- I can give an
- 5 approximation if you want.
- 6 Q. I'll take an approximation right now.
- 7 A. Okay. It's a -- it's a potassium
- 8 chloride -- sodium chloride combination, I think -- I
- 9 think, and I don't know this -- it's combined with a
- 10 fluoride salt to get the temperature to the lowest
- 11 possible melting points.
- 12 I'm not sure of the fluoride, but I think
- 13 it has fluoride in it.
- 14 Q. Have you ever used any other heat
- 15 transfer fluid other than molten salt at the R&D site?
- 16 A. Yes. We've used the high temperature oil
- 17 as well. But you can't -- well, we'll let you ask
- 18 that question, I guess.
- 19 Q. Have you used any other heat transfer
- 20 fluid besides high temperature oil or molten salt?
- 21 A. Yes. We've used water and we've used a
- 22 liquid metal, a metal that is a low temperature
- 23 melting metal. I think the melting point of the metal
- 24 is actually a little over 110 degrees.
- 25 Q. Have you used any other heat transfer

- 1 THE WITNESS: Babbitt, B-A-B-B-I-T [sic],
  - 2 I believe. I'm not positive the spelling either.
  - 3 Q. BY MS. HEALY-GALLAGHER: Since we visited

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- 4 on April 4th, have you used any other heat transfer
- 5 fluid than molten salt?
- 6 A. No. No, we haven't used anything else.
- 7 Not -- not in that system that you saw. In the heat
- 8 exchanger that you represented we haven't changed the
- 9 -- from that molten salt out of that system.
- 10 Q. Okay. When we visited on April 4th, was
- 11 the molten salt actually molten?
- 12 A. No.
- 13 Q. Has it ever been?
- 14 A. Yes. Yeah, several times. Lots of
- 15 times. I should say many times.
- 16 That's -- when I read that, put "many
- 17 times."
- 18 Q. All right. The next video clip that
- 19 we're going to take a look at is video \_8\_32-8\_57.
- 20 All right. The beginning --
- 21 approximately the begin time stamp of this video clip
- 22 is 15:24:24.
- 23 (Video played.)
- 24 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, was
- 25 that video clip an accurate depiction of what was on

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6

- 1 fluid besides high temperature oil, molten salt,
- 2 water, or liquid metal?
- 3 A. No, I don't believe so.
- 4 Q. Is the high temperature oil the same oil
- 5 you said you used in Mesquite?
- 6 A. Not positive. I'm not sure exactly.
- 7 There was a problem with the oil in Mesquite that --
- 8 that higher temperatures, even adding the nitrate --
- 9 nitrogen side of it, when it got -- got on to the
- 10 burner side, it would coagulate, cause me some
- 11 problems. I think we changed the oil.
- 12 Q. And that -- I'm sorry.
- The oil that coagulated, was the one in
- 14 Mesquite?
- 15 A. Yes. It was more difficult to use, I
- 16 think.
- 17 Q. And what was the liquid metal that you
- 18 used as heat transfer?
- 19 A. Cesium -- combination cesium.
- 20 Q. I'm sorry, could you spell that, please.
- 21 A. I'm not sure of the name, but I think it
- 22 was cesium, C-E-S-S-I-U-M [sic], I think. But I'm not
- $23\,$  even positive of that, but I think it was a cesium,
- 24 lead, babbitt formation there.
- 25 THE REPORTER: Babbitt?

- 1 the R&D site on April 4th?
- 2 A. Yes, it was.
- 3 Q. What did that video clip show?
- 4 A. It shows the step-up transformer on the
- 5 three-phase step-up transformer system.
  - Q. And what does that do?
- 7 A. Takes your power generated from your
- 8 generator on your turbine and increases the voltage up
- 9 to the -- the -- it could -- it brings it up to the
- 10 line level on your -- on your power lines.
- 11 Q. Mr. Johnson, at the beginning of this
- 12 video clip at time stamp 13:24:25, I see what looks
- 13 like a power line coming out of the step-up
- 14 transformer; is that right?
- 15 A. That might be. I can't see the whole
- 16 thing, but it appears to be that's what it is.
- 17 Q. Looking at this video play a little bit
- 18 more --
- 19 A. Yes. That looks like that's what it is,
- 20 yes.
- 21 Q. You see that that is what that is?
- 22 A. Uh-huh.
- 23 Q. Okay. Where does that cord go?
- 24 A. I'm not positive, because we -- we're
- 25 also using power to drive a motor that we -- that we

9

1 were going to put on a well. So it could go to there

- 2 or it could go up to the power grid. I'm not exactly
- 3 sure where that line -- what that line does, whether
- 4 it goes to the well on the power grid.
- 5 Q. Where is the well?
- 6 A. The well is on the west side of the
- 7 property -- southwest side of the property there.
- 8 Q. So is that going in the direction of the
- 9 house?
- 10 A. Oh, no. It goes west of that. House is
- 11 northeast of the property here that this is on.
- 12 Q. Okay. So if it's not going to the well,
- 13 it's going to the power lines?
- 14 A. Right.
- 15 Q. Okay.
- 16 A. Right. Absolutely.
- 17 Q. The next video clip is video 9\_2\_18-2\_31.
- 18 Beginning time stamp is approximately 12:41:12.
- 19 (Video played.)
- 20 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, did
- 21 that video clip appear to be an accurate depiction
- 22 of -- actually, a portion of the construction site
- 23 that we visited?
- 24 A. That's correct.
- 25 Q. Okay. And what we see in this video

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- 1 clip, correct, at time stamp 12:41:14 is -- in the
- 2 back rear of the clip we see the towers from the R&D
- 3 site; correct?
- 4 A. No. This is the construction site.
- 5 Q. I'm pointing your attention to the
- 6 horizon.
- 7 A. Okay.
- 8 Q. And there we see in the horizon, we see
- 9 the towers from the R&D site; right?
- 10 A. That's correct.
- 11 Q. And that's in the upper left-hand view of
- 12 this video.

17

- 13 A. You got good eyes.
- 14 Q. And we also see, running through the main
- 15 portion of this screen that we can see right now, what
- 16 appear to be power lines; is that right?
  - A. That's correct, yes.
- 18 Q. So, Mr. Johnson, is -- are these the
- 19 power lines that run from that step-up transformer to
- 20 the -- connect to the power lines by the house?
- A. One of the lines is a three-phase power
- 22 line, and it goes up to operate some equipment. The
- 23 other power line is -- goes to the grid. So there's
- 24 two -- we can interchange the way we use the power,
- 25 but one -- one does go to the grid, yes.

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Q. Okay. And on April 4th when we visited,

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2 there was no electricity running through these lines;

- 3 correct?
- 4 A. That's correct, yes.
- 5 Q. Okay. Next video clip is video
- 6 18\_2\_58-3\_13. Beginning time stamp is about 14:21:51
- 7 And, actually, at time stamp 52 I'm just going to
- 8 pause this real quick to ask you first.
  - Mr. Johnson, is -- is this an accurate
- 10 depiction of a portion of the construction site?
- 11 A. Yes. Yeah, that's it.
- 12 Q. Okay. And what do you see in this image
- 13 right now?
- 14 A. This is just a fuse box.
- 15 Q. And what, if any, role does this fuse box
- 16 play?
- 17 A. It doesn't -- doesn't play any -- it just
- 18 provides power to the buildings behind there
- 19 and -- and there the interconnections are actually --
- 20 the line you're referring to is actually buried in the
- 21 ground over to the -- over to the pole. These are --
- 22 these are just -- these are just from the house over
- 23 to the buildings, I think.
- 24 Q. Okay. So where does -- the power line
- 25 that we saw going across the land, where does that end

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1 up?

- 2 A. It's buried into the -- into that box
- 3 right there. You can't see it, but the transformer's
- 4 in this small building right there (indicating).
- 5 Q. Oh, okay. So you just pointed -- in the
- 6 view that we see here, you pointed to what looks like
- 7 some plywood --
- 8 A. Right.
- 9 Q. -- on the right-hand view?
- 10 A. Right.
- 11 Q. And so you're saying the transformer is
- 12 in the portion contained in the plywood?
- 13 A. Right.
- 14 Q. Okay. So what role does the transformer
- 15 play?
- 16 A. It just continually either steps up or
- 17 steps down, depending on where I want to use the power
- 18 and how I want to use it. If I want to use it for the
- 19 house, then I use a step-down transformer. If I want
- 20 to use it for the grid, I step it up for it. It's
- 21 just how you connect the transformers.
- 22 Q. I'm sorry, just to make sure that I have 23 it right.
- The line that we saw going across the

25 land goes into the transformer?

		Case 2:15-cv-00828-DN-EJF Documen	t 25	6-14 Filed 11/17/17 Page 26 of 109
		Page 101		Page 103
1	A.	Uh-huh.	1	power off the grid, it makes it so that this
2	Q.	Yes?	2	connection from my solar power plant doesn't activate
3	A.	Right, uh-huh.	3	the grid.
4	Q.	Next clip is video 18_0_34-0_57.	4	Q. Okay. Have you ever connected that
5	Beginn	ing time stamp is approximately 14:19:7.	5	box
6		(Video played.)	6	A. Yes, I have.
7		MS. HEALY-GALLAGHER: And you know what	, 7	Q to I'm sorry, let me finish the
8	I'm goi	ng to pause this and restart it, and I will ask	8	question.
9	you to	take the audio on this.	9	Have you ever connected that box to any
10		THE REPORTER: If I can.	10	piece of equipment on the R&D site or the construction
11		MS. HEALY-GALLAGHER: Right. Understood.	11	site?
12		(Video played and transcribed.)	12	A. Yes. We we tested it out to make sure
13		"Hi, hey, this is the electronic	13	that it was going to work.
14		device that automatically monitors	14	Q. When?
15		the power on the line, makes it legal	15	A. Oh, I don't know, several years ago when
16		to put the power from the our	16	we first bought it. It was a long time ago. But I
17		solar energy project on the power	17	think we had a Rocky Mountain Power man with us wher
18		grid and protects the grid from	18	we tested it out.
19		being the power going onto the	19	Q. And, I'm sorry, when did you say you
20		grid when there's not power on the	20	bought that?
21		grid. So it protects the the	21	A. I'm not sure. It was quite a while ago.
22		transformer and hooks to the grid.		It was
23		Okay? That's what we use and I keep	23	Q. Before or after 2010?
24		that down away when I don't need it	24	A. I'm not positive. I mean, I don't
25		here."	25	remember, but it was I think it was before, but I'm
		Page 102	١.	Page 104
1	Q.	BY MS. HEALY-GALLAGHER: Mr. Johnson, was	1	not positive.
		eo clip an accurate depiction of what was on	2	Q. Why wasn't it connected when we visited?
3		ng our site visit April 4th?	3	A. We didn't have any kind of power going to
4		Yes, it was. Thank you.		the grid from where you were at, but we also don't
5	Q.	And that was you in the video; correct?	1	I I don't have the right to keep it on from I
6	Α.	That was me. I'm in my work clothes.		don't have a statement from Rocky Mountain Power that
7	Q.	And at time stamp 14:19:12, you are	1	I can keep that permanently hooked in. Without that,
	-	and pointing to what looks like a box. What		we wouldn't we wouldn't do that.
	is that b		9	Q. A couple questions. Number 1, where does
10	A.	This is the control panel board of the		that go?
		sically, and and then what this does, it's	11	A. Well, it it goes on the low
		connect from your a power plant to a		the low power side.
		id circuit. And this is required to to	13	Q. Of what?
		n power to the grid when the grid is is	14	A. Of your of your transformer. Because
		have or it's already active.	1	this this one only goes up to, I think, 600 volts,
16		If for some reason the grid, the wire		and so you'd be it would be on the low side of your
17	ioses po	ower, then this shuts off the power coming from	17	power transformer. And it's before your grid.

Johnson, Neldon Pages 101 - 104

18

20

22

25

Q.

Α.

A.

19 Rocky Mountain Power?

24 keep that connected.

Correct.

21 frame reference you're referring to.

What statement are you talking about from

I'm not sure what you -- what -- what

Well, you said that you don't have a

23 statement from Rocky Mountain Power that allows you to

18 your solar power plant to the grid.

Otherwise, you would keep the grid alive

And so what this does is monitoring the

20 when -- when there was no power being produced, and 21 the people working on the grid would think that they

22 shut the power off. And if you didn't have this on

25 -- monitors the power on the grid. And if you lose

23 it, they would still have a live -- a live grid.

19

24

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- 1 Q. What statement are you talking about?
- 2 A. Well, in order -- like, you have a --
- 3 like a solar panel on your house. In order to hook
- 4 that solar panel up to your -- to the -- to the -- to
- 5 the grid, you might say, you have to have
- 6 authorization from the -- the power provider that owns
- 7 the grid to make sure that the -- the -- the
- 8 equipment is actually working properly and -- and --
- 9 and then that they -- that you have access and the
- 10 right then to access your power onto their -- onto
- 11 their power lines.
- 12 Q. And you don't have such authorization
- 13 from Rocky Mountain Power; correct?
- 14 A. We do not. We do not.
- 15 Q. Okay. Have you ever had that
- 16 authorization?
- 17 A. Well, we had -- not -- not that type, no.
- 18 We haven't had that type of authorization.
- 19 Q. Have you had any other authorization from
- 20 Rocky Mountain Power?
- 21 A. Just the verbal. Just the testing
- 22 procedures, I think.
- 23 Q. And when, if ever, did you get verbal
- 24 permission from Rocky Mountain Power to test
- 25 something?

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- 1 A. It's been a long time ago. It's probably
- 2 right around 2010 or before. I don't -- I'm not sure.
- 3 Q. Who gave you verbal permission from Rocky
- 4 Mountain Power to test something?
- 5 A. Oh, it was just one of the -- the people
- 6 that worked there.
- 7 Q. Who?
- 8 A. I don't know the names. I -- some guy
- 9 that works at Utah -- that was working there at the
- 10 time.
- 11 Q. What did this person give you permission
- 12 to do?
- 13 A. Just to fire it on and test it out, make
- 14 sure it was going to function properly.
- 15 Q. Fire what on?
- 16 A. I mean, just put the -- the circuit on
- 17 and make sure that the -- the -- it would do what it
- 18 was supposed to do. And he had -- you know, and he
- 19 checked it out and he agreed that it worked according
- 20 to what the specifications were.
- 21 Q. What is it that you actually -- you say
- 22 fire it on -- fire the circuit on. He tested it.
- 23 What's "it"?
- 24 A. We actually put -- we actually -- this is
- 25 it. We actually put power --

- Q. This -- I'm sorry --
- 2 A. -- we actually put power to the system,
- 3 which then turned the system on, which then produced
- 4 -- which then produced the connection for it to go5 onto the grid.
- 6 Q. And you're pointing at the --
  - A. The -- this is the -- yeah, the power --
- 8 the circuit that controls the -- your access from --
- 9 from your access point, from your -- from your power
- 10 plant to the grid portion of the power delivery
- 11 system, you must have an interconnect system that's
- 12 certified by the -- by the power plant or the
- 13 government that it operates according to this.
- 14 And this is the box -- this is the
- 15 circuit here that can -- that operates that. To make
- 16 it operational, we had to feed it with some power, and
- 17 then we had to monitor that the power's going on.
- Then we had a place where we could break
- 19 the power from the power grid back into this to make
- 20 sure that this was going to operate properly.
- 21 Q. Okay. So when you talk about this
- 22 operating properly, this --
- 23 A. I'm talking about --
- 24 Q. Hang on. Let me let me just finish
- 25 the -- let me finish the question.

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- 1 A. Pardon me. Sorry.
- 2 Q. I want to make this clear for the record.
- 3 A. Okay.
- 4 Q. When you're talking about this working
- 5 properly, you're talking about the box that appears in
- 6 video 18 0 14-0 57?
- 7 A. That is correct.
- 8 Q. And what did you call that again?
- 9 A. It's the -- it's the -- it's the circuit
- 10 -- it's the circuit interconnect from a -- from a
- 11 source of power on one side of the box to make it so
- 12 that you can connect that -- that electricity being
- 13 produced on this side of the control circuit board
- 14 through the -- through this --
- 15 Q. Interconnect?
- 16 A. -- interconnect to the grid.
  - Q. Okay. So we're going to call this the
- 18 "interconnect."

17

20

- 19 A. Okay.
  - Q. Okay.
- 21 A. All right.
- 22 Q. You said that you had to run electricity
- 23 through the interconnect to see if it worked; correct?
- 24 A. Correct, uh-huh.
- Q. Where did the electricity come from that

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Page 109 1 you ran through the interconnect?

- 2 Just off our power plant system down
- 3 there. The R&D power plant on the -- on the cords
- 4 that you see on the line.
- 5 Q. When did that happen?
- 6 I don't know. It's been a long time ago.
- 2009, 2010. It's just when we -- when we purchased it
- 8 I don't -- I wanted to make sure that it was going to
- operate properly.
- 10 And, Mr. Johnson, did you keep any record
- of producing electricity from the R&D site that went
- 12 through the interconnect onto the grid?
- 13 No. I did not. Α.
- 14 Did you keep any data about that Q.
- 15 electricity?
- 16 Α. No, I didn't. I didn't keep any data.
- 17 Q. Who else was there when you produced
- 18 electricity and ran it through the interconnect?
- 19 A. I don't really remember. I -- it was
- 20 just when I got it in. When I got the circuit
- 21 interconnect in I wanted to test it out, and just
- 22 whoever was working there at the time would have been
- 23 there, but I didn't need any help. I did it just
- 24 myself. And the person from the power company.
- 25 Who's name you don't know?

1 to build, much less expensive to operate and to

- 2 maintain.
- 3 And I didn't want to -- I didn't want to 4 start projects that would -- that would -- would cost
- 5 me more and create a maintenance problem the
- 6 traditional power plants have.
- 7 Q. Okay.
- 8 Α. And I wanted to avoid that and I didn't
- 9 want people to badger me about, well, you got it
- 10 working, let's go down and put it up.
- 11 Okay. We're going to take a look at what
- 12 I believe is one last video clip for right now, which
- 13 is video 18\_2\_27-2\_39. The beginning timestamp to the
- 14 video is 14:21:22.
- 15 (Video played.)
  - 16 Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, was
  - 17 that an accurate depiction of being on the
- construction site on April 4th?
- 19 A. Yes, it was.
- 20 Q. Okay. And, again, that was you in this
- 21 video clip; correct?
- 22 Α. Correct.
- 23 All right. I'm going to play this again. Q.
- 24 I'm going to pause it and ask you some questions.
- 25 (Video played.)

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- Who -- I don't know the names. 2 Do you know what this person's role was
- 3 at Rocky Mountain Power?
- 4 He was just a worker.
- 5 Q. Aside from this time when you tested the
- 6 interconnect, is there any other time that electricity
- 7 has been generated from the R&D site and gone onto the
- 8 grid?

1

Α.

- 9 Α. No, no, there hasn't been. It's not
- 10 something I would do.
- Q. Did you tell anybody that you had take --11
- 12 put -- taken electricity from the R&D site and put it
- 13 on the grid?
- A. No, I did not. 14
- 15 Q. Why not?
- 16 Because it would have created everybody
- 17 wanting to see it, and I -- and I just didn't want to
- 18 show it at that time for -- it was reasons because I
- 19 just felt like that it would interfere with the R&D
- 20 that I was doing at the time.
- I could have -- I don't know how to
- 22 explain it, because it just doesn't make sense to
- 23 other people. But to me it makes perfect sense,
- 24 because I was finishing off things that would make a
- 25 power plant much more -- much -- much less expensive

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- 1 Q. BY MS. HEALY-GALLAGHER: Okay. I'm at 2 timestamp 14:21:28.
- 3 Now, Mr. Johnson, I believe in this clip
- 4 you are pointing to the utility pole where you expect
- 5 to connect to Rocky Mountain Power; correct?
  - Α. Correct.
- Q. Okay. I see a total of one, two, three,
- 8 four poles in this image.
- Α. 9 Okay.

6

- 10 Q. Do you agree?
- 11 Α. Right.
- 12 Q. So which pole is it?
- 13 Α. It's the middle pole.
- 14 Q. So are we -- you see this pole that's all
- 15 the way on the right (indicating)?
- Α. 16 Right.
- 17 Q. That has a line connecting --
- 18 A. That's correct.
- -- to something? 19 Q.
- 20 Α. Uh-huh.
- 21 Q. That's not it?
- 22 No. Α.
- 23 Q. No.
- 24 Then we have a pole that's pale to the
- 25 left of the first pole?

1 A. Correct.

- 2 That has what looks like a cylindrical Q.
- 3 item at the top of it?
- 4 Α. Right.
- 5 Q. Is that the pole?
- 6 Α. That's correct.
- 7 Okay. But there is no line on that pole;
- 8 correct?
- 9 Α. There is a -- there's a power line on the
- 10 pole that's connected to the grid. There isn't -- the
- 11 -- there isn't a power line connected to a place where
- 12 I could connect into that pole.
- 13 Q. Okay.
- Can't see it, but it's there. 14 Α.
- 15 Q. Okay. And you know what, if we look at
- 16 the very top of that pole --
- Right, you'll see the power. 17 Α.
- -- there's a very faint line --18 Q.
- 19 Α. Right.
- 20 Q. -- is that right?
- A. That's the grid. 21
- 22 So that's the grid.
- But you do not have a connection to that 23
- pole; correct? 24
- 25 Α. That's correct.

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- Q. Have you ever had a connection to that 1 2 pole?
- 3 Α. I have not, no.
- 4 Q. So then how did electricity get from the
- 5 R&D site to the grid?
- Well, it didn't -- the reason -- you want 6
- 7 me to explain why you're seeing what you're seeing?
- Q. I'm --8
- 9 I probably did at the site.
- The reason why we bought this particular 10
- 11 piece of property is because it would have cost us
- 12 more to run a power -- power line to my other property
- 13 than it cost me to buy this property and the house.
- 14 Q. Mr. Johnson, actually, I'm going to stop
- 15 you there.
- 16 Would you please repeat my question?
- 17 Right. Α.
- No, no. Mr. Johnson, I'm asking the 18
- 19 court reporter to repeat my question.
- A. Okay. You'll still get the same answer, 20
- because you won't understand it if I don't do it.
- 22 (The record was read as follows:
- 23 "Q. So then how did electricity get
- from the R&D site to the grid?") 24
- BY MS. HEALY-GALLAGHER: That's the only 25 25

1 thing I want to know.

2 Α. This pole right here is connected to the

- grid (indicating). 3
- 4 Q. Okay. So the first pole on the right of
- 5 this image is connected to the grid?
- 6 Α. Correct.
- 7 Q. And how is that wire connected to where
- 8 the interconnect went?
- 9 The interconnect hooks on to where the
- 10 transformer is from my -- from the main house from the
- 11 house, and there it's connected to that grid. And I
- 12 pull the power line, I can -- I can -- I told you I
- 13 can run that transformer either to the house or I can
- 14 run it to the grid.
- 15 If I ran it to the house, then this grid
- 16 is connected to the house.
- 17 Q. The first pole?
- Right. And then the power goes through 18 Α.
- 19 to the house box in the -- into this circuit, and
- 20 that's how it's connected.
- 21 Q. Okay.
- 22 Α. If you want me to explain why this is
- 23 here then I will.
- 24 Mr. Johnson, what, if any, plans have you Q.
- 25 established with Rocky Mountain Power to connect

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- 1 anything to the second pole from the right in this
- 2 image?

6

- 3 Α. You see this transformer right here?
- 4 This on the pole there's a little box you're calling
- (indicating) --
  - Q. I see.
- Α. 7 This is called the transformer.
- 8 Q.
- 9 Okay. The amount of power that you can Α.
- 10 put on the grid is determined by the size of your
- 11 transformer that you interconnect to the grid.
- 12 The -- the transformer that delivers
- 13 power to the house is -- is a small transformer that's
- 14 insufficient to carry the amount of power that I would
- 15 deliver when I hook the full power plant up to the
- 16 grid.

17

- Q. Sir, I'm going to stop you again.
- 18 Α. Okay.
- 19 MS. HEALY-GALLAGHER: Ma'am, would you
- 20 read back my question.
- 21 (The record was read as follows:
- "Q. Mr. Johnson, what, if any, plans 22
- 23 have you established with Rocky
- 24 Mountain Power to connect anything to
  - the second pole from the right in

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- 1 this image?")
- 2 MS. HEALY-GALLAGHER: Please answer that
- 3 question, sir.
- 4 THE WITNESS: Well, that's what I was
- 5 trying to do.
- 6 Q. BY MS. HEALY-GALLAGHER: Have you spoken
- 7 with anyone at Rocky Mountain Power?
- 8 A. They're the one that put the pole in.
- 9 Q. Okay. What is the next step with Rocky
- 10 Mountain Power to connect that?
- 11 A. Just to put an interconnect right there.
- 12 It's all done. Power's already down to the
- 13 interconnect, all I got to do is get the interconnect
- 14 lines over there and connect it in. It's all done.
- 15 I'm paying -- I'm paying --
- 16 Q. You say, sir, it's all done -- sir, you
- 17 say it's all done?
- 18 A. Right.
- 19 Q. And you also testified earlier that you
- 20 do not have permission from Rocky Mountain Power to
- 21 use the interconnect. So what is the next step with
- 22 Rocky Mountain Power, and what is your timeline to
- 23 accomplish it?
- A. Well, now you're asking me for a crystal
- 25 ball. I could tell you what we've accomplished.

- Page
  Q. I'm asking for your plan for your next
- 2 steps.

1

- 3 A. Well, that's all done.
- 4 Q. Sir --
- 5 A. There isn't any plan other than just
- 6 connecting in and say can I connect to the grid.
- 7 Q. Okay. When are you going to do that?
- 8 A. When I get -- when I get it done --
- 9 sufficiently done -- I'm getting -- slow down, okay.
- 10 I'm trying to -- I'm trying to get an
- 11 understanding of what you're trying to get me to say.
- 12 Q. Sir, my questions --
- 13 A. And what I have -- what I already have,
- 14 and so I don't want to get --
- 15 Q. My questions are very simple.
- 16 A. Okay. Well, they're not -- the answers
- 17 aren't simple, though. You're asking for a
- 18 complicated answer.
- 19 Q. Do you have a plan --
- 20 A. Yes, I do.
- 21 Q. -- by the end of 2017 to accomplish
- 22 permission from Rocky Mountain Power to connect to
- 23 your interconnect?
- 24 A. Right. The business plan we have, I
- 25 think you have a copy of it. It's guite involved.

Q. Okay. And, sir --

- 2 A. It's a very complex -- very complex
- 3 business plan that we provided to you.
- Q. What are your next steps to accomplishgetting permission from Rocky Mountain Power to

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- 6 interconnect?
- 7 A. Okay. The first thing we want to do is
- 8 make sure that we have the proper ability to provide
- 9 the parts for the projects in sufficient quantity that
- 10 we can meet the deadlines that this is going to
- 11 generate when we turn the power on.
- 12 And so once we turn the power on, it's
- 13 going to generate huge amounts of -- of -- it will --
- 14 it will -- it will put in place huge amounts of
- 15 contracts that have deadlines to produce.
- And as soon as -- as soon as those power
- 17 hits the line, those deadlines come in place. And
- 18 until the power lines are there, I don't have those
- 19 deadlines.
- And so what happens is, is we have to
- 21 make sure that we can meet those deadlines without --
- 22 without causing problems with the -- our customer base
- 23 and -- and putting a -- a legal burden on -- on the
- 24 production side.
- 25 We have chosen to develop -- making sure

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- 1 that we have developed the proper relationships, you
- 2 might say, with -- now with the manufacturing of our
- 3 -- of our different parts in sufficient quantity that
- 4 can meet the needs of what we're going to be doing.
- 5 And there's several people we have met
- 6 within China and India of making these parts, and we
- 7 have a part -- we have had shipment of those to make
- 8 sure that those parts are accurate and they do fit
- 9 within the requirements.
- 10 So once we have established that we have
- 11 the proper parts and they have guaranteed that we can
- 12 get delivery over certain time frames, we then can
- 13 finish off the interconnection to the power plant.
- 14 Q. Sir --
- 15 A. The law states that we interconnect to
- 16 that. All I have to pay them is -- is the -- is what
- 17 they call a voided energy cost. It's legal. There's
- 18 no -- they can't stop me from doing it.
- 19 And so that's not the problem. It never 20 was.
- 21 Q. Sir, couple quick questions.
- 22 A. Okay
- 23 Q. Is it your testimony that you do not need
- 24 permission from Rocky Mountain Power to interconnect?
- 25 A. I -- they can't with- -- what I'm saying

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- 1 is they can't withhold the permission. Once I've
- 2 established that we have the -- the proper
- 3 interconnections, the proper equipment that's --
- 4 that's designed to hook up to their grids, by law,
- 5 they cannot stop me from hooking into their grid, if
- 6 there -- if there is available space on the grid.
- 7 We are at the very end of the grid. That
- 8 means that space is -- is all the way back to their
- 9 power line. We can drive the whole thing back to
- 10 their power line and provide power all along the
- 11 system all the way back.
- So we're able to connect into the power
- 13 grid at the maximum capacity of that line at any time.
- 14 That's why we have it the way it is.
- 15 And so, no, they can't stop us from
- 16 interconnecting, as long as we comply with the legal
- 17 requirements that allow us to interconnect.
- 18 Q. Okay. So you are familiar with the
- 19 requirements that Rocky Mountain Power may have to
- 20 interconnect with its system?
- 21 A. Yes, we are.
- 22 Q. Okay.
- 23 A. We totally are in compliance with
- 24 everything that we have.
- 25 Q. Where can I find those requirements?

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- 1 A. You can -- you can -- any -- any agency
- 2 that deals with solar energy, for example, have --
- 3 have those legal requirements. But you could put --
- 4 Q. Sir, that's not my question.
- 5 A. I don't know.
- 6 Q. Where can I find Rocky Mountain Power's
- 7 requirements for interconnecting with its system?
- 8 A. You can ask Rocky Mountain Power. I
- 9 don't know.
- 10 Q. Do you have a copy of their requirements?
- 11 A. Yeah, we do somewhere.
- 12 Q. Did you produce it to the United States?
- 13 A. I don't know. I -- I wasn't -- Rocky
- 14 Mountain Power stuff, it wasn't ours. I'm sure you
- 15 did, but I don't know what was produced and what
- 16 wasn't. But we knew we had it. It was in somewhere.
- 17 It may even be in the business plan, I don't even
- 18 know.
- 19 Q. All right.
- 20 A. But, yeah, you have access to it.
- 21 Q. Another question I have, sir: You say
- 22 you currently have contracts that would obligate you
- 23 to go into full-blown production as soon as you're
- 24 connected to the grid. Did I understand that
- 25 correctly?

- 1 A. There's a timeframe which we have -- we
- 2 would be obligated to meet in order to release the
- 3 money to us. There's a timeframe on the releasing of
- 4 the money to us and the timeframe of which we must
- 5 start producing power.
- 6 Q. How many such contracts are outstanding?
  - A. I'm not sure. There are at least one or
- 8 two, but -- and they're big contractors, but they're
- 9 all -- but they're not just -- they're not -- there's
- 10 one that already has their money in escrow.
- 11 Q. Who's that?
  - A. I'm not sure. It's a company in -- back
- 13 east. But there's -- but --
- 14 Q. I'm sorry. I'm sorry, sir.
  - And with which entity is that contract
- 16 made?
- 17 A. SOCOI -- I mean SOLCO.
- 18 Q. So SOLCOI entered a contract with a
- 19 company back east you say?
- 20 A. Right.
- 21 Q. And you don't know the name of that
- 22 company?
- 23 A. I do know the name, but I don't remember
- 24 the names. I just don't know them. I just don't ever
- 25 do that.

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- Q. When did SOLCOI enter that contract?
- 2 A. Two or three years ago.
  - Q. Did you sign that contract on behalf of
- 4 SOLCO?
- 5 A. I did.
  - Q. And did that company back east pay any
- 7 money to SOLCO?
- 8 A. Yes, they did.
  - Q. How much?
- 10 A. I think it was a million dollars.
- 11 Q. What was that \$1 million for?
- 12 A. It was a down payment on -- on the
- 13 contract that they then could exercise the other
- 14 contracts. There's three other contracts they wanted
- 15 to exercise.
- 16 Q. What does that mean?
- 17 A. It means that they -- this gives them the
- 18 right to exercise those other contracts. I can't stop
- 19 them from exercising those other contracts.
- 20 Q. What do you mean exercising "those
- 21 other"? What are those three other contracts for?
- 22 A. It was -- it was for a large power plant.
  - So I can't stop them from exercising
- 24 those other contracts once we get -- once we
- 25 accomplish -- once we put power to the grid, I can't

Page 125 1 stop them from exercising those contracts.

- 2 So does that mean those three other
- 3 contracts would obligate --
- 4 Α. Yes.
- 5 Q. -- SOLCO, or another entity that is at
- your direction, to build power plants --6
- 7 Α. Yes.
- -- for these people? 8 Q.
- 9 Α. Yes.
- 10 Q. Okay. All right.
- So that's one company back east that 11
- 12 SOLCO has a contract with?
- 13 Α. Riaht.
- What, if any, other contracts are 14 Q.
- 15 outstanding?
- Well, they're not -- they're not 16 Α.
- 17 contracts, but they're the same way. These other
- 18 companies want -- entered into the same kind of a
- 19 contract that this group has.
- How many other companies? 20 Q.
- Well, the ones we've talked to are the --21 A.
- 22 No, sir. I'm not interested in who you Q.
- 23 talked to.
- 24 What other companies have entered
- 25 contracts?

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- Well, they haven't actually entered the 1
- contracts. What I'm saying, they want to enter into
- 3 the same contract.
- Okay. So the only company that has a 4
- 5 contract with SOLCO is the one whose name you can't
- remember back east?
- 7 Right.
- MS. HEALY-GALLAGHER: Let's go off the 8
- record, please. 9
- 10 (The lunch break was taken from
- 12:25 p.m. until 1:31 p.m.) 11
- 12 (Exhibit 510 was marked for identification.)
- MS. HEALY-GALLAGHER: We'll go back on 13
- 14 the record, please.
- Q. All right. Mr. Johnson, we're back on 15
- 16 the record after a lunch break.
- 17 Did you talk to anyone about the facts of
- 18 this case while you were on break?
- 19 Α. Nope, I didn't.
- Are there any answers from this morning 20 Q.
- 21 that you would like to change or amplify?
- Α. 22
- 23 Q. Okay. All right.
- First a revisit to XSun Energy real quick
- 25 with Plaintiff's Exhibit 510.

- 1 Α. Okay.
- 2 Just take a look through there and let me Q.

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- 3 know when you're finished.
- While you're looking, for the record, the 4
- 5 Bates for Exhibit 510 are Ra3005695 through 5714.
- 6 Α.
- 7 Q. Mr. Johnson, do you recognize Plaintiff's
- 8 Exhibit 510?
- 9 Α. Well, yeah, I guess I recognize the
- 10 contracts.
- 11 Q. The contracts.
- 12 And these are contracts between a Rick
- 13 Rowe and XSun Energy; correct?
- Yes. I -- I didn't -- I didn't sell
- 15 this. I signed the contracts, but I wasn't the
- 16 seller.

18

- 17 Q. Okay. Well, let's --
  - I don't know who this guy is, actually. Α.
- 19 Q. Well, let's take a look at the page
- 20 that's Bates marked Ra35698.
- 21 Α. Right.
- Under the line there for XSun Energy, 22
- 23 seller, is that your signature?
- 24 A. It is.
- 25 All right. As the manager of XSun

1 Energy; correct?

- 2 Α. That's correct.
- 3 Q. Okay. And is Plaintiff's Exhibit 510 a
- 4 true and correct copy of documents you produced to the
- 5 United States?
- 6 A. Is it what again?
- 7 Q. A true and correct -- does it appear to
- 8 be a true and correct copy of --
- Oh, yes. 9
- -- documents from your records that you 10
- 11 produced to the United States?
- 12 Α. Yes, uh-huh.
- Okay. Mr. Johnson, where did you get 13
- 14 your understanding that Rocky Mountain Power must
- 15 allow you to interconnect and put power on the grid?
- 16 I think it's either state law or federal
- 17 law, but I think it was under Clinton administration
- 18 is where the original solar energy laws were enacted.
- 19 And from my recollection, and I think they made it
- 20 illegal for -- to block, I think, the transmission of
- 21 renewable energy, I think, under the Clinton admin.
- 22 I'm not positive, but I think that's true.
- 23 Do you have any understanding of whether
- 24 someone has to agree to purchase the power before it
- 25 can be placed on the grid?

- 1 Well, there's two ways that -- that that 2 happens. One is what they call the energy -- the void
- 3 energy amount that they can pay. I'm not sure that's
- 4 the right word. Avoided energy cost, is what they 5 call it.
- 6 And with that they -- they can -- as long as -- as you're willing to pay them power at the 7 avoided energy cost, then they -- they have to then, I
- think, take the power. As long as the -- as long as there's -- the line is able to take that much power.
- 11 The other part of the Clinton
- 12 administration, I believe, is that if you have an
- 13 agreement with a -- the government, United States
- 14 Government must buy renewable energy power if it's
- 15 within a certain price of the power that they're
- 16 buying on the regular price on the regular production
- 17 of the price of regular power.
- 18 They -- it can be a little bit above
- 19 that, I think, but I know that if it's equal, then the
- 20 United States Government has to buy that power if
- 21 they're using that -- if they're using the power that
- 22 you're -- if it's available to them.
- 23 And you do have to then get a signature
- 24 or some kind of authorization from the government then
- 25 to -- that authorizes you to -- to do that process.

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- But, yeah, but it's a law that they have 1
- 2 to. The government -- the government itself must buy
- 3 renewable energy, and it came under the Clinton
- 4 administration, I think.
- 5 And, Mr. Johnson, it's correct that any
- 6 agreement to buy -- there must be an actual agreement
- to buy the power; correct?
- Right. But it can't be -- as long as 8
- you're within the price range.
- 10 Let me just stop you there. I just -- I
- 11 want to be clear that there has to be a contract --
- Yes, right. 12 Α.
- 13 -- for another person or entity to buy
- 14 power that you might generate, for example.
- 15 Right. But it -- but the contract isn't
- 16 like a regular contract where -- where you're asking a
- person to sign it and they have the right to turn it
- 18 down, see.
- 19 Q. Nonetheless, Mr. Johnson, there must be a 20 contract?
- 21 Α. Right. But --
- Yes. Okav. 22 Q.
- 23 But you have to understand that it's not
- 24 a regular contract.
- 25 But there must be --Q.

- A. 1 Because it would be --
  - 2 Q. Mr. Johnson, I'm going to object to the
  - 3 responsiveness of the answer. There must be a
  - 4 contract: correct?
  - 5 Α. Under the conditions that -- that the
  - 6 contract is a non-deniable contract.
  - 7 Okay. Do you currently have any Power
  - Purchase Agreement with any other person or entity?
    - I don't know if they ever got that other
  - 10 one signed and delivered. I don't know. In
  - 11 California.

9

15

- So is the answer no? 12 Q.
- 13 Α. No, it's not no. It's just I don't know.
- 14 Q. You don't know?
  - Α. Riaht.
- 16 Q. Okay. So for any of the entities over
- 17 which you exercise decision-making authority, you
- 18 don't know if any of those entities have power
- 19 purchase agreements?
- 20 Α. No. There may be one that does, and I
- 21 don't know if I do or not because we -- we went
- 22 through the process -- but it's been a long time ago
- 23 -- of acquiring a Power Purchase Agreement. Whether
- 24 or not that went through and when it was signed or
- 25 whether it wasn't signed, I don't -- I don't really

- 1 know.
- 2 Q. Who would have signed that contract?
- Well, I would have done, but I don't 3 A.
- 4 remember whether I did or whether I didn't. But we
- did go through the process of doing that.
- But -- but let me -- let me rephrase that 6
- 7 one question on that contract.
- 8 There are what they call -- and you saw
- 9 that in that other document -- people that -- that buy
- 10 and sell power for the grid. And I'm trying to think
- 11 of what they're -- they're called. But -- excuse me.
- 12 Q. Excuse us.
- 13 They're -- but -- but you don't have to
- 14 have a contract to -- to -- with an individual to sell
- 15 power on the grid. It goes through what they call a
- 16 scheduler, and if -- and the lowest price, they accept
- the lowest price bid at the time to bid. 17
- 18 Q. So I'm going to stop you there.
- 19 Mr. Johnson, do you have any agreements
- 20 in place, whether with you or any of the entities that
- 21 you exercise decision-making control over, are there
- 22 any agreements in place to sell energy?
- 23 A. Yes. That's what I'm trying to get at.
- 24 We have --
- 25 Q. Yes or no. sir?

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1 A. We have an agreement --

- 2 Q. Or I don't know. Those are the three
- 3 answers: Yes, no, I don't know.
- 4 A. We have an agreement with the people that
- 5 -- that buy -- that operate the lines to schedule the6 power.
- 7 Q. Who is that?
- 8 A. They have agreed that we can -- that they
- 9 will buy our power if it goes a certain point below
- 10 what the other power is scheduled in.
- 11 Q. Sir, who is that?
- 12 A. I'm not -- I don't know the name, but
- 13 it's -- it's -- we can get it. It's -- you have it.
- 14 Q. Which entity has an agreement with these 15 schedulers?
- 16 A. Well, all -- all of them do that sell
- 17 power. So LTB would, and so --
- 18 Q. Are any of these -- I'm sorry, sir.
- 19 Are any of these agreements in writing?
- 20 A. Yeah, I'm sure there are, yeah.
- 21 Q. Where are they in your production? Did
- 22 you produce them to the United States?
- 23 A. I would imagine that you got all the
- 24 papers we had, and that would have been part of those
- 25 papers that you would have got. But what it is is the

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1

- 1 scheduling people and we have -- we have -- we have
- 2 the -- of the way -- of the way to do it and how to
- 3 schedule it in.
- 4 Q. Mr. Johnson, I'll represent to you that
- 5 we did not receive any such documents.
- 6 A. Well, then we'll see -- we'll find it.
- 7 Q. We'll include that in a request to your
- 8 attorney after this deposition to produce those
- 9 documents to the United States.
- 10 A. Okay.
- 11 Q. I'm going to come back around and we're
- 12 going to take another look at some of the video clips13 here.
- 14 A. Okay. Can you get by me?
- 15 Q. You're fine. Thank you.
- 16 Okay. So we are taking a look at video 17 12\_4\_38-5\_15.
- 18 (Video played.)
- 19 Q. BY MS. HEALY-GALLAGHER: Okay. I've
- 20 paused the video at timestamp 13:2:39.
- 21 Mr. Johnson, what we're looking at here,
- 22 right, is a set of towers on the R&D site; correct?
- 23 A. That's correct.
- 24 Q. And it's my understanding that you
- 25 invented the -- the design of this system on the site;

1 is that right?

- 2 A. That's correct.
- 3 Q. Okay. Did anyone help you invent this
- 4 item?
- 5 A. I don't know exactly what you mean by 6 "help."
- 7 Q. Did you collaborate with anyone as you
- 8 were inventing this system?
- 9 A. Again, I'm not -- I'm not entirely sure
- 10 what you mean by "collaborate." As far as the
- 11 technical part of it, the actual science behind the
- 12 system and the implementation of how it will be
- 13 implemented and the characteristics of the design, I
- 14 did by myself, you know, designed it.
- 15 I did not -- I did not build every one of
- 16 those pieces. I had people to help me, you know, weld
- 17 the towers together, put things together. But the
- 18 design, the technology, the -- the intellectual
- 19 property was all done by me.
- 20 Q. Okay. Who or what entity did construct
- 21 these towers on the R&D site?
- 22 A. That would have been -- I don't know.
- 23 Could have been Rob -- just another -- probably could
- 24 have been International Automated Systems, I think.
- 25 IAS at the time.

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- Q. When were these towers constructed?
- 2 A. 2000 -- I don't know, 2006, 2007, 2008,
- 3 in that -- in that area, I think.
- 4 Q. And you believe it was IAS that
- 5 constructed the towers?
- 6 A. I think they're the entity that paid --
- 7 paid for the construction of the towers, I think. I'm
- 8 not positive, but I think that's who it was.
- 9 Q. So --
- 10 A. This could have been done earlier than
- 11 that even, I don't know, but I think it's the
- 12 timeframe.
- 13 Q. Since these towers were built, which
- 14 entity operates and maintains the towers?
- 15 A. Right now the ones that do it are -- is
- 16 -- is the -- so, what is it called? Cobblestone
- 17 Center.
- 18 Q. When did Cobblestone Center start
- 19 operating and maintaining?
- 20 A. Sure. I can't remember when it was
- 21 started, but I think it was right around 2010 or 2011,
- 22 but I can't be sure.
- 23 Q. And before that was IAS operating and
- 24 maintaining the towers?
- 25 A. Yes. I think they were, yes.

23

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- 1 Q. During the time that IAS was operating
- 2 and maintaining the lenses -- I mean -- I'm sorry, the
- 3 towers -- was IAS also responsible for installing the 4 lenses?
- 5 A. Yes, uh-huh.
- 6 Q. And maintaining the lenses?
- 7 A. Yes, uh-huh.
- 8 Q. Since Cobblestone took over operating and
- 9 maintaining the towers, has Cobblestone been the
- 10 responsible party for installing and maintaining the 11 lenses?
- 12 A. Yeah, but I need to maybe qualify IAS's 13 relationship.
- 14 I personally, I think during that period
- 15 of time, I personally was the one that hired IAS to do
- 16 this. So IAS was operating as a contractor under my
- 17 employment to do that -- to do that work.
- 18 Q. To do what work?
- 19 A. To do the work of installing and
- 20 operation and -- and whatever there was. So I'm --
- 21 I'm not positive, but I think what was happening is I
- 22 -- I provided the money and the capital directly to
- 23 them, bought their -- bought them to -- paid them to
- 24 -- to do the work, actually, as a separate contractor.
- 25 So I'm not sure exactly how that

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- 1 relationship fits, but I think that's -- that's how I
- 2 -- that's how I did it.
- 3 So it would have been me, I guess, in a
- 4 way that put those -- that had them put up, because it
- 5 would have been my -- you know, under my capital, I
- 6 think.
- 7 Q. And who --
- 8 A. I'd have to go back and look at the, you
- 9 know, the records, but I -- I'm not sure exactly that
- 10 relationship, but I think that's what we're...
- 11 Q. Okay. So what -- why did Cobblestone
- 12 take over from IAS?
- 13 A. Well, it was a business decision that I
- 14 made, and there's a considerable amount of liability
- 15 that goes with the construction companies, and I just
- 16 didn't feel like that it would -- it would be
- 17 detrimental to International Automated Systems to be
- 18 involved in the construction of this project because
- 19 of the -- of the liability that it incurred on a -- on
- 20 a construction site.
- 21 And so because of that we decided that it
- 22 would better -- better off to avoid International
- 23 Automated Systems to protect the intellectual property
- 24 from -- from a dangerous situation that didn't need to
- 25 -- didn't need to exist.

1 Q. And who is it that pays Cobblestone to

- 2 operate and maintain the towers and lenses?
- 3 A. That would be whoever -- whoever is
- 4 selling the product, that they would contract with
- 5 Cobblestone and to -- to do the work. And so they

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- 6 would be paid either by RaPower, Solstice, or any
- 7 other entity that is buying -- buying the actual
- 8 sales, selling equipment.
  - Q. So it's your testimony, sir, that the
- 10 marketing entities for the lenses pay for Cobblestone
- 11 to operate and maintain the R&D site?
- 12 A. They -- they pay to have the construction
- 13 work done. There's nothing that they operate right
- 14 now. They're -- mostly it's just construction
- 15 and -- and that kind of entity.
- 16 The R&D side of it is paid out of, you
- 17 know, out of some of the same stuff now. It was paid
- 18 out by International Automated Systems.
- 19 Q. When did IAS stop paying for it?
- 20 A. I'm not sure exactly, but I -- it's 2010,
- 21 2011, '12 range, I don't know.
- 22 Q. Okay. All right.
  - So, sir, I believe I have an
- 24 understanding of how the system works generally, so
- 25 I'm going to walk through it and ask you whether to

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- 1 agree or disagree or let me know if I'm off base.2 So we're still looking at timestamp
- 3 13:2:39. And what I see here is that there are lenses
- 4 installed on towers.
- 5 Do you agree?
- 6 A. Yes.
- 7 Q. Okay. And the idea is that the sunlight
- 8 will hit the lens and then be directed down to a
- 9 concentrator; is that right?
- 10 A. That's correct.
- 11 Q. And we see a -- a concentrator right in
- 12 the middle of the pole that's in the middle of the
- 13 view: correct?
- 14 A. Correct.
- 15 Q. The concentrator basically concentrates
- 16 the sunlight from the lenses that are above it; right?
  - A. Well, it -- no. This particular
- 18 concentrator is -- is designed for a test on -- on a
- 19 different subject.

17

23

- 20 Q. Okay. But what I'm asking, the purpose
- 21 of the concentrator, sir --
- 22 A. You're not going to get --
  - Q. Hang on.
- The purpose of the concentrator is to
- 25 collect -- to receive and collect the sunlight that

Page 141 1 passes through the lenses; is that right?

- 2 Well, the concentrator is the lens Α.
- 3 themselves.
- 4 Q. Okay. So then --
- 5 A. This is the receiver.
- 6 Q. Okay. So then that item right in the
- 7 middle of the pole in the middle of the view is a 8 receiver?
- 9 Α. Right.
- 10 Q. Okay.
- Just like the -- would be like a mirror Α. 11
- 12 focusing. So the concentrator would be the mirror,
- 13 and this is a lens, which would focus the light on
- 14 that point.
- 15 Q. Okay. Onto the receiver?
- 16 Α. Right.
- 17 And in the receiver there -- the idea is
- 18 that there is a heat transfer fluid that is heated up
- 19 by the concentrated rays of the sun; is that right?
- 20 Α. That's correct.
- Then the idea is that that heat transfer 21 Q.
- 22 fluid would flow through pipes to some collection
- 23 point --
- 24 A. Correct.
- 25 Q. -- correct? Okay.

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- The collection point is what? The heat 1
- exchanger or a storage tank? 2
- The collection of the -- of the heat 3
- coming off the tower? 4
- 5 Q. Right. But where does the heat transfer
- 6 fluid go?
- 7 Α. It goes into a heat exchanger.
- 8 Q. Heat exchanger?
- And then the heat exchanger exchanges the 9
- heat derived from the tower into a -- into the working
- fluid, such as water.
- 12 Q. Well, the heat transfer fluid ends up in
- 13 the heat exchanger; right?
- Exactly. 14 Α.
- 15 Q. And then the idea is that the heat from
- 16 the heat transfer fluid heats up water?
- 17 Correct. A.
- Turns it into steam? 18 Q.
- Turns it into hot water under pressure. 19 Α.
- 20 Q.
- 21 And that's very important. It's a
- 22 difference between being able to use salt water rather
- 23 than perfectly chemically treated water that's -- that
- doesn't have any particles in it, you know. So...
  - Okay. So the -- the heat transfer fluid

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- 1 transfers its heat to hot water under pressure?
- 2 Α. Right.
- 3 Q. Which then turns the turbine?
- 4 Α. Okay. The hot water then goes into the
- 5 turbine and is a liquid all the way through to the
- 6 rocket engines, rocket nozzles, okay. And then that
- 7 is where the energy is -- is transferred into a motion
- 8 by the expansion of the steam through the rocket 9 nozzles.
- 10 Q. Okay. And then that turns the turbine?
- 11 Α. The rocket engine then turn the turbine, 12 yes.
- And the turbine creates electricity? 13 Q.
- 14 Α. That's correct.
  - Q. Then, again, the theory is the
- 16 electricity from the turbine would then go where?
- 17 Α.

15

18

23

23

- Q. To the step-up transfer station?
- 19 Α. Right. But -- but there again, see,
- 20 you're not seeing the full value of this thing the way
- 21 you're describing it.
- 22 Q. I'm just asking for one scenario, sir.
  - Well, yeah. The ideal scenario of what Α.
- 24 we use on that side, okay, is instead of using a
- 25 generator, we use three-phase motors. And the
- 1 three-phase motor will turn into a generator if you --
- 2 if you put more energy into it, it will push the motor
- 3 into a phase change which creates then the -- a
- 4 backward flow on the electricity back on the line.
- 5 But the advantage -- let me just tell
- 6 you, the advantage of this system is -- and it's very
- 7 critical that you understand this -- because what
- 8 happens is, is when you turn on my turbines with the
- 9 motor running, it automatically syncs to the line.
- That syncing process normally requires an 10
- 11 individual to be there when you turn the turbine on to
- 12 make sure that you're sync -- on a regular generator
- 13 to sync to the line, and by doing the electric motor I
- 14 eliminate that person.
- 15 Now, I have an electric motor that goes
- 16 up to the speed of the line, and then I hit the
- 17 turbine with the hot -- hot water with the energy in
- 18 it, and that forces a phase change in the system to
- 19 drive electricity back on the line.
- 20 Q. I'm going to stop you there. I'm going 21 to stop you there.
- 22 A. Did you understand that?
  - Okay. I'm going to stop you there.
- First off, when we visited on April 4th, 24
- 25 the turbine was not on the R&D site: correct?

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25

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- 1 A. No. It was in the side of the building.
- 2 Q. The turbine was in the manufacturing
- 3 plant; correct?
- 4 A. Right, correct.
- 5 Q. So the turbine was not hooked into this 6 system at all?
- 7 A. Correct.
- 8 Q. Okay. And I have some questions here.
- 9 So, Mr. Johnson, in this view that we see
- 10 again at timestamp 13:2:39, I do not see any piping 10 11 coming off of these towers.
- 12 A. Yeah, you see it right here. You see all
- 13 these little pipes that go right here (indicating).
- 14 Q. Okay.
- 15 A. Up to -- up there (indicating).
- 16 Q. I see.
- 17 So right next to the --
- 18 A. Across the top there, down -- down across 18
- 19 here, and then you see the piping right here across 19
- 20 these is all connected in.
- 21 Q. Okay. So is the piping that's visible on
- 22 this video, is that piping connected to the heat
- 23 exchanger?
- 24 A. Yes.
- 25 Q. Okay. Where can we see that?

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- 1 A. You didn't take pictures of it. It's in
- 2 the ground. Goes into the trailer.
- 3 Q. Where does it go into the trailer?
- 4 A. Goes into the -- into the -- remember the
- 5 trailer was -- not the other heat exchanger, but the
- 6 other trailer next to it. It goes into those heat
- 7 exchangers or those heat exchangers from the solar
- 8 fields.
- 9 Q. So we saw earlier a video of one of the
- 10 heat exchangers.
- 11 A. Well --
- 12 Q. I can show you again if you want.
- 13 A. But that was the heat exchanger that --
- 14 that eliminated -- that heat exchanger is very unique.
- 15 Q. Sir, that had one of the heat exchangers.
- 16 Are you telling me there's another heat exchanger on
- 17 the R&D site?
- 18 A. There's probably ten.
- 19 Q. And where are those?
- 20 A. In those -- in those two big containers
- 21 right there, and the trailers (indicating).
- 22 Q. So you're telling me, sir, that the
- 23 piping from these towers --
- 24 A. See that white --
- 25 Q. -- is -- hang on.

1 A. See that white? See that? That's all

- A. See that white? See that? That's all
- 2 insulated with that special insulation that is brand
- $3\,$  new, comes out of Turkey. And that is really high --
- 4 really a high valued heat -- insulator, but it's not
- 5 water soluble and it doesn't collect water.
  - Q. What -- what is that style of insulation?
- 7 A. It's a brand new -- it's -- you saw that
- 8 whole stack in there when you went down, I think.
  - Q. What's the brand name?
- A. I don't know. But you -- there's a whole
- 11 -- I bought a whole bunch, because I thought there was
- 12 going to be a war in there, so I bought a whole bunch
- 13 to do a thousand projects, you know. But -- but I
- 14 wouldn't get it -- so I bought it because the
- 15 advantage of this, it's a --
- 16 Q. I'm going to stop you there. That's
- 17 fine.
  - A. And it doesn't absorb water.
- 19 Q. What kind of piping do you use?
- 20 A. Just regular pipe. We just cover it with
- 21 this insulation.
- 22 Q. What is the piping made of?
- 23 A. Just steel. Just steel pipe.
- 24 Q. What kind of heat loss do you see when
- 25 running the heat transfer fluid through those pipes?
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- 1 A. I'd have to -- it's, like, point -- it's
  - 2 a factor about 40 of 22 or 44, but it's about .00 BTUs
- 3 per pound per square foot, or something like that.
- 4 It's really high.

6

- 5 Q. Have you always used steel piping?
  - A. Yeah, I think so.
- 7 Q. Have you considered using other kinds of
- 8 piping in the past?
- 9 A. Yeah, we have. We've tested a lot of
- 10 piping, but none of them -- none of it measured up to
- 11 -- to what the specs we wanted and the cost, so we
- 12 went back to steel.
- 13 Q. When did you try out other kinds of
- 14 piping?
- 15 A. Since 2004. 2000 and -- 2002 -- the
- 16 turbine itself has been operating since --
- 17 Q. I'm asking about the piping.
- 18 A. Well, I know, but the piping that we
- 19 deliver the fluid is we tested it through all those
- 20 years, plus we've been testing it later on. We wanted
- 21 to see that -- you have different -- different
- 22 characteristics.
- For example, if you want to use molten
- 24 salt in that field you'd have to -- you'd have to --
- 25 you'd have to have a coating on inside of the pipe,

- 1 but you could use thin wall pipe.
- 2 If we want to use water in the system
- 3 then we have to use Schedule 80 piping in -- in -- for
- 4 the pipe.
- 5 The piping we put in there is
- 6 Schedule 80, because we didn't know exactly what fluid
- 7 we were going to use, so we used the highest --
- 8 highest pressurized piping that we could that would
- 9 deliver -- would hold in at 2500 PSI. We didn't know
- 10 whether we could use water, whether we couldn't, see.
- 11 Q. So have you changed the piping based on
- 12 the heat transfer fluid that you have tried in the
- 13 past?
- 14 A. We -- we have done and tested it out on
- 15 different towers, but mainly we kept the same one
- 16 because there's no sense replacing something. But we
- 17 did test different things and see how much -- how much
- 18 change would take place.
- 19 And then we have it taken to the
- 20 laboratory and put a laboratory test on the piping,
- 21 and then they would grade that out, and then they
- 22 would -- they would then tell us over how much time
- 23 they could extrapolate that testing out to see how
- 24 much -- how much molten salt would last on a
- 25 particular type of piping. And of course oil is --

- 1 looked up, you know, that's not a problem. But I just

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- 2 don't -- I just don't have it in my fingertips.
- 3 Q. So the information about who made the
- 4 pipes is in your records?
- 5 A. Well, it's somewhere in my -- where I can
- 6 look it up in my computer. And when I see it, I know 7 who I talked to.
- 8 Q. And if that information is not in the
- 9 documents that you produced to the United States, will
- 10 you produce that information?
- 11 A. Well, I just look it up on the Internet
- 12 and I could tell who I talk -- I can see and remember
- 13 who I talked to.
- 14 Q. So it's not in your records, you just
- 15 look it up on the Internet?
- 16 A. Right, that's all -- that's all I've ever
- 17 done.
- Q. Let's talk about heat transfer fluid.
- 19 A. Okay.
- 20 Q. You talked about molten salt, you talked
- 21 about a high temperature oil you used in Mesquite,
- 22 Nevada, you talked about a different high temperature
- 23 oil other than the kind you used in Mesquite, Nevada,
- 24 you talked about water, and you talked about a liquid
- 25 metal that you had tried out as heat transfer

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- 1 doesn't have that problem at all.
- 2 Q. Do you have any records of the different
- 3 tests that have been performed on the piping and heat
- 4 transfer fluids?
- 5 A. No, but we did them. So...
- 6 Q. Where did you do the testing?
- 7 A. People that made the pipe, we did it --
- 8 we used their testing and said what -- this is what
- 9 we're using, what can we expect.
- 10 Q. Who are those people?
- 11 A. The people that make the different grades
- 12 of pipe. And so we used their testing on various
- 13 types of piping.
- 14 Q. And who are those people who make the
- 15 pipe?
- 16 A. I don't know. I just -- same thing. I
- 17 can't remember those people, you know. I don't
- 18 remember their names but...
- 19 Q. What company?
- 20 A. I don't remember the companies. There's
- 21 several companies that do this so -- that do different
- 22 types of grades of pipe.
- 23 Q. How do you know where to buy more pipes
- 24 from?

25

A. Well, I can look back and see where I

1 fluids --

3

- 2 A. Right.
  - Q. -- in this system?
- 4 A. Right.
- 5 Q. Are there any other heat transfer fluids
- 6 that you've used?
- 7 A. We used sulfuric acid to see what kind of
- 8 reaction I would get when you use -- when you put
- 9 sulfuric acid into a concentrate sulfuric acid.
- 10 Q. And --
- 11 A. And see what happens.
- 12 Q. Let me make sure I'm being clear.
- 13 I mean heat transfer fluids that have
- 14 actually been used on the R&D site. I don't mean ones
- 15 that you have tested right now.
- 16 A. Well, they've all been tested on the
- 17 tower. It's the only way you could do it. I don't
- 18 have any other way of testing.
- 19 Q. Do you have records of having conducted
- 20 those tests?
- 21 A. I don't keep those kind of records. I
- 22 don't -- I don't keep any records.
- 23 Q. Do you have any data resulting from those
- 24 tests?
- 25 A. I don't even have any records that show

1 that I -- that I used to get the patents with.

- 2 Q. So the answer is no?
- 3 A. No, I don't -- I don't do it. I don't

4 have to.

- 5 Q. So you mentioned that the high
- 6 temperature oil in Mesquite coagulated?
- 7 A. Right.
- 8 Q. What -- when did you realize you did not
- 9 want to use the high temperature oil you used in
- 10 Mesquite?
- 11 A. We'd used that system since 2002, is when
- 12 we built the system that we used in Mesquite, and over
- 13 -- over that period of time that we had used that
- 14 system --
- 15 Q. Sir, that's not my question.
- 16 When did you stop -- when did you decide
- 17 to stop using the high temperature oil that you used
- 18 in Mesquite?
- 19 A. That's what I'm trying to arrive at.
- 20 See, in my thinking I'm trying to get a timeline. I'm
- 21 just thinking out loud to arrive at your question.
- 22 I mean, I can think about the same thing
- 23 without speaking, but it's -- you wouldn't see the
- 24 process and make it easier for you to understand.
- 25 So what we did is we used --

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- 1 Q. Sir, I just want the answer.
- 2 A. Up until 2000 -- I'm just trying to think
- 3 -- 2005 we went to Mesquite, and when I brought the
- 4 system home from 2005 from Mesquite, I couldn't get
- 5 the -- the system to pump through the system.
- 6 And so that's when we took the system
- 7 down and cleaned the system out, and we found out that
- 8 the -- the oil, that it had started to coagulate
- 9 inside the -- inside some of the heat exchangers, and
- 10 so that would have been about 2005-2006.
- 11 Q. What did you try next as a heat transfer
- 12 fluid?

17

- 13 A. Well, that's when we decided -- we went
- 14 to a molten salt system, and then we went to a water
- 15 system after -- in between that.
- 16 Q. Wait.
  - In between what?
- 18 A. Well, we tried the molten salt and then
- 19 we tried water, and that's why I put the -- the 80 --
- 20 Schedule 80 piping, is because we were -- we wanted to 20
- 21 get a variety of testing.
- 22 There's -- there's plus and minuses to
- 23 everything that you do.
- 24 Q. Right. I'll ask you about that in a
- 25 second.

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But right now I want to know how long you

2 tried molten salt before you switched to water? When

- 3 did you stop using molten salt?
- 4 A. I don't think there was an exact time
- 5 difference. We were interchanging different ways of
- 6 doing things, so we would put one in and test it and
- 7 change it back and forth to see what kind of problems
- 8 we'd have with water against molten salt or -- or
- another type of oil.
- 10 Q. So when did you try water?
- 11 A. Same -- after -- well, it was before two
- 12 -- we tried it before and after 2000 -- we tried water
- 13 all the way through, as well as oil, from 2000 and --
- 14 we actually started the water in 2001 and 2002, so
- 15 then we went to an oil.
- 16 Q. Real quick, sir, have you ever used water
- 17 as the heat transfer fluid in the system using your
- 18 solar lenses to generate electricity?
- 19 A. Yes.
- 20 Q. When?
- 21 A. After 2006.
- 22 Q. When after 2006?
- 23 A. I don't know. It was just during that
- 24 period of time we were testing a variety of things,
- 25 and so we would have used -- we used water, we used
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1 oil, we used molten salt.

Well, we set up -- the system up to use water in case that would have been a good way to go.

4 Q. And let me ask you this: When you say

- 5 yes you generated electricity, how do you know you
- yes you generated electricity, now do you know you
- 6 generated electricity using water as the heat transfer7 fluid?
- 8 A. Okay. We just use meters. It's easy to 9 do.
- 10 Q. You used meters where?
- 11 A. On the -- on the generator systems.
- 12 Q. So that would be after the water, as a
- 13 heat transfer fluid, has passed through a heat
- 14 exchanger and the system has gone through the turbine
- 15 to generate electricity?
- 16 A. Well, yeah, there's advantages to using
- 17 water over another fluid.
- 18 Q. Sir, that's my question.
- 19 Is that correct?
  - A. Well, that's partially correct, but your
- 21 understanding is not clear.
- 22 Q. So there was a meter at the generator
- 23 stage; correct?
- A. Yeah, you put a meter on to generate
- 25 power.

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6

9

12

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- 1 Q. So did any of the electricity that you
- 2 say was generated by using water as a heat transfer
- 3 fluid, did that ever end up powering anything?
- 4 A. Yeah, we turned the lights on. In
- 5 fact --
- 6 Q. What lights?
- 7 A. Well, if you see the back of my
- 8 trailer --
- 9 Q. Sir, what lights?
- 10 A. That's what I'm trying to tell you.
- 11 On the door of those trailers that you
- 12 saw, you had a whole bank of these lights that you had
- 13 on there, and I burned them all out. I turned them,
- 14 lit up the whole valley, and then I didn't have it
- 15 regulated properly and it put out too much power and
- 16 it burned them all out.
- 17 Q. Has water, as the heat transfer fluid,
- 18 ever powered anything other than those lights on your
- 19 trailer?

1

- 20 A. No.
- 21 Q. Did the high temperature oil, as a heat
- 22 transfer fluid that you used in Mesquite, Nevada --
- 23 well, I'll withdraw that.
- 24 Have you stopped using water as a heat
- 25 transfer fluid?

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- A. No. We were looking at it the other day.
- 2 The problem is is your collection process. And in
- 3 order to do -- in order to do it one way, you limit
- 4 your -- you limit your square footage of your -- of
- 5 your -- of your heat exchanger of the collector on
- 6 your -- on your towers.
- 7 So by using water, and then you have to
- 8 -- you have to cover the pipes in a -- in a glass tube
- 9 to prevent them from pushing the heat back out into
- 10 the area, and it -- and also losing it on the infrared
- 11 side.
- 12 And so, yeah, we've done that, and we
- 13 were looking at it again to eliminate the problems I
- 14 have with molten salt, and the temperature I have to
- 15 regulate temperature on -- on the -- on the oil.
- 16 Q. All right. Sir, I'm going to stop you
- 17 there. I'm going to stop you there.
- 18 A. With water I can lose -- I can lose a
- 19 little bit of flow and the temperature could rise
- 20 rapidly, and I still don't have a bad effect. And
- 21 molten salt works the same way.
- 22 Q. I'm going to stop you there, sir, because
- 23 I have other questions.
- A. If the oil goes high, you lose it.
- 25 Q. I'm going to cut you off. Now we're --

- A. Just trying to get you to understand.
- 2 Q. Sir, I want you to answer my questions.
- 3 A. Okay. I'm trying to.
- 4 Q. Okay. Thank you.
- 5 A. Okay. Thanks.
  - Q. All right. So you said you started using
- 7 molten salt in 2006. Have you continuously used
- 8 molten salt at your R&D site?
  - A. Yeah, it's always -- we always use it on
- 10 the fire side. Always have. There's a reason for
- 11 that.
  - Q. On the fire side?
- 13 A. We've always used it on where you have
- 14 the actual fire coming in. If you use --
- 15 Q. What does that mean?
- 16 A. Well, if you use a hybrid system, you
- 17 want -- you want 24 hours a day, you have to have --
- 18 Q. Sir, I'm going to redirect your focus
- 19 here.
- What I am talking about is the heat
- 21 transfer fluid that's in this receiver right here
- 22 (indicating).
- 23 A. I'm not confused on what you're saying.
- 24 You're confused on the power.
- 25 Q. Okay.

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- 1 A. On the -- on -- on your power plant,
  - 2 you're talking about -- you're talking about the power3 plant itself.
  - 4 Q. I'm talking about this right here, sir 5 (indicating).
  - 6 A. Yeah, but when you change --
  - 7 Q. That's what I'm talking about.
  - 8 Is that molten salt -- was that molten
  - 9 salt on April 4th? Was that molten salt in there?
  - 10 A. No. There was oil in there.
  - 11 Q. There was oil in there on April 4th?
  - 12 A. Uh-huh.
  - 13 Q. Where was the molten salt?
  - 14 A. The molten salt, you see -- you have to
  - 15 have --
  - 16 Q. Sir, where was the molten salt on site on
  - 17 April 4th?
  - 18 A. It was in the -- in the -- in the other
  - 19 side of the total power plant is a combination of
  - 20 solar, and on this one is natural gas or propane,
  - 21 actually.
  - 22 So you're talking about -- if you're
  - 23 talking -- if you -- the problem is you get confused
  - 24 on one tower. The tower is the -- in the power plant.
  - 25 Q. So on a -- on different towers is there

- 1 different heat transfer fluid going through the pipes?
- 2 A. There could be, but there isn't. Not on
- 3 this -- not now. But there could have been. We had
- 4 them that way to check out which would be the best.
- 5 Q. Okay. When did you have it that way?
- 6 When did you try out different heat transfer fluids 7 on --
- 8 A. I don't know. Over the past years, we
- 9 change it around to see if I've overlooked something.
- 10 Q. Have you decided on a heat transfer fluid
- 11 to move forward with this project?
- 12 A. Yes. We're just -- we just -- we just
- 13 found out that we can lower the temperature and keep
- 14 the oil running in a -- by -- by lowering the
- 15 temperature of the speed and the size of the piping.
- 16 Q. I'm going to stop you there. I'm going
- 17 to stop you there.
- 18 What have you decided on as your heat
- 19 transfer fluid to go through the receiver?
- 20 A. Well, we're probably going to use an oil.
- 21 But the problem is --
- 22 Q. What oil, sir? What oil?
- 23 A. Just a heat -- a heat -- something that
- 24 could take a temperature right around 700 degrees.
- 25 Q. What kind of oil?

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- 1 to -- whether or not which oil I use, as long as it is
- 2 a qualified oil, and I may change my mind on the
- 3 company just because of price.
- 4 Q. Sir, new question for you: How many
- 5 turbines have you built?
- 6 A. I don't know. We've got 20 bodies back
- 7 there. I don't know.
- 8 Q. Where are they?
  - A. Back in that room there.
- 10 Q. What room?
- 11 A. In the storage room where we -- you saw
- 12 the -- saw the -- the -- the lenses and the -- and the
- 13 insulation.

9

- 14 Q. At the manufacturing facility?
- 15 A. Uh-huh.
- 16 Q. Yes?
- 17 A. Yes. We got a lot of them out there.
- 18 I've thrown away a few. Froze a few. Left water in
- 19 them and froze up.
- 20 Q. How many turbines are operational
- 21 currently?
- 22 A. I don't know. We got one -- I know of
- 23 one, two -- maybe ten, I don't know. Five or ten.
- 24 Q. Five or ten you think?
- 25 A. Yeah. They're easy to build. They're

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- 1 A. Well, it's a synthetic oil that we use.
- 2 Q. What's the brand name?
- 3 A. I don't know.
- 4 Q. Where do you get it?
- 5 A. I just -- we just buy it and we get it
- 6 in. We -- they just -- we just get it and ship it in.
- 7 Q. From whom?
- 8 A. Just some oil company that -- that --
- 9 that has a really nice oil and seemed like it works,
- 10 but we don't -- I don't know the name.
- 11 Q. When did you decide on oil as your heat
- 12 transfer fluid moving forward?
- 13 A. We haven't decided. We tested a whole
- 14 bunch of variety of things.
- 15 Q. So, sir, I'm going to stop you there.
- 16 So you have not decided on oil as your
- 17 heat transfer fluid for moving forward with this
- 18 project. Isn't that right?
- 19 A. It's like saying to an automobile
- 20 manufacturer what kind of coolant are you going to put
- 21 in your radiator? Water, and I'm going to use Xerox,
- 22 and then I'm going to use some other fluid.
- When are you going to decide which one
- 24 you're going to use, Xerox or the other fluid?
  - Tell me, how -- how is that relevant

- Page 164
- 1 not hard, yeah. We got 20 ready to put together, ten 2 or 20.
- 3 Q. Mr. Johnson, have lenses on the R&D site
- 4 ever generated electricity for the house that is on
- 5 site?
- 6 A. Yes.
- 7 Q. When?
- 8 A. Just whenever I choose to. Just whenever
- 9 I want to test it, I test it out.
- 10 Q. When did that first happen?
- 11 A. 2006, probably. 2007. I don't know.
- 12 Q. And about how many times would you say
- 13 you've done that since 2006?
- 14 A. Hundred times. I don't know.
- 15 Q. Hundred times?
- 16 A. Yeah.
- 17 Q. Do you have any records of having done
- 18 so?

20

- 19 A. Nope.
  - Q. No?
- 21 A. No.
- 22 Q. Do you have any data for those attempts?
- 23 A. No.
- Q. Well, was anyone else around to see what
- 25 you had done?

- A. No. I just turn them on on weekend. 1
- 2 No? Q.
- 3 No. I just turn them on on weekend and
- 4 test things out to see whether or not something's
- 5 working the way I want it to work and what kind of
- 6 changes I might have to make just to gear sizes on the
- generator, what kind of RPMs I'm going to get.
- Thank you, sir. That answers my 8 9 question.
- Okay. 10 A.
- 11 Did anyone -- has anyone ever paid for
- 12 the electricity that you purport to have provided to
- 13 that house?
- 14 Α. No.
- 15 Q. Has anyone ever paid for electricity that
- 16 you claim was generated by lenses at the R&D site?
- 17 Α. No.
- Q. No? 18
- 19 Α. No.
- 20 Q. Please be -- did you get it?
- 21 Α. No.
- 22 Did you get that? No, I'm sorry. I'm
- 23 facing the wrong direction, I'm sorry. Just tell me
- 24 to get over and speak up, or whatever you need.
- 25 Has -- have the lenses been used in any
  - Page 166
  - 1 system that has generated heat?
  - I don't know what you mean by that. I am 2 3 totally confused by what -- what that is. What are
  - 4 you -- what are you talking about?
  - Well, we saw earlier that these lenses 5
  - burnt a two-by-four piece of wood; right? 6
  - 7 Α. Right.
  - 8 Q. So the lenses generate heat?
  - 9 Α. Right.
- Right. 10 Q.
- Has anyone ever paid for that heat that 11
- 12 the lenses generate?
- Yeah, the R&D. 13 Α.
- 14 Q. Who?
- 15 Myself. Α.
- Who has paid for the heat? 16 Q.
- 17 Α.
- Who have you --18 Q.
- 19 Α. Individually. I pay for the R&D work to 20 be done.
- 21 Q. Who did you pay for the heat generated
- 22 by --
- 23 International Automated Systems. Α.
- Sir, let me finish the question. 24 Q.
- 25 Α. Okav.

- Who did you pay for the heat that was Q.
  - 2 generated by those lenses?
- A. Okay. So I paid for the heat so that I
- 4 can do R&D on a project. So if I'm -- I'm developing
- 5 a new -- a new system, okay, and I -- I hire people to
- 6 work for me to work so that we can develop a new
- 7 patent and new technology, that's called research and 8 development.
- 9 So I pay for all the research and
- 10 development that went into that to get my patents, and
- 11 so I paid for the generation of the heat to develop
- 12 all -- see how all these other projects were going to
- 13 fit together and the turbine would work, and would it
- 14 generate electricity, what kind of fluid I would need
- 15 to use. All of that has to be paid for.
- 16 Q. Okay. Sir, I'm going to stop you there.
- 17 Α. I paid for that.
- 18 Q. I'm going to stop you there.
- 19 Who did you pay?
- 20 Α. I paid all the people that work for me to
- 21 pay to do that.
- 22 Okay. Did you ever pay the owner of any
- 23 lens for the heat that the person's lens produced?
- 24 Not yet I haven't. But they -- they will
- 25 get -- they've got the contract. In order -- in order
  - Page 168

- 1 for them to get the -- to -- for me to use that, they
  - 2 entered a contract, and that contract tells me I have
  - 3 the right to use that in an R&D situation.
  - 4 MS. HEALY-GALLAGHER: Object to the
  - 5 responsiveness of the answer after "no."
  - THE WITNESS: Well, you said -- you asked 6
  - 7 me the question.
  - Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, 8
  - 9 have the lenses in the towers ever been used to heat
- 10 or cool a structure?
- 11 Α. Yeah.
- What structure? 12 Q.
- 13 Those -- those built -- those things out
- 14 there that hold molten salt have to be heated in order
- 15 to -- to keep the molten salt from -- from -- from,
- 16 you know...
- 17 Q. So the trailers on the R&D site?
- Α. 18 Uh-huh, yeah.
- 19 Q. Yes?
- 20 Α. Yes.
- 21 Q. Has anyone paid for those trailers to be
- 22 heated by warmth from the lenses?
- 23 Mr. Johnson has paid, bought all the
- 24 heat, and for the process of R&D, so, yes.
- 25 Who did you pay for that heat?

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- 1 A. I paid the workers that work for me,
- 2 International Automated Systems, and they did -- they
- 3 paid for the people to work on my research and
- 4 development.
- 5 Q. Did you ever pay the owner of any lens
- 6 for the heat that was generated for the trailers?
- A. I'm not responsible to pay anything to 8 anybody.
- 9 Q. Sir --
- 10 A. I don't have a contract to pay anything.
- 11 Q. -- yes or no?
- 12 A. I didn't directly pay, but through the
- 13 contract, they got paid.
- 14 MS. HEALY-GALLAGHER: Object to the
- 15 responsiveness after "no."
- 16 THE WITNESS: Okay. If that's the way
- 17 you want to be.
- 18 Q. BY MS. HEALY-GALLAGHER: Have any lenses
- 19 on the R&D site been used to provide hot water in a
- 20 structure?
- 21 A. Yes.
- 22 Q. What structure?
- 23 A. Same ones. That's all hot water out
- 24 there and they -- we changed it to -- we did it for
- 25 the structures --

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- 1 Q. Excuse me, sir.
- 2 A. -- of the --
- 3 Q. Where is the hot water that's generated
- 4 by the lenses?
- 5 A. They go in the heat exchangers, and the
- 6 heat exchangers produce hot water for me then to run
- 7 through the pipes to -- to melt the -- the molten
- 8 salt.
- 9 Q. Okay. So when you say they're being used
- 10 to generate hot water, they are -- they are being used
- 11 in the heat exchanger -- the hot water is used inside
- 12 the heat exchanger; is that right?
- 13 A. It's still a structure.
- 14 Q. Is that your testimony?
- 15 A. Yes. It is a structure.
- 16 Q. Any other hot water generated by the
- 17 lenses?
- 18 A. I don't know. I may want hot water to
- 19 wash my hands with, I guess, if that's what you're
- 20 saying.
- 21 Q. Have you ever done that, sir?
- A. Yes, I have.
- 23 Q. When?
- 24 A. All the time if I wanted hot water out
- 25 there, that's how I got it.

1 Q. If you wanted hot water out on the R&D

- O elte what did you do?
- 2 site, what did you do?
- 3 A. Run it through one of my lenses and heat
- 4 it up. It's not hard to do.
  - Q. Did you pay anyone for that hot water?
- 6 A. I paid for the R&D, yes, I did. So I
- 7 paid it. Whether -- whether or not anybody else paid
- 8 what they -- what they obligated to pay is not the
- 9 issue. It's me. You're talking to me as Neldon
- 10 Johnson. I did the R&D. I paid the right -- I paid
- 11 for people to give me this stuff through contracts. I
- 12 paid people money to work on that project, which is
- 13 R&D.

15

- 14 Q. Sir, did you pay --
  - A. And that's what I did.
- 16 Q. Did you pay --
- 17 A. Yes.
- 18 Q. -- the owner of any lens for the hot
- 19 water that their lens generated for you?
- 20 A. I don't have a contract to pay that.
- 21 Q. Yes or no, sir?
- 22 A. No. As Neldon Johnson, I don't own -- I
- 23 don't have any responsibility to pay anybody but for
- 24 the R&D site of this thing.
- 25 Q. So the answer is no, sir. Isn't that

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- 1 correct?
  - 2 A. So the answer is that I did not pay
  - 3 directly to anybody, no.
  - 4 Q. Okay. For any of the entities for which
  - 5 you make decisions, have any of those entities ever
  - 6 paid a customer for the use of their lens?
  - 7 A. Well, in the terms -- in terms of
  - 8 payment, we -- we have a contract that they -- they --
  - 9 that obligates them.
  - 10 Q. Sir --
  - 11 A. So when they buy the contract --
  - 12 Q. -- that's nonresponsive. I object to the
  - 13 responsiveness of the answer, sir.
  - 14 Have any of your entities paid money to
  - 15 the owner of any lens for the use of their lens to
  - 16 generate any product? Yes or no?
  - 17 A. Not that I know of. Not that I know, no.
  - 18 Q. Not that you know of.
  - 19 A. No. The contract is -- the contract is
  - 20 such that we don't pay it until certain things get
  - 21 done, so it's not -- we're not violating any
  - 22 contracts.
  - 23 Q. Have you, Mr. Johnson, paid the owner of
  - 24 any lens for any purified water that their lens
  - 25 generated?

A N.-

- 1 A. No.
- 2 Q. Has any entity under your control ever
- 3 paid the owner of any lens for purified water that
- 4 their lens generated?
- 5 A. No.
- 6 Q. Mr. Johnson, I'm handing you what's been
- 7 marked already as Plaintiff's Exhibit 16 -- oh, that's
- 8 two copies. Actually, I can take that back. I'll
- 9 take back one of those copies.
- 10 A. Oh, sorry.
- 11 Q. And I'm also handing you what's
- 12 previously been marked Plaintiff's Exhibit 17.
- 13 Just take a quick look at Plaintiff's
- 14 Exhibit 16 and 17.
- 15 A. Okay.
- 16 Q. And let me know when you're ready.
- 17 A. Okay. What did you want me to do?
- 18 Q. Do you recognize, sir, Plaintiff's
- 19 Exhibit 16 and 17?
- 20 A. I think I've seen them before.
- 21 Q. What are they?
- 22 A. One's a new Solar Breakthrough and May
- 23 Compete With Gas, the other one is an IAUS Technical
- 24 Overview.
- 25 Q. All right. And do you recognize these

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- 1 documents?
- 2 A. As far as I know, yeah. I mean, I'd have
- 3 to read them carefully, but I think I probably wrote 4 them.
- 5 Q. Okay. You think you wrote these papers,
- 6 sir?
- 7 A. Oh, yeah, I think so.
- 8 Q. Are these the white papers that you've
- 9 been -- we've talked about earlier today?
- 10 A. No. The white papers would be written by 10
- 11 someone else.
- 12 Q. And do you have any idea whether you
- 13 produced the white papers written by others to the
- 14 United States?
- 15 A. As far as I know that you -- yeah, you
- 16 would have these documents.
- 17 Q. No. The white papers written by others?
- 18 A. Yes. As far as I know you did, yeah. I
- 19 assume you did. Attorneys had them. So...
- 20 Q. So, Mr. Johnson, it's your testimony that
- 21 you wrote Plaintiff's Exhibit 16?
- 22 A. Yes.
- 23 Q. And you wrote Plaintiff's Exhibit 17?
- 24 A. I think so.
- Q. Well, take a look.

Page 173 1 A. Well, I don't -- I -- okay. This is part

- 2 -- this is partly the white papers. This was a white
- 3 paper. I didn't -- I didn't write these. This is the
- 4 white paper on the lenses, and this is the analysis
- 5 made by the optical engineer who wrote this, who gave 6 that.
- 7 Q. All right. Let's -- let's --
- 8 A. So then this is the efficiency of the
- 9 radius of the lenses --
- 10 Q. Okay. So hang on.
- 11 A. -- that shows that we're within those
- 12 limits.
- 13 Q. I'm going to slow us down so that we're
- 14 clear.
- 15 A. Okav.
- 16 Q. Let's take a look back, please, at
- 17 Exhibit 16.
- 18 A. Okay.
- 19 Q. Okay. 16, sir.
- 20 A. 16. Which one is 16?
- 21 Q. The number's on the bottom in the yellow
- 22 sticker.
- 23 A. Oh, okay. All right. There you go. Now
- 24 I got -- I'm on track with you now.
- 25 Q. Okay. So you wrote Plaintiff's

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- 1 Exhibit 16; correct?
- 2 A. Well, let's see how much I wrote of it.
- 3 I wrote a lot of it. That wouldn't have been the
- 4 white papers. So this would have been probably my
- 5 personal data that I -- that I produced, and so that
- 6 would have been -- yeah, I wrote those, yeah. I think
- 7 I wrote most of them.
- 8 Q. When did you write Plaintiff's
- 9 Exhibit 16?
- 10 A. Oh, now, I wouldn't know that. I wrote
- 11 it a long time ago.
- 12 Q. Before or after 2000?
- 13 A. Oh, after 2000.
- 14 Q. Before or after 2010?
- 15 A. Probably before that, actually. I think
- 16 that would be before that.
- 17 Q. Before or after 2005?
- 18 A. Probably after 2005.
- 19 Q. Okay. So sometime between 2005 and 2010;
- 20 correct?
- 21 A. Probably in that neighborhood, I think,
- 22 yeah. Because -- I know when these were done. So...
- 23 Q. Let's take a look at Plaintiff's
- 24 Exhibit 17.
- A. Okay.

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- 1 Q. All right. Sir, you believed that other 2 folks had written at least portions of Plaintiff's 3 Exhibit 17?
- 4 A. Did you read the note at the bottom of 5 the Page 19?
- 6 Q. Sir, that's not an answer to my question.
- 7 A. Well, I'm just saying, if you read the
- 8 note it says: "In the following section" --
- 9 Q. Sir, stop, please.
- 10 A. Okay.
- 11 Q. You need to answer my questions.
- 12 A. What was the question?
- 13 Q. The question is: You believe that other
- 14 people wrote sections of Plaintiff's Exhibit 17;
- 15 correct?
- 16 A. Correct.
- 17 Q. Okay. Can you identify for me what 18 sections other people wrote?
- 19 A. Yeah, I could probably do that.
- 20 Q. Okay.
- 21 A. The -- the evaluation overview of the
- 22 design philosophy of the IS solar panel.
- 23 Q. All right. And that's on Page US001852.
- 24 A. So 20.
- 25 And then you have the analysis of the

Q. Sir, the --

- MRS. JOHNSON: No. Down further.
- 3 MS. HEALY-GALLAGHER: US001869 at the

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- 4 bottom. I understand now. Now we're tracking.
- 5 Q. Okay. So who wrote this section?
- 6 A. It was an optical engineer.
- 7 Q. What's that person's name?
- 8 A. I don't know.
  - Q. Did you know at one time?
- 10 A. Of course I did.
- 11 Q. Who was that person employed by?
- 12 A. Probably employed by me.
- 13 Q. Do you have any record of this person's
- 14 identity or existence in your records?
- 15 A. Well, yeah, we've got the names of all of
- 16 them. I just don't have it on the page because we
- 17 didn't want to publish their names, for obvious
- 18 reasons. But the names are on the original documents,
- 19 and we have it. And you should have -- you should
- 20 have the original documents.
- 21 Q. Well, sir, I will represent to you that
- 22 we have no names, so we will follow up with that with
- 23 your counsel as well.
- 24 A. You should have the original names.
- 25 Q. So what's the next section that a third

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- 1 optics, and -- and shows that our optics do, in fact,
- 2 meet the required optics to qualify as a -- for that 3 lens.
- 4 And this was analysis made by an outside
- 5 individual that analyzed our lenses and come to this
- 6 conclusion. You see the charts?
- 7 Q. Okay. So that section goes through
- 8 US001869; correct?
- 9 A. Let's see what it goes through. 27 --
- 10 we're still on 29. Let's see. All right. We're into
- 11 29. 31 still is part of the analysis, 33 is still
- 12 part of the analysis, and getting --
- 13 Q. Sir, keep going, please.
- 14 Please take a look and -- let's go and
- 15 take a look --
- 16 A. Concentration ratios, 35. The
- 17 temperature and showing all the degrees that we
- 18 anticipated to get to -- to 38 and part of 39.
- 19 Q. Okay. Well, let's talk about the optics,
- 20 because the optics only went through 1869; correct?
- 21 A. Oh, wait a minute. Yeah, that turbine,
- 22 yeah, that went through 37.
- 23 Q. 1869; correct?
- 24 A. Well, the page I got is 37. So right
- 25 here it says 37 (indicating).

- 1 party wrote?
- 2 A. All right. Let's see. The next one is
- 3 we start out with the turbine evaluation.
- 4 Q. And that's on Page US1870?
- 5 A. Right. How much I wrote and how much the
- 6 introduction. And then -- and then part -- then I
- 7 could have written some of the introductions.
- 8 Let's see, the -- okay. UC Davis, his
- 9 Ph.D. dissertation -- okay. Got that. So that would
- 10 have been mine to the introduction of who he was, the
- 11 turbine efficiencies and the introduction here.
- 12 And then his, basically, analysis was
- 13 written after the introduction, and all of these are
- 14 referenced to his analysis.
- 15 And then we get to 1872. We get the
- 16 analysis that he did on the nozzles that we did. He
- 17 used our number systems and our analysis as the
- 18 nozzles, the predicted turbine efficiency was written
- 19 by him, all the conclusions were written by them.
- 20 All -- all that page -- so 1872, 1873 was
- 21 written by those people.
- 22 Q. And, sir, I'm going to stop you here.
- 23 I'm going to ask you to silently go through the rest
- 24 of the document so you can just tell me where someone
- 25 else's work starts and stops. Okay?

9

15

Q.

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- 1 A. Well, I'd have to read the entire
- 2 document, but I think it is all his writings. I would
- 3 -- I may have introduced a paragraph or two to -- in
- 4 -- in somewhere.
- 5 Q. Sir, through where? You said he started 6 at 1870?
- 7 A. I start the 38 and -- or 1870.
- 8 Q. Okay. And then his work started?
- 9 A. And I would -- I would interject.
- 10 Q. Sir, let me just ask here: His work
- 11 started on 1871; correct?
- 12 A. Well, I think some of it's in 1870.
- 13 Q. Okay.
- 14 A. And 1871.
- 15 Q. And then where does his work -- sir,
- 16 where does his work stop?
- 17 A. Well, I'd have to have a pencil and I'd
- 18 have to cross out only my words.
- 19 Q. Okay. So --
- 20 A. But I don't know -- but this looks like
- 21 mostly his -- his writing on 1872 was all his. 1873
- 22 was all his -- all theirs. I think there's three
- 23 people involved.
- So 1874, let's see, were all -- were all
- 25 those people. 1875 were theirs. 18 -- let's see --

- Page 183 When did they provide you this writing?
- 2 A. It's been a long time ago. It's been --
- 3 been years ago. I don't even know. Could have been
- 4 before we ever started solar.
- 5 Q. Before or after 2000?
- 6 A. Well, all of it would have been after
- 7 2000, but I don't know when. I have no idea.
- 8 Q. Before or after 2010?
  - A. No, it would have been before that, but I
- 10 don't know exactly.
- 11 Q. Before or after 2005?
- 12 A. It could have been 2001, could have been
- 13 2002, '3, or '4. It could have been all the way up.
- 14 Q. So early 2000s, it sounds like?
  - A. Yeah, I don't -- but I have no idea. We
- 16 -- we did this to evaluate whether or not we wanted to
- 17 spend any more money --
- 18 Q. Sir, I'm going to stop you there --
- 19 A. -- on the project.
- 20 Q. -- because you've answered my question.
- 21 With respect to the earlier section on
- 22 the IAUS solar panel, when did you receive that
- 23 writing?
- 24 A. Well, that was after probably 2006, I
- 25 would imagine, right around there.

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- 1 including 1876 were theirs, 1877 were theirs, 1878
- 2 were theirs.
- 3 THE REPORTER: I'm sorry, I didn't
- 4 understand.
- 5 THE WITNESS: Enthalpy, E-N-T-H-A-L-P-Y.
- 6 And I got that right because I can read it. That's
- 7 the only way I would have gotten it right, by the way.
- 8 So there you go. That -- that analysis
- 9 on 1879 was theirs, their analysis on 1880 was theirs,
- 10 1881 was theirs, 1882 was theirs, 1883 was theirs,
- 11 1884 was theirs, 1885 was theirs, 18 -- you don't have
- 12 beyond that, but it's still theirs.
- 13 Let's see. All right. It stopped on
- 14 1887 is where I would have interjected my opinions on
- 15 what they have -- what -- what my conclusions were
- 16 based upon their -- their --
- 17 Q. BY MS. HEALY-GALLAGHER: Okay. So let's
- 18 go -- let's go back, please, sir.
- 19 For this most recent section that you
- 20 talked about, you said three people that you recall
- 21 wrote about that?
- 22 A. I think there was three people involved.
- 23 Q. Who were they?
- 24 A. I don't know the names, but they --
- 25 they're the ones that wrote the document.

- 1 Q. Around 2006?
- 2 A. I think so.
- 3 Q. Okay. All right.
- 4 So then we pick up in Plaintiff's
- 5 Exhibit 17 with Pages US1887 through 1890. And did
- 6 you say that that's where you --
- 7 A. 18 what?
- 8 Q. 1887.

9

- A. 1887. 1887 is probably where I did my
- 10 analysis of their -- of their -- of their analysis.
- 11 Q. Okay. So --
- 12 A. Okay.
- 13 Q. Where is the data or research that
- 14 supports your analysis?
- 15 A. This was what -- this was what this was
- 16 right here, both this and the solar -- this, and this,
- 17 and I think we have foot marks of where we came up
- 18 with all of this.
- 19 Q. Okay. So the content, sir, your
- 20 testimony is the contents of Plaintiff's Exhibit 17 is
- 21 what informed your analysis on Pages US 1887 through
- 22 1889?
- 23 A. Most of it. And the other stuff came
- 24 through other -- obvious things about electrical
- 25 efficiency, plan availability, turbine cycle

Johnson, Neldon

- 1 efficiency, conclusion. We drew the conclusions from
- 2 the -- the other, and then of course the summary.
- 3 Q. And, sir, do you have any other documents
- 4 or data that support what you've written here?
- 5 A. I'm sure you have it, actually.
- 6 Q. No, sir. Do you have it?
- 7 A. I don't know. I don't keep that kind of
- 8 stuff, so I don't know. I don't need to. Not for
- 9 what I do.
- 10 It was an internal -- it was basically
- 11 internal thing we did to see if we wanted to continue
- 12 with the project in -- in spending money on it in 2001
- 13 and '2, '3. We wanted to make sure we weren't wasting 14 our money and time.
- 15 Q. So, Mr. Johnson, you're aware that these
- 16 documents are on RaPower-3.com; correct?
- 17 A. Well, there's nothing wrong with that, as
- 18 far as I know, but --
- 19 Q. Okay.
- 20 A. -- I imagine they would be.
- 21 Q. How did Mr. Shepard get these white
- 22 papers?
- 23 A. He would have got them from me.
- 24 Q. Sir, with all of the contents of the
- 25 white paper -- actually, I take that back.

- 1 Q. Who is that?
- 2 A. I don't know. I'd have to get Dave to
- 3 give me a name. But, yeah, we had it evaluated
- 4 several times.
- 5 Q. Mr. Johnson, when did Mr. Nelson hire an
- 6 expert to evaluate your whole system?
- 7 A. It's been -- it's been -- could have been 8 in 2012 or 2013.
- 9 Q. Why did he do that?
- 10 A. Because I asked him to.
- 11 Q. Why did you want that to happen?
- 12 A. I've -- I always want to make sure that
- 13 we're on the right track and evaluate and see if we're
- 14 -- if we've missed something in our calculations.
  - Q. So what --
- 16 A. We always --
- 17 Q. -- written product, if any, did you get
- 18 from that expert who came down in 2012 or 2013?
- 19 A. I don't know that I got one on him. If I
- 20 would have done I probably would have published it,
- 21 but -- but --
- 22 Q. Why didn't you get a written product from
- 23 him?

15

- A. I didn't feel like I needed a whole
- 25 outline. I just was looking at it from a standpoint

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- 1 We looked at Plaintiff's Exhibit 16 and
- 2 17, yes?
- 3 A. We've looked at exhibit -- we looked
- 4 through Exhibit 17. We -- with -- with the -- on --
- 5 on every page. We only glanced at --
- 6 Q. Plaintiff's Exhibit 16?
- 7 A. 16, yeah, we just glanced at that one.
- 8 Q. Okay. How many other white papers, other
- 9 than Plaintiff's Exhibit 16 and 17, do you have about
- 10 your solar energy technology?
- 11 A. I'm not really positive. We have them on
- 12 the photovoltaic system from BYU, the Polish control
- 13 board system. And the analysis was developed by --
- 14 the analysis of the -- of the photovoltaic system, the
- 15 new system we have that's on the website is valued by
- 16 a third party.
- 17 Q. When did you get those?
- 18 A. Oh, it was just a few years ago. This
- 19 is --
- 20 Q. And, sir, have you ever had a third-party
- 21 review of your system as a whole?
- 22 A. Yes, I have.
- 23 Q. Who has done that?
- A. They hired an expert, Dave -- through
- 25 Dave Nelson, and he came down and valued it.

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- 1 of was there something that I was -- that I had missed
- 2 or -- I -- I go through the process of what I invent3 and not look at anybody else's stuff, because I don't
- 4 want to get clouded by somebody else's thinking.
- 5 And I get it done and evaluate it, and
- 6 then I bring in outside people to evaluate my work to
- 7 make -- I don't do it for the people. I do it for
- 8 myself to make sure that I haven't -- haven't --
- 9 haven't lied to myself somewhere.
- 10 Q. Okay. So --
- 11 A. And that's what I do.
- 12 Q. -- is there any other person who has
- 13 evaluated your system as a whole, other than --
- 14 A. Well, I have people come down all the
- 15 time that evaluate it.
- 16 Q. Sir, have you -- have you received any
- 17 written evaluation of your system as a whole?
- 18 A. I haven't, but I'm sure people have
- 19 written about it, but I don't think I have, you know.
- 20 Q. All right. Mr. Johnson, we've been
- 21 talking about lenses so far today.
- 22 A. Your computer's tired. Your computer
- 23 wore out before I did. That's sad. I'm a lot older
- 24 than that computer.
- 25 MS. HEALY-GALLAGHER: Do we want to take

Page 189
1 a five-minute stretch break?

- 2 THE WITNESS: I'm just teasing.
- 3 MS. HEALY-GALLAGHER: I'm just asking.
- 4 It's totally fine if you do.
- 5 THE WITNESS: You're better than most
- 6 people I've dealt with in depositions. So...
- 7 MS. HEALY-GALLAGHER: Great.
- 8 THE WITNESS: Normally they usually come
- 9 over the table at me. You haven't done that yet.
- 10 MS. HEALY-GALLAGHER: The day is young.
- 11 THE WITNESS: I know. Wait and see. You
- 12 might have a good day after all.
- 13 Q. BY MS. HEALY-GALLAGHER: All right.
- 14 Mr. Johnson, I'm showing you what's been marked
- 15 Plaintiff's Exhibit 2. Please take a look at that.
- 16 It is front and back, such as it is.
- 17 A. Okay.
- 18 Q. Do you recognize Plaintiff's Exhibit 2,
- 19 Mr. Johnson?
- 20 A. Yes. It's one I developed.
- 21 Q. Okay. So Plaintiff's Exhibit 2 is a
- 22 printout from the IAUS.com website; correct?
- 23 A. Yeah. This is a very early one,
- 24 actually. This probably was right around 2006, I
- 25 would imagine.

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- 1 Q. Well, I want to direct your attention to
- 2 the text, Mr. Johnson. Did you write this text?
- 3 A. I believe so, yeah.
- 4 Q. Okay. And we've been talking about
- 5 lenses, and I want you to take a look at the picture
- 6 that's on the left-hand side of the page.
- 7 A. Okay.
- 8 Q. Now, Mr. Johnson, am I correct in
- 9 thinking a lens is one of those triangular-shaped
- 10 items that formed the circle?
- 11 A. No. There's two lenses.
- 12 Q. Okay. So there was a double pane?
- 13 A. No. It's just two lenses in that one
- 14 triangle.
- 15 Q. Okay. So every triangle that we see in
- 16 this picture --
- 17 A. Has two lenses in it.
- 18 Q. Has two lenses. There's two layers of
- 19 plastic?
- 20 A. No. There's just two separate lenses in
- 21 there. One that -- they're divided right there with
- 22 the -- with some divider in it and allocated according
- 23 to the square footage. So, yeah.
- 24 Q. I want to make sure we're talking about
- 25 the same thing.

- 1 Can you show me your version there of
- 2 Plaintiff's Exhibit 2?
- 3 A. Each triangle has two lenses, not one.
- 4 Q. Can I see your -- I want to make sure
- 5 we're tracking here.
- 6 A. Sure.
- 7 Q. All right. So in this triangle that I'm
- 8 tracing out with my pen right here, that forms one
- 9 pedal, kind of, this flower here?
- 10 A. Right.

12

- 11 Q. This has two --
  - A. This it -- it goes this area right here,
- 13 and this area right here form one lens. This forms
- 14 another lens right there (indicating).
- 15 Q. I see. Okay.
- 16 So you've made some marking on
- 17 Plaintiff's Exhibit 2 that each -- okay. Now I
- 18 understand.
- 19 A. Okay.
- 20 Q. There's two?
- 21 A. Two lenses.
- 22 Q. So is that two different pieces of
- 23 plastic?
- 24 A. Uh-huh.
- 25 Q. Yes?

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- 1 A. Yeah.
  - 2 Q. Okay. So when a customer buys a lens,
  - 3 what is the customer buying?
  - 4 A. He buys that lens, that's what he buys.
  - 5 Q. The whole triangle?
  - 6 A. No, just the half. Just the lens. He
  - 7 buys one lens.
  - 8 Q. Which half?
  - 9 A. Whatever he chooses. We just put a
  - 10 number on it and then he gets it. We just choose
  - 11 ourselves.
  - 12 Q. Who's "we"?
  - 13 A. I -- I put a number on the lens he buys
  - 14 and it goes out to the site.
  - 15 Q. Okay. Let's start -- let's start with
  - 16 Plaintiff's Exhibit 460, previously marked.
  - 17 A. Okay.
  - 18 Q. Mr. Johnson, I'll represent to you that
  - 19 this is a screen shot of one of the video segments
  - 20 that we captured on April 4, 2017.
    - A. Okay.
  - 22 Q. Does this look like a true and accurate
  - 23 representation of the portion of the manufacturing
  - 24 facility from our site visit day on April 4th?
  - A. It is.

21

- Okay. Now, these pallets are stacks of 1 Q. plastic, are they not? 2
- Stacks of lenses. 3 Α.
- 4 Q. Okay. These are in rectangles; right?
- 5 Α. Right.
- 6 Q. So how does a rectangle of plastic get to
- 7 become any part of the triangles that we see in
- Plaintiff's Exhibit 2?
- 9 Because if you take the two triangles
- 10 together, they make a square. That's the only way you
- 11 could have got them off the rolls, and they break them 12 apart.
- 13 Q. So how does any one piece of plastic in a 14 rectangle in Plaintiff's Exhibit 460 --
- A. Well, just -- they're just cutting in 15
- 16 half which creates the two lenses. So there's two --
- 17 on every -- on every square there's two lenses, and
- 18 that's what goes in -- goes into that. And so they're
- 19 cut in half and so that represents the lenses.
- 20 Q. So each one of the rectangles in
- 21 Plaintiff's Exhibit 460 --
- Yeah, they cut on an angle, which creates 22 Α.
- 23 the triangle.
- 24 Q. -- gets cuts in an angle, creates the
- 25 triangle, and that triangle is then one lens or two

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- 1 lenses?
- 2 Would be two lenses, yeah. So each one
- 3 of -- each one of those flat panels, those two lenses,
- 4 and there's -- you don't see them and they're cut,
- 5 they cut them -- cut so they package them and
- 6 transform them for the purposes of transporting them.
- 7 But there's two lenses on each -- on each level.
- Okay. And I'm correct; right? 8
- Plaskolite provides these lenses?
- 10 Yeah. I used to know them as Lucite. I
- 11 don't know -- I don't -- I didn't know that was their
- 12 name, actually.
- 13 Q. But whoever manufactures --
- Lucite. It used to be Lucite. They've 14 Α.
- 15 been sold out, yeah.
- Sure. 16
- 17 Whoever manufactures the materials on the
- 18 pallets that we see in Exhibit 460 --
- 19 Α.
- 20 Q. -- before they ship them to you, they cut
- 21 them on an angle so that they are triangular?
- We cut them. 22 Α.
- 23 Q. You cut them?
- But it -- the design, see -- see, you --
- 25 you don't understand how hard this was.

- Mr. Johnson, I'm just asking what's here 1 Q.
- 2 now. That's it.
- 3 Α. But what I'm saying is, if you saw that,
- 4 you would see that they're separated by a line through
- 5 there, okay. But to get them through that roll -- see
- 6 this is a big roll. You ever seen how they do
- 7 plastic?
- 8 Q. I understand the roll, sir.
  - So in the design of the rectangle,
- 10 there's a pre- -- there's a line that goes through;
- 11 right?

9

- 12 Α. Yeah.
- 13 Q. And then when the rectangle gets to the
- 14 manufacturing facility --
- 15 Α. No, no, no, no.
- -- Cobblestone cuts it? 16 Q.
- 17 Α. See that's what -- you need to let me
- 18 explain to you what it is.
- 19 Well, sir, I don't want the whole back
- 20 story. I want to know what's happening right now.
- 21 So if you want to tell me what's
- 22 happening right now, can you do that?
- 23 We -- those lenses are rolled out in a --
- 24 in a plastic rolling machine.
- Q. Yes. 25

6

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- They -- they're not squares when they -1 A.
- 2 when they -- we don't ship anything to -- to plastic.
- 3 Plastica makes the whole thing at once. They -- they
- 4 have a plastic -- they have raw plastic, okay, little
- 5 bubbles of it, okay, little BBs of raw plastic.
  - They heat that plastic up, it goes
- 7 through an extruder, it goes to my roller. It rolls
- 8 them out into a flat square like this. And that was
- 9 impossible from what everybody told me, and it was
- 10 impossible to do until I put my math to it and got it
- 11 out and got three patents on it.
- 12 Q. Okay. So now they produce --
- 13 Α. Yeah.
- -- rectangles for you? 14 Q.
- Yeah. Then you cut them in half. 15 A.
- 16 Q. Who cuts them in half?
  - We do. But they will. They're --A.
- 18 Q. No, no, no.
- 19 Who does, sir? Who does cut them in
- 20 half?

17

- 21 Α. I hire people to cut them in half.
- 22 At your manufacturing facility; correct? Q.
- 23 A. Right, exactly.
- Q. So is it Cobblestone Center workers who 24
- 25 do that?

- A. Yes, yes. 1
- 2 Q. Okay. Thank you.
- 3 That wasn't easy to do, by the way. Α.
  - Q.
- 5 Α. Do you want me to tell you why?
- 6 Q.
- 7 I didn't think so.
- 8 You're not even very nice to me. Nobody
- 9 let's me talk.

4

- 10 MR. SNUFFER: You can talk all the way
- 11 back to my office.
- THE WITNESS: No, then you say you're 12
- 13 boring, I'm not going to go with you again. You're
- sensitive. Too sensitive.
- Q. BY MS. HEALY-GALLAGHER: Mr. Johnson, 15
- which entity buys the lenses from Plaskolite? 16
- I don't know. It would be -- we started 17
- 18 out with International Automated Systems, but I think
- it would be Cobblestone now.
- 20 Q. I'll show you what's been marked as
- Plaintiff's Exhibit 461. 21
- Α. Yeah. 22
- 23 Q. Again, I'll represent to you, sir, that
- 24 Plaintiff's Exhibit 461 is a screen shot from our site
- 25 visit on April 4th.

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- 1 Α. Right.
- 2 Does this look like a true and accurate
- 3 feature of what was at the manufacturing facility on
- 4 April 4th?
- 5 Right. And so now what happens is they
- buy this -- Cobblestone does buy it from 6
- International. But since we've changed, it would be
- bought by Cobblestone.
- 9 When did you make that change?
- 10 I don't -- I don't know. I don't even
- 11 know if we've ever bought anything through
- Cobblestone. We bought a lot through International
- 13 Automated Systems.
- 14 We had to buy a whole -- we had to buy a
- 15 really big run in order to get them. So we bought
- 16 thousands, tens of thousands of them, I don't know, to
- get -- to get them out. You can't just do a small
- 18 run.
- 19 So there's quite an investment in it.
- 20 This is probably only a third of what we've actually
- bought, actually, what you saw there. We probably
- 22 bought three times that much.
- 23 Q. Where's the remaining two-thirds?
- 24 We've used them up in the testing of the
- product out there. We've used -- we put a lot of --

Page 199 1 we put a lot of lenses up and a lot came down to see

- 2 -- to see just which ones are going to be the best.
- We bought different thicknesses, we
- 4 bought different ways of putting them up. We
- 5 developed lots of things. And in the process we went
- 6 through thousands and thousands of lenses. Probably
- 10,000 lenses. I don't know for sure, but it's a lot.
- 8 Mr. Johnson, I'm going to come around and
- stand next to you again to take a look at the video.
- 10 All right. Before we do that,
- 11 Mr. Johnson, you said that you apply a serial number
- 12 to each lens; is that right?
- 13 Α. Yeah, normally we do. When they -- when
- 14 we put them out there on the site, they're --
- 15 everybody has a serial number on there.
- 16 Q. When did you start applying serial
- 17 numbers to lenses?
- 18 Α. Before -- before the DOJ come down on
- 19 their -- their raid on us, so they saw them.
- 20 Q. So before 2012?
- 21 A. Yeah, it was before then.
- 22 Did you start before 2010? Q.
  - A. I don't know. Probably. We -- we had --
- 24 there's always been serial numbers on everybody's
- 25 lenses. Whether we actually put them on or not,

23

- 1 they're still there.
- 2 Q. I'm not sure I understand your last
- 3 statement.
- Well, we have them available to put on 4
- 5 and -- and we sometimes we'll wait until we make sure
- 6 they're going to last before we stick something on,
- 7 but -- but we can and we have done in the past.
- 8 Q. So when, typically, is a serial number
- applied? 9
- 10 A. Just whenever I choose to. Just when I
- 11 tell them to.
- 12 Q. Who do you tell to put serial numbers on
- 13 lenses?

20

- 14 A. Just one of the workers. I just hand
- 15 them a bunch of numbers and I say go put some numbers
- 16 on those lenses.
- 17 Q. Which lenses?
- 18 The ones -- ones I usually put in the
- 19 towers I put lenses -- put tags on.
  - Before or after a lens goes in a tower
- does it get a serial number? 21
- 22 A. No. huh-uh.
- 23 Q. Before or after, sir?
- 24 A. It's after.
- 25 Q. How are serial numbers applied?

Page 201 A. Just little -- they're just little --

- 2 just little kind of plastic things you can print off a
- 3 computer and then just rip them off, stick them on.
- 4 Just a little rubber -- little glue side on, you just
- 5 stick them on. Little stickers.
- Q. Going to show you what is labeled on 6
- Plaintiff's Exhibit 409, video 10\_0\_47-0\_47 -- 57, 7
- 8 sorry.
- 9 Hang on just one second, sorry. Having
- some -- oh, geez. That's not what I want. 10
- 11 And for the record, the timestamp is
- 12:46:19, and I will play it. 12
- 13 (Video played.)
- BY MS. HEALY-GALLAGHER: Mr. Johnson, did 14
- 15 that video clip appear to be a true and accurate
- 16 representation of what was on the construction site on
- 17 April 4th?
- 18 A. Uh-huh.
- 19 Q. Yes?
- 20 Α. Yes.
- 21 Q. What we saw in that video clip I believe
- 22 I was told were lenses that had been framed --
- 23 A.
- 24 Q. -- and were ready to install --
- 25 Α. Right.

Page 202

- -- in the trusses that would go at the 1 Q.
- 2 top of the towers; is that right?
- 3 Α. Right.
- 4 Q. So do these lenses have serial numbers on
- 5 them, sir?
- 6 Α. No.
- 7 Q. No. Because they're not in a tower yet?
- Right. And to get in the tower, we 8
- 9 designate -- we designate what towers with so many --
- 10 with people's identification, and we have the
- 11 number -- we can add a number where the tower is on
- 12 the serial number so we can identify where the --
- 13 where they are. These are -- these are warrantied for
- 14 15 years.
- 15 Q. Okay. Sir --
- So if they break, we need to know who --Α. 16
- who to replace them. 17
- Well, that's what I was going to ask. 18
- 19 How do you keep track -- or I'm sorry.
- First off, you said Cobblestone Center is 20
- 21 the entity responsible for applying serial numbers
- 22 now?
- 23 Α. They are, yes.
- 24 And who was responsible for that before
- 25 Cobblestone Center?

A. 1

- Just whoever I designated before.
- 2 Q. Okay. How does Cobblestone -- when did
- 3 Cobblestone Center start being the entity responsible
- 4 for serial numbers?
- 5 Well, it was after the other towers were
- 6 built. They didn't even come in existence until the
- other towers were -- were already built. And they --
- so they'd never been involved in that process.
  - Okay. And the towers at the R&D site are
- 10 the only towers that have been built; correct?
- 11 Α. That's correct, and Cobblestone wasn't
- 12 involved in that.
- 13 Q. Right. So has Cobblestone installed
- 14 lenses on any tower?
- 15 That's what they're doing right now.
- 16 They've got about a quarter of those done -- done
- 17 since you've been down there. Almost a half. The
- 18 lenses on half are almost half installed on that whole 19 site.
- 20 Q. Okay. So has Cobblestone applied serial
- 21 numbers to those lenses?
- 22 No. They won't do that until they're all Α.
- 23 done, then they'll start applying them.
- 24 Q. Okay. What, if any, system does
- 25 Cobblestone have in anticipation of keeping track of

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- 1 different people's serial numbers and matching them to 2 the lenses?
- 3 Α. Well, that happens when they purchase it.
- 4 The serial numbers are generated when they purchase
- 5 the lens, and then they are printed out and handed to
- 6 Cobblestone to put on.
- 7 The tracking, then, will come back and
- 8 they'll designate where they put them on what towers.
- 9 and then they'll add that to the -- to the customer's
- 10 database. But they will know which towers every lens
- 11 goes to. It would be on the database on RaPower or
- 12 XSun or -- or SOLCOI, but it will all be tracked.
- 13 Q. Sir, when customers buy lenses, though --
- 14 A. The serial number is already --
- Q. 15 Okay.
- 16 Α. -- generated at that point in time.
- 17 Okay. But those lenses do not Q.
- 18 immediately go on towers, do they?
- 19 Α. No, they don't.
- 20 Q. No.
- 21 Α. But the --
- 22 Q. In fact, those lenses, that customer's
- 23 lenses, might not even be framed like we see in video
- 10047057; correct?
- 25 Right. But the serial numbers are all

- 1 generated.
- 2 Q. But they are not connected to any
- 3 particular -- sir, let me finish. Sir, let me finish
- 4 the question.
- 5 A. Okay. Sorry.
- 6 Q. The serial numbers are not connected to 7 any specific lens; correct?
- 8 A. No. They designate where lenses are at 9 at any given time for tracking. So they know what
- 10 pallet those lenses are in.
- 11 Q. How do they know?
- 12 A. Because the programs are -- is there and
- 13 tells me where the lenses are at.
- 14 Q. What program?
- 15 A. The program which we buy them off and
- 16 they say, okay, we purchased these lenses, now
- 17 they're -- they're in the warehouse.
- 18 Q. Sir, what's the name of the program?
- 19 A. It's just my own program. I wrote it.
- 20 Q. Where is this program stored?
- 21 A. On the Internet. It's stored on the
- 22 Internet that generates --
- 23 Q. Which -- how do I access it? Which
- 24 website?
- A. You don't access it. What you do is you
  - \_\_\_\_
  - 1 buy a lens, and then it generates you a number and it
  - 2 tells you what -- what serial numbers are on it, and
  - 3 it's stored on the database on the -- on the website,
  - 4 on the Cloud, or whatever you want to talk about.
  - 5 Q. And that program, sir, according to your
- 6 testimony, indicates exactly where that lens is,
- 7 whether on a pallet or on a tower?
- 8 A. Yes, it can. It can track.
- 9 Q. It can or does it, sir?
- 10 A. I don't know. I think that it does, but
- 11 it may not. Depends how good my employees are.
- 12 Q. Mr. Johnson, do you know how many lenses
- 13 have been sold by any entity?
- 14 A. No, I don't.
- 15 Q. The current price of a lens, Mr. Johnson,
- 16 the total price is currently \$3,500; is that right?
- 17 A. That's correct.
- 18 Q. Who decided that \$3,500 should be the
- 19 price of a lens currently?
- 20 A. I did.
- 21 Q. Anyone else?
- 22 A. No. I had people evaluate certain
- 23 things, but I decided how to -- how to price.
- 24 Q. Who evaluated things with respect to the
- 25 price of the lens?

1 A. Just people that worked for me. I had

- 2 them evaluate the different costs I was involved in.
- 3 How much money I spent in R&D. How much money I spent

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- 4 on this process. What's the chances of my warranty --
- 5 how long -- what's my gamble on how long they'll last,
- 6 how many times I have to replace it.
- 7 What's the lifetime of this lens? What's
- 8 the production? How much production will it do? Will
- 9 it pay for it? All those things went into the value
- 10 of the -- what I put out there, and then the risk
- 11 factor and my profit.
  - Q. Okay. And customers, though,
- 13 Mr. Johnson, buy only the lens; correct?
- 14 A. That's correct.
- 15 Q. Mr. Johnson, all these things that others
- 16 have evaluated for you as far as what should factor
- 17 into the price of the lens, do you have any of that
- 18 written down?

12

- 19 A. No. I don't keep that. I just -- I just
- 20 figure it out and I figure this is what I've invested,
- 21 this is what my return should be, this is the risk
- 22 I've taken, this is what the market value is at the
- 23 time. The market value was within reason and so we
- 24 decided that would be the way we priced it.
- 25 Q. Okay. And when you say, for example, R&D

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- d it 1 costs that you have incurred --
  - 2 A. Right.
    - Q. -- to develop the lens --
  - 4 A. Right.
  - 5 Q. -- what's your estimation of that cost?
  - 6 A. I don't know. \$20 million or so, maybe
  - 7 more.

3

- 8 Q. And how do you arrive at that number?
- 9 A. Through the bookkeeping that IAS, they do
- 10 the bookkeeping on IAS's side.
- 11 Q. So that's a question for IAS, perhaps?
- 12 A. Well, it's what -- what they've -- what
- 13 the R&D's added up to. What the losses were on IAS's
- 14 side. That's what I paid so -- a lot of that is what
- 15 I paid. I didn't pay all of it, but I paid a
- 16 substantial amount of that.
- 17 Q. And, to your knowledge, is that
- 18 information written down somewhere in IAS's records?
- 19 A. Well, I'm sure -- yeah, it's a public
- 20 corporation, you know, you can't -- you can't fudge on
- 21 the accounting on a public corporation. I never have.
- 22 I wouldn't dare do that.
- 23 Q. So, Mr. Johnson, if Mr. Greg Shepard has
- 24 made statements about the state of the technology at
- 25 the R&D site, how, if you know, has he learned that

1 information?

- 2 MR. SNUFFER: Objection. Calls for
- 3 speculation. You can answer if you...
- 4 THE WITNESS: Well, yeah, there's no
- 5 speculation in it. What happens when you do R&D --
- 6 MS. HEALY-GALLAGHER: No, sir, I'm not --
- 7 I'm not asking about that.
- 8 THE WITNESS: You are. That's exactly
- 9 the question you just asked.
- 10 MS. HEALY-GALLAGHER: No, sir.
- 11 Q. So do you talk to Mr. Shepard about the
- 12 state of the technology at the R&D site?
- 13 A. Of course I do.
- 14 Q. About how many times in the course of a
- 15 year do you talk with Mr. Shepard about the technology
- 16 at issue?
- 17 A. Ten times, maybe.
- 18 Q. Okay. Does he come to visit?
- 19 A. Yes, he does.
- 20 Q. Yes. About how many times a year would
- 21 you say he comes to visit?
- A. About ten times. I don't know, about
- 23 roughly that.
- 24 Q. Other than visits to the R&D site and
- 25 conversations with you, do you have any idea how else

- 1 Q. You told the people there about the state
  - 2 of the technology?
  - 3 A. Yes, I did.
  - 4 Q. And, in fact, RaPower-3 hosts tours.
  - 5 Like, RaPower-3 will bring groups of people to see the

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- 6 construction site and the R&D site; correct?
- 7 A. I do.
- 8 Q. XSun Energy. Does XSun Energy bring
- 9 customers onto the site?
- 10 A. Not so much.
- 11 Q. How about SOLCO?
- 12 A. No, not so much.
- 13 Q. Okay.
- 14 A. Am I not so boring now? She hasn't come
- 15 across the table yet, though.
- 16 Q. Mr. Johnson, I'm going to hand you what's
- 17 already been marked as Plaintiff's Exhibit 8A. Turn
- 18 it over, sir. There you go.
- 19 Please take a look at that document and
- 20 let me know when you're ready to answer just a couple
- 21 questions about it.
- 22 A. Okay. What -- what do you want me to
- 23 answer?
- 24 Q. My questions, sir, really start at the
- 25 photos page, which is Bates marked Greg\_P&R574 through

Page 210

- 1 Mr. Shepard would have gotten information about what's
- 2 going on at the R&D site?
- 3 A. No.
- 4 Q. Mr. Johnson, you've referenced a few
- 5 times that you have folks who come and visit the site
- 6 to see it; is that right?
- 7 A. Yeah, uh-huh.
- 8 Q. And you've been hosting visitors at the
- 9 site for ten years?
- 10 A. Probably.
- 11 Q. Right. And you're the one who shares
- 12 information about the technology with the visitors to
- 13 the site; correct?
- 14 A. Most the time.
- 15 Q. There have also been -- well, there's at
- 16 least one RaPower-3 national convention. Are you
- 17 aware of that?
- 18 A. Uh-huh.
- 19 Q. Yes?
- 20 A. It was in -- yeah, we held it in Salt
- 21 Lake City, I believe.
- 22 Q. In 2012; correct?
- 23 A. 2012.
- 24 Q. And you spoke at that convention; right?
- 25 A. I did.

1 583.

- 2 A. Okay.
- 3 Q. And as you take a look at those pages,
- 4 sir, you see that there are years that demarcate
- 5 different photos?
- 6 A. Uh-huh.
- 7 Q. Could you just take a look through there
- 8 and just to yourself take a look at the pictures and
- 9 let me know if the -- if the photos match up in your
- 10 recollection with about the year that's identified as
- 11 connected with them.
- 12 A. Well, he probably had a better sense of
- 13 the year than I would, because I don't remember them.
- 14 So -- so what's your question?
- 15 Q. So my question, for example, is the page
- 16 that has 2006 at the top.
- 17 A. 2006, okay.
- 18 Q. Right. Is that -- you know, the 2006
- 19 photos are, like, three different rows. Are those,
- 20 you know, to your recollection, what was going on in
- 21 2006?
- 22 A. Uh-huh.
- 23 Q. Yes?
- A. 2006, yeah. I think it's probably about
- 25 right, yeah.

Q. And how about the three photos for 2007?

- 2 In 2007, okay, yeah. Α.
- 3 Q. Those were -- those look about what you
- 4 recall was going on in 2007?
- 5 Uh-huh. Α.

1

- Yes? I need a verbal yes, sir. 6 Q.
- 7 Oh, yes, excuse me. Α.
- And then the next page, 2008 to 2010, do 8 Q.
- 9 those photos look like what you recall from those 10 years?
- 2008 -- well, these represent different 11 Α.
- 12 years on different fabrications. These aren't -- this
- 13 isn't all in just one year.
- 14 Q. Right. It's for 2008 to 2010, so it's
- 15 about -- about in that time?
- Even then, no, those are some of -- these 16
- 17 are way off on some of these dates here. Yeah,
- 18 they're not -- they're not even close.
- 19 All right. Well, what about the next
- 20 couple pages, 2011 to 2012? There's, like, three
- 21 pages for that.
- 22 Α. Yeah, even then the dates aren't right.
- 23 The dates aren't right.
- What do you think's not right? 24 Q.
- 25 Well, it's just -- it's just when -- when Α.

- Page 213 Page 215 A. 1 -- that doesn't mean that's when I did
  - 2 them.
  - 3 All right. But these photos look like Q.
  - 4 what was happening in 2013; right?
  - Yeah, that's fine, but... 5 A.
  - 6 Q.
  - 7 A. They wouldn't have -- I would have had
  - 8 those earlier than that.
  - 9 Okay. And the same thing for photos
  - 10 under the 2014 heading, they could -- they were taken
  - 11 in 2014, to your recollection; right?
  - Well, I wouldn't have taken them, so I 12
  - 13 wouldn't know, but I just know that --
  - 14 Q. Do they reflect --
    - Α. But I had -- that is when I got the
  - 16 equipment. That's not when I bought -- you know,
  - 17 there's a lot of things in here that are overlapping.
  - 18 Q. Okay.
  - 19 A. Doesn't mean I built those in 2014.
  - 20 Q. Understood.
  - 21 But could these photos have been taken in
  - 22 2014?

1

6

15

- 23 A. Yeah, they could have been, yeah.
- 24 Q. Yes, okay. All right. Thank you. You
- 25 can put that exhibit away.

Page 214

- 1 these went up there -- the dates aren't -- don't
- 2 coincide with, I don't believe, the dates that I put
- 3 them up.
- Q. 4 What do you mean?
- 5 A. Well, the towers were put up before 2011.
- Right. I don't think he's saying the 6
- 7 towers went up in 2011. There's just a picture of the
- towers up in 2011.
- 9 Well, yeah, okay. I got that. But I --
- 10 yeah, if you're saying that that's when I put them up,
- 11 that's not when I put them up.
- 12 Q. No, I don't think he's saying that's when
- 13 you put them up.
- All right. We may have taken them 2011. 14 Α.
- 15 That's entirely possible, but, okay.
- Q. Okay. Then let's take a look at for 16
- 17 2013.
- 18 Α. 2013.
- 19 Q. Couple of pages for 2013.
- Same thing, the dates of when I actually 20
- 21 did it, I don't remember the dates.
- So these photos may have been taken in 22 Q.
- 23 2013 --
- 24 A. Yeah, but --
- 25 -- correct?

- Α. Okay. I didn't even see those. That's a 2 lot of work, huh?
- Showing you, sir, what's previously been 3 Q. 4 markedPlaintiff's Exhibit 13.
- 5 Α. Oh, my gosh, won't be able to read that.
  - Q. All I'm going to ask you about
- 7 Plaintiff's Exhibit 13, sir, is this is -- appears to
- be a printout from RaPower-3.com. 8
- 9 Do you see that?
- Right. 10 Α.
- Q. And it's called "The Holy Grail of Solar 11
- 12 Energy."
- 13 Do you see that?
- 14 Α. Yeah.
- 15 Q. And it says it's by Neldon Johnson?
- 16 I barely can read that. Α.
- Okay. But you see that, yeah? 17 Q.
- Need a magnifying glass, but I wrote 18 Α.
- 19 this.
- 20 Q. You wrote this?
- 21 Α. Yes.
- 22 How did it get on RaPower-3.com? Q.
- 23 Α. He probably copied it from International.
- 24 my IAS website. It's on the IAS website.
- 25 Okay. So you think Mr. Shepard copied

,	Page 217		Page 219
1		1	,
2	•	2	S .
3	<b>o</b>		been marked as Plaintiff's Exhibit 511, and let me
4	,		know when you've had a chance to review it.
5 6	•	5	,
7		6	1 1
8			Agreement; correct?  A. Correct.
9	,	8	
10	<u> </u>	9	2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2
11			numbers on this document are Olsen_P&E-00195 through
12	A. Did you understand it?		202.
1	· ·	12	•
13	•	13	
14			contract between RaPower-3, LLC and Preston Olsen;
15	•		correct? Of PFO Solar?
16	, ,	16	
17		17	
18		18	, , , , , , , , , , , , , , , , , , , ,
19	·		last page of Plaintiff's Exhibit 511?
20	•		•
21		21	
22		22	•
23	• • •	23	
24		24	A. Yes, uh-huh.
25	<ul> <li>Q. The IAUS response to Department of</li> </ul>	25	<ul> <li>Q. So have you authorized your digital</li> </ul>
	Page 218		Page 220
1	Justice's Claims Against its Technology.	1	signature to be applied to sorry. Let me finish
2	A. Did you see how nice I was?	2	the question.
3	Q. Thank you.	3	A. Sorry.
4	A. How careful I was, because I knew you	4	Q. Have you authorized your digital
5	guys were mean.	5	signature to be applied to RaPower-3 purchase
6	Q. You wrote that, did you not?		agreements? Sorry. I'm losing it too.
7	A. I did, and I wrote it nice too.	7	
8	Did you read it?	8	to be applied to RaPower-3 Equipment Purchase
9	Q. I'll ask the questions.		Agreements; correct?
10	A. I know, but, I mean, you know, just a fun	10	
11	question.	11	
12	Q. Yes, Mr. Johnson, I read it.	12	
13	A. Thank you.		they do want to buy lenses?
14	MS. HEALY-GALLAGHER: Let's take a	14	
	five-minute break, please.		and join and sign sign up, and it's all automatic.
16	(There was a break taken.)	16	
	(Exhibits 511 and 512 were marked for identification.)	17	
18	MS. HEALY-GALLAGHER: Back on the record,		I thought it was dot com, but it may be dot net, I
1 . •			don't know. I've never done one, to tell you the
19	please.		-
19	•	20	) truth
20	Q. Mr. Johnson, we just took a short break.		truth. O You've never done?
20 21	Q. Mr. Johnson, we just took a short break.  Did you talk to anyone about the facts of this case	21	Q. You've never done?
20 21 22	Q. Mr. Johnson, we just took a short break.  Did you talk to anyone about the facts of this case during the break?	21 22	<ul><li>Q. You've never done?</li><li>A. I've never did it myself.</li></ul>
20 21 22 23	Q. Mr. Johnson, we just took a short break.  Did you talk to anyone about the facts of this case during the break?  A. No.	21 22 23	<ul><li>Q. You've never done?</li><li>A. I've never did it myself.</li><li>Q. Okay. Who is it that makes sure this</li></ul>
20 21 22 23 24	Q. Mr. Johnson, we just took a short break.  Did you talk to anyone about the facts of this case during the break?	21 22 23	<ul><li>Q. You've never done?</li><li>A. I've never did it myself.</li><li>Q. Okay. Who is it that makes sure this contract is online for people if they want to buy?</li></ul>

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2 makes sure the documents are -- are correct, you know. 2

3 Q. Okay. And that's all through RaPower-3,

1 that -- that we have that writes the programs and

- 4 LLC; correct?
- 5 A. Right, uh-huh.
- 6 Q. Okay. Let's take a look, please, at
- 7 Plaintiff's Exhibit 512. 512. Take a look at that
- 8 and please let me know --
- 9 A. I know what it is. Okay.
- 10 Q. What is -- you're familiar with this
- 11 document?
- 12 A. I am, uh-huh.
- 13 Q. Okay. For the record, it's Bates numbers
- 14 Olsen\_P&E-00203 through 216.
- 15 And you know what, let's take a quick
- 16 look back at Plaintiff's Exhibit 511.
- 17 A. Okay.
- 18 Q. 511.
- 19 A. Okay.
- 20 Q. Mr. Johnson, we have a number of
- 21 RaPower-3 Equipment Purchase Agreements in our records
- 22 that look like Plaintiff's Exhibit 511.
- 23 A. Sure.
- 24 Q. If, you know, for example, that RaPower-3
- 25 letterhead logo that's up at the top left of the

- 1 A. Right, uh-huh.
  - Q. So why, Mr. Johnson, are you signing a
  - 3 contract that purports to bind LTB as manager of
  - 4 RaPower-3?
  - 5 A. Well, they put it down as a seller, and
  - 6 LTB was not the seller.
    - Q. Right. That's what I want to know.
  - 8 Why does this contract say LTB is bound
- 9 to do something, but it's on RaPower-3 letterhead and
- 10 is signed by you on behalf of RaPower-3?
- 11 A. Well, quite frankly, I didn't know that
- 12 was the case. But if it is, we'll change it. But --
- 13 but -- and it's probably just a mistake made by my
- 14 programmer in thinking that this was a RaPower-3
- 15 contract and not telling me that it -- that -- you
- 16 know, and it's probably my fault for not looking
- 17 through it more closely than I did.
- 18 Q. Has anyone ever asked you about that?
- 19 A. No. I've never seen it -- seen it, but
- 20 we can correct it. It's not a problem. I can go back
- 21 and redo them. But I didn't -- I wasn't aware of
- 22 them, I'm sorry. I made a mistake. That's the first
- 23 one I've ever made. Gosh, it's terrible.
- 24 Q. I'm going to show you, sir, what's
- 25 already been marked as Plaintiff's Exhibit 121.

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- 1 document there, that's the RaPower-3, LLC
- 2 letterhead --
- 3 A. Right.
- 4 Q. -- logo? Okay.
- 5 Moving on. All right. We can go back to
- 6 512.
- 7 A. Okay.
- 8 Q. In 512 we see the same logo up at the
- 9 top; correct?
- 10 A. Correct, uh-huh.
- 11 Q. And 512 is an Operation and Maintenance
- 12 Agreement --
- 13 A. Uh-huh.
- 14 Q. -- correct?
- 15 A. Right.
- 16 Q. And the -- the recitals at the top there
- 17 say that it is between LTB, LLC and Preston Olsen for
- 18 PFO Solar; right?
- 19 A. Correct.
- 20 Q. And then on the signature line on the
- 21 last page, again we see your digital signature; right?
- 22 A. Right, uh-huh.
  - Q. It's for the seller and as a director of
- 24 RaPower-3.

23

25 Do you see that?

- 1 A. Okay.
- 2 Q. Just take a look at that, please, and let
- 3 me know when you're ready to answer some questions.
- 4 A. Okay. Now that's a different one than
- 5 this one, right? Did I sign it the same way?
- 6 Q. So let's walk through the document.
- 7 So if you take a look, please, at the top
- 8 of the first page, it says "RaPower-3."
- 9 Do you see that?
- 10 A. Right.
- 11 Q. But it's a different logo?
- 12 A. Right.
- 13 Q. Is that another logo that RaPower-3, LLC
- 14 uses?
- 15 A. Right. Looks like they improved it,
- 16 doesn't it? Yeah.
- 17 Q. Okay. And this purports to be between
- 18 LTB, LLC and Preston Olsen.
- 19 Do you see that?
- A. Uh-huh.
- 21 Q. Yes?
- 22 A. Right, uh-huh.
- 23 Q. And this is an Operation Maintenance
- 24 Agreement; correct?
- 25 A. Right, uh-huh.

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And then, yes, if we turn to the last 1

- 2 page, which is Olsen\_P&E-00177. Mr. Johnson, you've
- 3 signed as director of RaPower-3; correct?
- 4 Α. Correct, uh-huh.
- 5 Q. Okay.
- 6 Α. That would be correct. We'll make sure
- 7 that doesn't happen again.
- Okay. But this appears to be a typical 8
- **Operation Maintenance Agreement?** 9
- That's correct, yes. 10
- 11 Show you, sir, what's been marked as
- 12 Plaintiff's Exhibit 103.
- 13 Just take a look at that document and let
- 14 me know when you're ready.
- Α. Okay. I'm ready. 15
- All right. First off, up at the top left 16 Q.
- 17 we see the International Automated Systems letterhead;
- 18 correct?
- Α. 19 Correct, uh-huh.
- Q. 20 And this is a letter to KBR Investments,
- 21 LC; correct?
- 22 Α. Correct, uh-huh.
- 23 Q. Okay. The signature appears to be yours;
- 24 is that right?
- 25 Α. Right.

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- It's a little faint, but you recognize 1
- 2 that as your signature?
- 3 Α. That's my signature, yes, it is.
- Okay. And, Mr. Johnson, this letter 4 Q.
- 5 starts off saying:
- 6 "This letter is regarding the
- 7 alternative energy systems that you
- purchased from International 8
- 9 Automated Systems, Inc. (IAS)."
- Correct. 10 Α.
- "IAS put into service your equipment 11
- on or before December 4, 2008." 12
- Did I read that correctly? 13
- 14 Α. Correct, uh-huh.
- Okay. So what did IAS do to put into 15 Q.
- 16 service this person's alternative energy systems?
  - We relied upon the tax opinion letter,
- 18 and from that we were able then to -- to show that
- 19 this was placed in service according to the
- 20 information we received through our attorney's tax
- 21 opinion letters.

17

- Okay. I understand you had tax opinion 22
- 23 letters, but what did you actually do -- what did ISA
- 24 do with this person's alternative energy systems? 25
  - We probably had them in the towers, but

1 that isn't the issue. The issue was that you're

- 2 asking me why I wrote the letter.
- 3 Q. No, that's not what I said.
- 4 Α. Okay. I mean --
- Q. I asked what did IAS do to put into 5
- 6 service these alternative energy systems?
- 7 That's what I'm saying. We invited -- we
- 8 did what was required by the tax opinion letter. We
- 9 relied upon the tax opinion letter to evaluate what
- 10 our -- what our -- what we -- what we were required --
- 11 what we were -- were -- okay. I'm losing it. Been a
- 12 long day. What we were -- can't even say it --
- 13 anyway, you know what I mean.
- 14 Q. Okay.
  - Α. What we were required to do.
- 16 Q. We'll talk about those in a second.
- 17 Showing you what's been marked
- 18 Plaintiff's Exhibit 181.
- 19 Α. Okay.

15

- 20 Q. Would you take a look at this, please.
- 21 Just flip through and let me know when you're ready to
- 22 answer a couple questions.
- Okay. Go ahead. 23 Α.
- 24 Q. All right. Plaintiff's 181 is an
- 25 Equipment Purchase Agreement between IAS and iLios,

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- 1 LLC; is that correct?
  - 2 What you got here? A.
  - 3 Q. Top paragraph.
  - Α. Okay. I got it. All right. 4
  - 5 Q. Okay. And it's dated 18 December 2008;
  - 6 correct?
  - 7 Α. Okay.
  - 8 Q. Take a look, please, at the last page of
  - this exhibit. 9
  - Α. 10 Okay.
  - 11 Q. Your signature is there, sir, on behalf
  - 12 of International Automated Systems; correct?
  - 13 Α. Correct. uh-huh.
  - 14 Q. So this is a contract that IAS provided
  - 15 to iLios, LLC; right?
  - 16 Α. Okay.

17

23

- Is that right? Q.
- 18 A. Right.
- 19 Q. Showing you what's previously been marked
- 20 Plaintiff's Exhibit 185. Please take a look at that
- 21 and let me know when you've reviewed it.
- 22 Α. Okay. Okay.
  - Q. The company name is spelled a little
- 24 incorrectly, but that's IAS's identification at the
- 25 top of the page; correct?

- 1 A. That's correct.
- 2 Q. And on the next page you have signed this
- 3 letter on behalf of IAS?
- 4 A. Okav.
- 5 Q. Is that right?
- 6 A. Right, yeah.
- 7 Q. So your signature appears above your name
- 8 as CEO of IAS; correct? Correct?
- 9 A. Yes, uh-huh. Okay.
- 10 Q. Take a look, please, at the last page of
- 11 Plaintiff's 185. It appears to be a Solar Lease Bonus
- 12 Fee Contract.
- 13 Do you see that?
- 14 A. Which one you looking at now?
- 15 Q. Last -- last page.
- 16 A. Last page. Okay.
- 17 Q. Do you see that? Solar Lease Bonus Fee
- 18 Contract?
- 19 A. Right.
- 20 Q. This contract -- correct me if I'm
- 21 wrong -- appears to allow Ms. Lambrecht or iLios to
- 22 earn a bonus of the gross -- total gross sales revenue
- 23 as received by IAS commencing October 31, 2005, for
- 24 the sale of power generation equipment.
- 25 A. Okay.

1

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- Q. Is that right?
- 2 A. Correct.
- 3 Q. Yes? Okay.
- What sales revenue was IAS receiving as 5 of 2005?
- 6 A. We -- we -- I don't know that we received 7 any.
- 8 Q. Has IAS ever received any sales revenue?
- 9 A. Not -- not that I'm aware of. Rather
- 10 than just what I pay them for research and
- 11 development.
- 12 Q. Do you know who, sir, came up with this
- 13 bonus fee contract?
- 14 A. I did.
- 15 Q. You did?
- 16 A. Uh-huh.
- 17 Q. And why did you decide to start issuing
- 18 bonus fee contracts?
- 19 A. In order -- in order to launch a company
- 20 -- now, do you want me to explain it or not? If you
- 21 don't want me to, tell me before I start.
- 22 Q. Can you do it succinctly?
- 23 A. Can I do anything like that? Probably
- 24 not. Because it's not -- it's not as easy to explain
- 25 as what you're alluding to.

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1 To launch -- we -- we evaluated how to

2 launch this company going from R&D into a company3 selling products. We looked at other companies and

4 how they chose to launch their companies, the costs

5 involved, and what was going to be required in order

6 to -- to do that.

We decided that we could take the losses that they were -- would incur in that process, giving it back to our customers would give us the ability to

10 launch this company.

We calculated we could -- we could giveaway between three and six percent of our gross sales,

13 and still be less than most companies use in launching

14 their -- in launching their companies into a viable --

15 going from research and development into a -- into an

16 active marketing company and actually selling product.

17 So we -- we calculated out three to six 18 percent. Most companies spend probably 30 percent to

19 -- or 50 percent of their -- of what they would make

20 in three years up front to launch a company.

21 We would have had to -- we would have had

22 to diluted our stock to a certain position in order to

23 do that. And we decided rather than dilute our stock

24 position, that we didn't want to do, we chose then to

25 offer a bonus program to entice the people to buy our

1 equipment.

2 Know that it was high risk. It was a

3 brand new piece of equipment. People were not going

4 to be excited about getting involved in it. And so I

5 figured out the mathematics and the probabilities just

6 to statistic analysis on how to proceed and what would

7 be the risk to us in doing that, in marketing this

8 product.

9 Then when I got through all the

10 mathematics that I did on this -- on the valuation of

11 other companies and how they resolve it, what the

12 chances of success was in doing it their way, the

13 chances of success doing it our way, and it looked to

14 me like the biggest success would be doing it this

15 way, and it would be more attractive to our -- to our

16 customers.

17 And so rather than give away our -- our

18 profits to advertising companies, we decided then to

19 give it back to the -- as an advertising cost, back to

20 our own -- to our customer base, which I feel like it

21 was the right choice and it -- and it made -- it made

22 a huge difference in our sales.

23 But it also gave -- gave two things: It

24 also gave back -- it guaranteed a profit to the people

25 that bought in my equipment. It guaranteed if we're

- 1 successful, it guaranteed that the IRS would get more
- 2 money back than they ever paid out on the tax credits,
- 3 or the depreciations, just from this bonus program 4 alone.
- 5 Let alone -- we also guaranteed the
- 6 program -- this is not -- the whole program that we
- 7 put together was more than this. We guaranteed the
- 8 payment on the -- on the -- if -- if it didn't make a
- 9 payment that year to equal the payment required to pay
- 10 it off, I would make that payment.
- 11 And so they -- they were guaranteed they 12 were going to have to pay taxes on that payment.
- 13 Q. So I want to --
- 14 So what I did --Α.
- 15 I want to ask you a question. Q.
- 16 Α. You told me I could clarify the whole
- 17 thing.
- 18 Q. I didn't say that. I said succinctly.
- So here's what I'm going to ask you --19
- 20 Α. All right.
- -- you said the bonus situation made a 21
- 22 huge difference in your sales?
- Yes, it did. 23 Α.

A.

- Q. 24 So offering the bonus system really
- 25 increased your sales, is what you're saying?
- Page 234
- What it -- what we feel like it did --2 and there's no way to tell, I mean, there's no way to
- 3 do it because I didn't sell it any other way, so you
- can't really judge, you know.
- 5 Q. Isn't it right, though, Mr. Johnson, that
- 6 the --

1

9

- 7 From my opinion is that I would do that.
- THE REPORTER: One at a time. 8
  - MS. HEALY-GALLAGHER: Sorry about that.
- Q. But you have not always had a bonus 10
- 11 contract in place. Isn't that right?
- 12 Α. No.
- 13 Q. You have always had a bonus contract in
- 14 place?
- 15 Α. Well, I changed it now, because now we're
- 16 into a profitable situation. I no longer need that
- bonus program to provide me with the sales. 17
- So when did you stop offering the bonus 18 Q.
- 19 contract?
- 20 A. Last year.
- 21 Okay. And that was because you hit a
- 22 place where you were making --
- We hit a place where we felt like that we 23
- 24 didn't -- there's no profit. There's no profit for me
- 25 in this at all, and I can't run a company, sustain it

1 with this kind of a contract.

- 2 We -- we figured we could do three to six
- 3 percent of our gross sales, and that's about what we
- 4 -- we haven't even hit that.
- 5 Q. When last year did you stop offering the
- 6 bonus contract?
- Well, I didn't stop offering it to our
- 8 traditional customers, but I -- I'm -- I've been --
- 9 all the new customers we've been pushing over this
- 10 other way.
- 11 Q. This isn't the -- is it the same time
- 12 that you stopped offering depreciation as a tax
- 13 benefit?
- 14 A. Right, yeah.
- 15 Yes? Q.
- 16 Yeah. A.
- 17 Q. Okay. And why did you stop -- why did
- 18 you stop offering depreciation?
- 19 Well, I'm not a tax -- I don't offer tax
- 20 even to you. I wouldn't offer you a tax thing. I
- 21 wouldn't offer you tax advice, okay.
- 22 But from -- from what my opinion -- and I
- 23 haven't offered this to my customers -- but -- but I
- 24 knew that once you stopped having a bonus program, you
- 25 couldn't take the depreciation.

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- Q. And why do you think that? 1
- 2 Because that's what my tax attorney said. Α.
  - Who's your tax attorney? Q.
- McConkie. In their letter they outlined 4 Α.
- 5 this as a reason why you could take depreciation.
- So to your understanding --6 Q.
- 7 A. That's my understanding of what the tax
- 8 letter said.

3

- 9 Q. Okay. Let me just make sure.
- And I'm not a tax expert, and I could 10
- 11 have got it wrong, but I doubt it.
- 12 Q. So let me make sure I understand what
- 13 your understanding is.
- Α. 14 Okav.
- Q. 15 You believe that a memorandum from Kirton
- 16 McConkie stated that if there was a bonus contract in
- 17 place, then a purchaser of a lens could claim
- 18 depreciation on the lens?
- 19 Well, that was one of the specific
- 20 guestions I asked him and put -- and he wrote it. And
- 21 I told him what I was doing, and he said, yeah, that
- 22 would work.
  - Q. So that was your understanding?
- That was my understanding that was his A. 24
- 25 opinion.

23

- 1 Q. Okay. So then once the bonus contracts
- 2 stopped being offered, you no longer said that
- depreciation was --3
- 4 Α. Right.
- 5 Q. -- an option for customers?
- 6 Right. We never -- I've never given a
- 7 tax opinion letter out anyway, but I wouldn't -- I
- wouldn't have told anybody that they could take it
- knowing that they couldn't.
- 10 And that was -- that's what the
- 11 information that I got, so we stopped -- we stopped
- 12 even promoting it on that basis. But it was a limited
- 13 time. There's not -- you know, so that's -- that was
- 14 -- we could never sustain the company doing what we
- 15 were doing. Nobody in their right mind would have.
- 16 I'm showing you, Mr. Johnson, what's been
- 17 marked as Plaintiff's Exhibit 383. Take a quick look
- at that and let me know when you're ready.
- 19 Α. I don't even know what that is.
- 20 Q. For the record, the Bates number is
- Ra3004771. 21
- 22 Mr. Johnson, is that your signature at
- 23 the top quarter of the page?
- 24 Yes, it is. But this wasn't the whole
- 25 thing that was given out. This was in response to a

- This is the letter that I sent out and
- 2 got and sent to everybody that I would -- you know, if
- 3 they didn't want to do the deal, that I would refund
- 4 their money.
- 5 Q. So then, Mr. Johnson, would you think it
- 6 would be before December 28, 2010?
- A. Yes, I -- it would be -- I don't know
- 8 exactly, and this may not relate to it, but that's the
- 9 only way I know that this would -- this would be
- 10 there.
- 11 But I -- the reason why I did it wasn't
- 12 because I was obligated to, it was because I didn't
- want anybody to be damaged by something that I did.
- Okay. Mr. Johnson, to your knowledge, 14
- 15 has there ever been a payment made to any customer for
- 16 having used their lenses for advertising purposes?
- 17 Not at this time there hasn't been.
- 18 Q. Have you ever offered to provide
- 19 customers with retroactive rental payments for their
- 20 lenses?
- 21 A. I don't know what that is. I don't know
- 22 what you mean by that.
- 23 Okay. Has there ever been a payment to
- 24 any customer for having used his or her lens in
- 25 research and development?

- 1 letter that I sent out to all the people that stated A. No, there hasn't been, no. 1
- 2 that I would purchase -- I would -- I would pay -- I 2 Q.
- 3 would repurchase all of their notes if you were
- 4 worried about the IRS, a problem with the IRS. I
- 5 would -- I would refund you within this length of
- 6 time, your money.
- 7 This is -- this is not what your -- this
- 8 is what I -- this isn't -- this is something that --
- 9 this is a short overview of what I put out, and -- and
- 10 what I did is I did -- I did refund people's money,
- 11 some people's money over that issue. But I didn't
- 12 have to.
- 13 I did it only because I wasn't -- I
- 14 wasn't going to -- I didn't want to put anybody into a 14 about that there was a tax credit available, but I
- 15 -- give somebody a heart attack or a financial
- 16 situation that they couldn't -- wouldn't do.
- 17 And so I sent a letter to everybody and 18 said, look, if you're worried about the IRS, if you're
- 19 concerned about it, then for this period of time,
- 20 we'll give a certain amount of time, and we'll refund 20 with the idea for the tax benefits that purportedly
- 21 your money. That's what this relates to.
- Okay. When did you make that offer? 22
- 23 When did you send that letter to folks?
- Α. I don't know, but it's on somewhere. 24
- 25 I'm not asking about plaintiff's --Q.

- Mr. Johnson, you're currently doing ads,
- 3 I believe, on KNRS radio; is that right?
- 4 That's correct, yes.
- 5 Q. Are you doing any other radio ads?
- 6 A.
- 7 Q. On the radio ads, are you talking about
- 8 technology?
- 9 Α. Mostly.
- 10 And on the radio ads, are you talking
- 11 about any federal tax benefit that you might get from
- 12 buying a lens?
- 13 No. I don't -- I may have said something
- 15 don't -- but mostly I'm -- mostly the whole purpose of
- 16 what I was doing is to -- is to -- is to get people
- 17 involved, understanding the technology.
- 18 So, Mr. Johnson, Mr. Shepard, in other
- 19 documents, has stated that you are the one who came up
- 21 relate to purchase of solar lenses.
- 22 Well. I didn't come up with it.
- 23 MR. SNUFFER: It's not a question. It's
- 24 a statement.
- 25 THE WITNESS: The government --

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- 1 MR. SNUFFER: Do you mean that to be a 2 question?
- 3 Q. BY MS. HEALY-GALLAGHER: Is that correct?
- 4 MR. SNUFFER: Okay. Then I want to
- 5 object for lack of foundation and speculation.
- 6 But go ahead and answer.
- 7 THE WITNESS: No, I didn't come up with
- 8 it. I -- I just related what the statutes and -- and
- 9 things that were developed by the United States
- 10 Government, and I didn't come up with those.
- 11 Q. BY MS. HEALY-GALLAGHER: Did you ever
- 12 consult with any CPAs about those statutes?
- 13 A. I not only consulted with CPAs, but I
- 14 also consulted with the NATP.
- 15 Q. I'm just asking about CPAs right now.
- A. Correct. I did lots.
- 17 Q. So let's take a look at what's already
- 18 been marked Plaintiff's Exhibit 360.
- 19 A. Okay
- 20 Q. Please take a look at that and let me
- 21 know when you're done.
- 22 A. Okav.
- 23 Q. Mr. Johnson, did you have any -- do you
- 24 recognize this document from Cloward & Sorenson, LLC?
- 25 A. Yeah, but this was -- I didn't -- I

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23

20

- 1 didn't -- I'm not the one who generated this nor asked 2 for it.
- 3 Q. Who is?
- 4 A. I think this one came from Bill Pack.
- 5 Q. But you didn't have a role in obtaining
- 6 this letter?
- 7 A. No. I never even had a role in -- in
- 8 developing any of the information that went into this
- 9 -- this particular information. This -- I -- but this
- 10 was, I think, obtained from -- from a relationship of
- 11 Bill Pack, who is also a CPA.
- 12 Q. Bill Pack was a CPA?
- 13 A. Uh-huh. So it's -- but I think its
- 14 relationship -- you want me to keep that. Okay.
- 15 Do you have a copy of that?
- 16 MR. SNUFFER: I have one.
- 17 THE WITNESS: Okay.
- 18 Q. BY MS. HEALY-GALLAGHER: So, Mr. Johnson,
- 19 how did you first come to learn about depreciation and
- 20 a solar energy tax credit?
- 21 A. Well, I've been in business since --
- 22 well, I've been in business my whole life.
- 23 Q. Was it before or after you met Bill Pack?
- 24 A. Before I met my first wife.
- 25 Q. Okay. So when did you start letting

e 241 Page 243

1 people know that if they bought a lens there might be

- O seems to be a stite associated with 140
- 2 some tax benefits associated with it?
- 3 A. I don't know. When I first sold the
- 4 first unit, I guess, 2007, or whatever it was. I'm 5 not positive.
- 6 Q. Did you ever use the Cloward & Sorenson
- 7 letter?
- 8 A. No, I didn't personally use it at all.
- 9 Q. I'm going to show you what's been marked
- 10 as Plaintiff's Exhibit 22.
- 11 A. Okay.
- 12 Q. Just take a look at that and let me know
- 13 when you are ready.
- 14 A. Yeah, I know what this is. Again, it's
- 15 -- this has nothing to do with me. Fact is -- and I
- 16 don't -- I don't remember who was involved in getting
- 17 this information, but we were using Hansen Barnett &
- 18 Maxwell as our public accounting first for our public
- 19 corporation, and they give this out without my --
- 20 without my information -- without me even knowing
- 21 about it, and charged me for it.
- 22 Q. Who gave it out?
  - A. Hansen Barnett did.
- 24 Q. Do you know who solicited this letter?
- 25 A. I don't remember. But -- but I know one

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- 1 thing, is that the person that got the letter put that
- 2 out to someone and it got back to Hansen Barnett, and
- 3 our relationship, it was -- got heated and they
- 4 terminated their -- and stopped doing my accounting,
- 5 and I was pretty upset about the whole thing.
- 6 Q. So did you know that this letter existed 7 before --
- 8 A. No.
- 9 Q. -- HB&M terminated you?
- 10 A. No, I didn't know anything about it until
- 11 they -- they told me about it. And I said you're the
- 12 one, I said, I've never seen it.
- 13 Q. Would it surprise you to know that Greg
- 14 Shepard had the text of this letter?
- 15 A. No, it wouldn't surprise me what Greg...
- 16 Q. Would it surprise you to know that Roger
- 17 Freeborn had the text of this letter?
- 18 A. It probably does, but I didn't give it to
- 19 him, so I don't know how they got it.
  - Q. So you didn't give anybody this document?
- 21 A. I never had it. It came to me later from
- 22 them when they terminated their relationships with me
- 23 under a very heated experience. They were very upset
- 24 and I didn't know anything about it.
- 25 So why would they be giving information

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- 1 out to someone else that wasn't even involved with the
- 2 company? It was their fault, not mine.
- 3 But I, you know, I think the material's
- 4 accurate.
- 5 Q. So, Mr. Johnson, you don't remember --
- 6 you don't remember having had a meeting with HB&M and
- 7 Bill Pack?
- 8 A. Well, it could have been Bill Pack on
- 9 this one too, I don't know. Like I said, I wasn't --
- 10 I wasn't involved with it. I know I never met with
- 11 them together on this and I never asked them to give
- 12 it to me.
- 13 MS. HEALY-GALLAGHER: This will be our
- 14 next, please.
- 15 (Exhibit 513 was marked for identification.)
- 16 Q. BY MS. HEALY-GALLAGHER: Showing you
- 17 what's been marked as Plaintiff's Exhibit 513. Take a
- 18 look at that, please, and let me know when you're
- 19 ready to answer questions.
- 20 A. Okay. I -- whatever you ask me, go
- 21 ahead.
- 22 Q. All right. Mr. Johnson, Plaintiff's
- 23 Exhibit 513 is marked with Bates Nos. Ra3008930.
- 24 Do you recognize the handwriting on this
- 25 document?

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- 1 A. Oh, yeah, that's my writing on it.
- 2 Q. That's your handwriting?
- 3 A. Oh, yeah, because I can't read it. Yeah,
- 4 that's my handwriting.
- 5 Q. Do you have any idea when you took these
- 6 notes?
- 7 A. No. I got notes scattered everywhere.
- 8 Q. Do you see that 9,000 at the top of the
- 9 page? I'm looking at it sideways, yeah.
- 10 A. You're looking at where?
- 11 Q. 9,000.
- 12 A. Okay, yeah, I got it.
- 13 Q. Does that ring a bell? Was there a time
- 14 that you were selling systems for \$9,000?
- 15 A. Oh, yeah, I think so.
- 16 Q. So would these -- could these notes have
- 17 been generated around that time?
- 18 A. It was probably before then, yeah. It
- 19 was just something I put together in my mind, put it
- 20 on a piece of paper to look and see how it would fall
- 21 together.
- 22 Q. Okay. And you had notes --
- 23 A. It was just a reference.
- 24 Q. You have notes here about the IRS and
- 25 active income.

- 1 Do you see that?
- 2 A. Active income, okay. All right. It says
- 3 you -- there's a business and risk and there has to be
- 4 risk and there has to be -- okay. So, yeah.
- 5 Q. Okay. So these are your notes?
  - A. Yeah. But I don't know why -- you know,
- 7 I just put together some ideas and thoughts down on
- 8 paper, take a better look at it.
  - Q. Mr. Johnson, did there come a time when
- 10 you -- okay. Let's see.
- 11 I'm sorry. Am I remembering your
- 12 testimony correctly that the first time you would have
- 13 told customers about tax benefits like depreciation
- 14 and the solar energy tax credit is when you first
- 15 started selling the lenses?
- 16 A. Yes, I think so.
- 17 Q. Okay. And when was that? When did you
- 18 first start selling the lens?
- 19 A. It was right around 2000. I don't
- 20 remember exactly, but it was right around 2000.
- 21 Q. And were you the only person selling
- 22 lenses at that time?
- 23 A. I didn't personally sell any lenses. I
- 24 just -- I just told some people that we were giving
- 25 commissions. We were -- we would give out commissions

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- 1 to people to -- to buy into, you know, the product.
- 2 Q. And so then did -- were you -- I'm trying
- 3 to understand how it worked.
- 4 So did you advise the sales people if
- 5 there were potential tax benefits?
- 6 A. I showed them, yeah. I said this is what
- 7 I understand to be the tax laws, and this is what
- 8 we've discovered, this is what we -- we were told, you
- 9 know, and this is the best information I have.
- 10 Q. And then they -- they went out and sold
- 11 the lenses; right?
- 12 A. Yeah.
- 13 Q. Okay. Did there come a time, sir, when
- 14 you talked to Todd Anderson about the tax benefits of
- 15 selling a lens?
- 16 A. Yes, uh-huh. It wasn't Todd Anderson.
- 17 It's his wife.

20

- 18 Q. Okay.
- 19 A. She's the tax attorney.
  - Q. About when was that?
- 21 A. I don't know exactly. Have you got a
- 22 date on there? I -- should have a date.
- 23 MS. HEALY-GALLAGHER: So make this the
- 24 next, 514, it looks like.
- 25 Handing you what's been marked -- oh,

Page 249 Page 251 1 wait a minute. Can I take back the exhibit number? 1 of 23. 2 2 Α. Okay. THE WITNESS: Yeah. MS. HEALY-GALLAGHER: I'd actually like 3 Q. This last page of Plaintiff's Exhibit 23 3 4 is from Todd Anderson; correct? 4 to make this Plaintiff's Exhibit 23A, if we could make 5 That's what it says. Α. 5 a new exhibit label. And it says that it's to Neldon@IAUS.com (Exhibit 23A was marked for identification.) 6 Q. 6 7 and GlendaEJohnson@Hotmail.com. 7 Q. BY MS. HEALY-GALLAGHER: Handing you what's been marked Plaintiff's Exhibit 23A. 8 Do you see that? 9 Yes. 9 Do you recognize Plaintiff's 23A? Α. Well, I don't know that I -- you know, I 10 Q. And it says it was sent Monday, 10 11 recognize it, but it's -- I know that -- I know the 11 11-15-2010. Do you see that? 12 Anderson group. 12 13 Q. Well, take a look at that, and for the 13 Α. Riaht. 14 record I'll say this is marked as Ra3008255. 14 Okay. And then let's take a look back at 15 A. Yeah, this is something that they would 15 Plaintiff's Exhibit 23A, which bears the date 16 November 9, 2010. 16 have generated, I'm sure. And I'm also going to show you what's 17 Do you see that? 17 Okay. 18 already been marked as Plaintiff's Exhibit 23. Α. 18 19 Q. Okay. So, Mr. Johnson, you just 19 Α. Okay. Okay. They are different documents. 20 testified that you had never seen --20 Q. Plaintiff's Exhibit 23A has a date at the 21 I had never seen it. 21 22 top of November 9, 2010. 22 Q. -- the letter in Plaintiff's 23 and 23 23A --23 Do you see that? 24 Yes. And I never saw this until they 24 Α. No, I haven't. 25 -- until 2017? 25 picked up all the -- all the day -- all the product Q. Page 250 Page 252 1 papers from Anderson was the first time that I was Α. Right. 1 What -- let's back it up and find out 2 ever made aware of this. It was never -- this was 2 3 what did you talk about with Ms. Anderson when you 3 never given to me. Q. I'm not sure what you're talking about. 4 first approached her about anything to do with tax 4 5 MRS. JOHNSON: This is the same. 5 benefits to do with the lens? 6 THE WITNESS: This letter, I never seen 6 THE WITNESS: Have you given up that 7 -- I had never seen prior to the time that the 7 privilege, then? previous attorneys were given this information to MR. SNUFFER: If you're talking about the 8 their -- my attorneys in -- in 2017. 9 lenses with Anderson, yeah, we've waived that MS. HEALY-GALLAGHER: Okay. Let's slow 10 privilege. 10 11 down for a second. We'll unpack that a little bit, I 11 THE WITNESS: So we're okay? 12 promise. 12 MR. SNUFFER: Yeah, we're okay. 13 13 THE WITNESS: So --THE WITNESS: Okay. BY MS. HEALY-GALLAGHER: So let's take a MR. SNUFFER: The waiver goes to the 14 14 15 look at Plaintiff's Exhibit 23A, which is the other 15 discussion about the lenses, the tax effect on the 16 one. All right? 16 lenses, the depreciation and the tax credit. So if 17 you talked about gambling on BYU/Utah football games, 17 A. Right. And that's Bates numbered US001654 18 that would still be privileged. 18 Q. 19 through 1658. 19 THE WITNESS: All right, well, I won't 20 talk about that gambling procedure. Α. Okav. 20 21 Do you see that? All right. 21 When I talked to them, they approached

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23

24

25

22 me. We -- we had Todd Anderson as an attorney for --

Doing other things, okay.

Q. BY MS. HEALY-GALLAGHER: Other things?

And I told him what I was doing, and he

Let's take a look at the last page of 23A

23. 23, I'm sorry. Yep, 23. Last page

23 -- I'm sorry. I'm sorry. Of 23.

A. Of 23?

Q.

22

24

25

- 1 says my wife is a tax -- tax attorney and could help
- 2 you out with the -- with -- with understanding these
- 3 tax codes and could write a letter if you're willing4 to pay for it.
- 5 And I says, yeah, that would be great.
- 6 And so we went into it and -- and we got
- 7 talking about different things and -- and she's the --
- 8 she got all these codes out and was telling me about
- 9 the laws, and she wrote them down.
- 10 I said, well, okay, write them down and
- 11 give me a tax opinion letter and I'll pay you for
- 12 them.
- 13 She did. I got it. I said, fine, is
- 14 this -- is this complete?
- 15 And she said yes.
- 16 And she actually sent another letter, I
- 17 think, besides this one on -- on the -- on the
- 18 information. I believe it was more -- more than this
- 19 somewhere.
- 20 But anyway, that's how the thing got
- 21 started. It wasn't -- I didn't go to -- I didn't even
- 22 know they were -- she was a tax -- that she had a tax
- 23 license, an attorney.
- Q. Which -- what facts did you give her
- 25 about RaPower-3 or IAS?

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- 1 A. I gave her all the contracts. I gave her
- 2 everything. She even came out and saw the lenses and
- 3 everything. She saw everything. I didn't hold
- 4 anything back.
- 5 Q. And was that in late 2010?
- 6 A. Yeah. That's when we started doing it,
- 7 yeah. I don't know when she started. It was probably
- 8 earlier than that. But it was -- I'm just saying is
- 9 that's how the thing got started, and she was -- she's
- 10 the one who solicited the business, you know, and I
- 11 thought it was great. I didn't have a problem with
- 12 it.
- 13 Q. And then, sir, you just testified that
- 14 she gave it to you and you looked at it and said it
- 15 was great. So --
- 16 A. Well, this isn't all what she gave, I
- 17 don't think. I think there's a whole package thing
- 18 and we discussed several issues on it.
- 19 We -- we discussed all the tax laws and
- 20 how the -- how she felt about them, what the
- 21 ramifications were, and the laws and how they were --
- 22 what -- how she felt like they could be applied.
- 23 I mean, I -- I mean, I -- I like to get
- 24 the full information when I'm -- you know, when we're
- 25 discussing an issue. But my wife was -- was with me

1 when we did it. So...

- 2 Q. How many times did you meet with
- 3 Ms. Anderson before you got a copy of this letter?
- 4 A. Oh, several times. Yeah, it wasn't just
- 5 one time. It was over a period of several months.
- 6 Q. How many times did she come out to visit 7 the site before you received a copy of the letter?
- 8 A. I don't know. I couldn't tell you.
  - Q. Did you have any idea or understanding
- 10 that the letter was a draft rather than a finished 11 product?
- 12 A. Oh, no. In fact, she sent me a letter
- 13 stating the fact it was a finished product, that I
- 14 could use it.
- 15 Q. Do you have that letter in your
- 16 possession?
- 17 A. You guys should have it. I don't have
- 18 it.

9

- 19 Q. If we don't, will you produce it?
- 20 A. Well, I'll -- I'll get it. It's got to
- 21 be in the stuff. But she gave -- she gave a finished
- 22 product out there and said I could use it. In fact, I
- 23 think she wrote on it "RaPower," didn't see?
- 24 MRS. JOHNSON: I don't know.
- 25 THE WITNESS: I don't either. I can't

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1 remember.

- Q. BY MS. HEALY-GALLAGHER: If you don't know, you don't know.
- 4 Do you -- did you give the Anderson
- 5 letter to anyone?
- 6 A. Well, I showed it to -- to -- to Greg and
- 7 my kids, but I didn't put it out to anybody, no.
- 8 Q. Why did you show it to Mr. Shepard?
- 9 A. Just to show that the -- how to -- the
- 10 laws were -- were accurate, that what we were doing
- 11 was, as far as I could tell, were accurate and the
- 12 things that we were doing were within -- within the
- 13 statutes that the government had provided.
  - Q. Did you ever show it to Roger Freeborn?
- 15 A. Maybe. I -- Greg maybe probably did. I
- 16 wasn't that close to Roger.
- 17 Q. Did you give Greg Shepard a copy of the
- 18 Anderson letter?

14

- 19 A. I must have done, because he had it. I
- 20 didn't know he had it, but he put it on the website
- 21 without -- I think -- but I never saw it. I never
- 22 noticed it was on until somebody raided my house and
- 23 told me it was there. I didn't know it was there
- 24 until the IRS came in and was aggravated over it.
- 25 Q. Did you ever subsequently hear from

- 1 either Ms. Anderson or Mr. Anderson about the letter?
- 2 A. No, I didn't. I have never heard from
- 3 them.
- 4 Q. They never asked you to stop using it?
- 5 No. No, they did not. Α.
- 6 Q. Show you what's been marked Plaintiff's
- 7 Exhibit 480. The document is Bates numbered
- Anderson\_Todd-00024 through 26.
- 9 This is the first time I've ever seen
- 10 this letter, actually. I haven't even seen it in --
- 11 in the documents that came up with Todd Anderson's 12 stuff.
- 13 Q. Slow down. Slow down for a second.
- 14 So this letter appears to be from Tate W.
- 15 Bennett, Esquire, and your name is one of the
- 16 addressees, along with RaPower-3, LLC.
- 17 Do you see that?
- Well, I see it, but I've never seen the 18 Α.
- 19 letter.

9

- 20 Q. Sure. So it's --
- Who was this given out by? 21
- MR. SNUFFER: It's not dated. 22
- THE WITNESS: Where's the date on it? 23
- MR. SNUFFER: It's not. 24
- BY MS. HEALY-GALLAGHER: If you take a 25

Yes, there is, uh-huh. 4 Q. -- with respect to the solar lenses?

5 Yes?

3

2 McConkie --

Α.

6 Actually, they asked me. I didn't ask Α.

7 them.

8 Q. What's your recollection of that?

9 Α. What they did is this company -- the

10 company out of the -- that we're doing business with

1 come a time when you consulted with a law firm Kirton

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- 11 is Solstice, wanted to get involved with this project.
- 12 And I says, you're -- you're -- that's way too much
- 13 money and it's beyond my -- my capacity if I make a
- 14 mistake to recover from.
- 15 And I said I won't do business with you
- 16 unless you get a tax opinion letter stating that what
- 17 you're doing is viable and legal from the response of
- 18 a tax lawyer that would be somebody that I would
- 19 recognize as in a company large enough that would have
- 20 enough insurance that would take care of any losses
- 21 that you might incur.
- 22 And they -- they chose Kirton & McConkie,
- 23 I didn't. And they invited me up and they went over
- 24 the project, and they're the ones who wrote the letter
- 25 that was written for the other company.

- 1 look -- take a look at the last page, the second to
- 2 last paragraph where it says, "We request that you 3 respond to this demand on" --
- July 10th of 2013. 4 Α.
- 5 Q. Excuse me, sir. Let me just finish what 6 I said.
- 7 "We request that you respond to this
- demand on or before the close of 8
  - business on July 10, 2013."
- Did I read that correctly? 10
- Right. All they're going to do is get 11
- 12 their ass sued, so that's fine. I'm glad I see that.
- So you've never --13 Q.
- 14 Α. Oh, my gosh.
- We've got to slow down for the court 15 Q. 16 reporter.
- No, that's fine. 17 Α.
- Okay. You've -- what did I make this? 18 Q.
- So, Mr. Johnson, your testimony is that 19
- 20 the first time you have seen Plaintiff's Exhibit 480 21 is today?
- 22 Yeah, I would have sued him before I even22 business with me. Α.
- 23 got here if I saw it earlier. Yeah, we'll see about 24 that.
  - Q. All right. Mr. Johnson, did there ever

1 They then asked me if I would like to

- 2 have this for myself, and I said I would. And then I
- 3 went up and we had -- they gave us a copy, we paid the 4 same amount as they paid for the copy. And they told
- 5 me at that time, they says, if you have anybody else
- 6 that would like to do business with you, that we will
- 7 provide them with the same letter, same cost.
- 8 So there was a company out in -- in
- 9 California that called me and says we would like to do
- 10 the same thing. Somehow they got the information, and
- 11 I don't know how they got it, maybe it was from a
- 12 salesperson.
- 13 Anyway, they said we'd like to do the
- 14 same thing.
- And I says, okay, well, I -- you can do 15
- 16 the same thing. Go through Kirton -- Kirton &
- 17 McConkie.
- So they called Kirton & McConkie up to do 18
- 19 business with me. Kirton & McConkie then responded
- 20 that they, in a very derogatory tone and voice and
- 21 statement, said that they were no longer doing
- 23 I'm going to stop you there, because we
- 24 will get there, okay. I'm going to stop you there
- 25 because we got to get through some documents for

Johnson, Neldon

25

Case 2:15-cv-00828-DN-EJF Document 256-14 Filed 11/17/17 Page 66 of 109 Page 261 Page 263 1 the -- for the rest of today. 1 have. 2 Well, I just want to tie it together so 2 Q. Showing you, sir, what's been marked 3 you have it all at once. 3 Plaintiff's Exhibit 355. 4 Q. I appreciate that. 4 Really, Mr. Johnson, what I'm curious 5 I'm going to show you what's been marked 5 about are the Pages KM84 through 90. Would you take a 6 as Plaintiff's Exhibit 356. Actually, before I ask 6 look at those, please, and let me know when you're you about that document, that's my mistake for giving 7 ready to answer questions. 8 it to you. 8 Α. Okay. 9 Do you recognize the name Jason Clement? 9 Q. It's -- it's hard to see, but up at the 10 No, I don't. But I wouldn't remember it 10 top left-hand side of the page there's a faint version 11 anyway, so it wouldn't matter. Oh, yeah, I know 11 of what looks like the XSun logo. Jason. Yeah, okay, yeah. 12 12 Do you see that? 13 Q. Okay. Who is Jason Clement? 13 Α. Yeah, but I'm not familiar with it. 14 Α. He was a salesperson. 14 Q. Okay. Do you know who wrote this 15 Q. For whom? 15 document? I think for Solstice. 16 Α. 16 A. I don't. Don't -- if you don't know, don't --17 Q. Q. Did you write it? 17 18 18 A. No.

Mrs. Johnson is not testifying today. Okay. All right. Α. 19

20 Q. So was Mr. Clement in sales for XSun Energy? 21

22 Α. I believe he was. I believe he was. So Mr. Clement was, I'm guessing, an 23 Q.

independent sales rep for XSun Energy. Was that the

25 relationship?

Page 262 1 Yeah, I think so, but I'm not positive. A. 2 Would you take a look, please, the entire document is Bates numbered KM00057 through 82. 3 Actually, is Mr. Clement, is he still a 4 5 sales rep for XSun Energy? A. Yes -- no -- well, yeah, I guess he is. 6 7 I haven't fired him, so I don't know.

8 Q. Has he made sales recently? Α. No.

9

10 Q. When's the last time he made sales?

11 Α. I guess with this company that he's close 12 to.

Is Mr. Clement the one who brought in 13 14 that big East Coast company through SOLCOI? 15 Α. Yes, I think so.

So he's also a rep for SOLCOI? 16 Q.

17 He is, yeah, he is. I think. Not in the

18 written agreement, but I think he is.

19 Are the contracts attached to the e-mail 20 in Plaintiff's Exhibit 356, are those sample contracts

21 that XSun Energy would have used around this time?

A. I don't know. I'm not familiar with 22 23 these. I'm just looking at them.

Well --24 Q.

25

I guess I signed them, but -- so I must

24 Can I take a break?

22 he -- what he did on that.

25 MS. HEALY-GALLAGHER: Absolutely. We can

Was Mr. Clement authorized to make

No, I don't think so. I don't know what

I've got to go use the restroom, though.

1 take five minutes.

Q.

19

21

23

7

2 (There was a break taken.)

20 statements like this on behalf of XSun Energy?

3 MS. HEALY-GALLAGHER: We'll go back on.

4 All right. I've been handing you, sir --5 I've handed you what's been marked as Plaintiff's

6 Exhibit 357.

Α. Uh-huh. Q. 8 Take a look at that, please, and let me

know when you're ready. And the attachments as well.

10 Α. Okay.

11 Q. All right. In the e-mail that starts off

12 Plaintiff's Exhibit 357, the second paragraph,

13 Mr. Clement says:

14 "These are the same drawings that 15 were submitted to the Feds for the

16 1603 grant program that they were

17 approved for."

Do you see that? 18

19 Α. Uh-huh.

20 Q. Couple questions: Number 1, how did

21 Mr. Clement get these technical drawings that he

22 attached to Kirton & McConkie?

23 He probably asked them, and I gave them

24 to him, yeah. There was nothing wrong with that.

25 Then my next question is: What's your

Page 264

Page 265 1 recollection of the 1603 grant program that he's

- 2 talking about here?
- 3 Well, the 1603 grant program was given
- 4 out by Obama in place of the 30 percent tax credits.
- 5 And you know what, sorry. Let me ask a
- slightly different question. 6
- A. 7 Okay.
- 8 When, if at all, did any entity that
- 9 we've talked about today apply for the 1603 grant
- 10 program?
- 11 Α. I don't remember the dates, but I know
- 12 that they were applied for with Dave Nelson as the
- 13 attorney acting in behalf of the company, and they
- were all -- they were all given to us.
- Q. I'm sorry. Did you say you were approved 15
- 16 for the 1603 grant program?
- Yes, we were. 17 Α.
- 18 Q. Do you have any documentation reflecting
- 19 that?
- 20 Α. I don't know. Dave -- Dave Nelson would
- 21 have it. I don't have any.
- 22 So then how do you know you were approved Q.
- 23 for that?
- 24 Α. Well, he told me. He said it was
- 25 approved.

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- Who told you? 1 Q.
- 2 Dave Nelson. So it was just something
- 3 that we got and we got back and we approved it.
- Q. So what happened after you were approved 4
- 5 for the grant? Did you get federal grant money?
- 6 A. No. We decided we didn't want to do it
- 7 that way. So we felt like that we would do it some
- 8 other way, and so we didn't. But we were approved.
- 9 We weren't rejected in any fashion that I did -- that
- 10 I know of.
- 11 Q. How -- okay.
- 12 So your only source for knowledge that
- 13 you were approved for the 1603 grant program was a
- 14 statement from David Nelson?
- 15 A. Yeah. It -- in letter format I think
- 16 that he showed me. He said that they were approved.
- 17 There was a lot of money. Actually, \$500 million, I
- 18 think.
- 19 And you decided to say no thank you?
- 20 Well, we just -- I want to do some
- 21 different things again, and so it wasn't -- it
- 22 wouldn't fit in what I was doing, so we didn't do it.
- 23 We could have done it, but we didn't.
- 24 Would have taken away from what I was doing in another
- 25 way, and I didn't want to jeopardize what I'd already

1 done.

6

9

- 2 Q. If you have that letter from David
- 3 Nelson, will you produce it?
- 4 Yeah, fine. We can ask him about it.
- Get it to him, I guess.
  - Q. I'll show you what's been marked as
- 7 Plaintiff's Exhibit 514.
- 8 (Exhibit 514 was marked for identification.)
  - BY MS. HEALY-GALLAGHER: Take a look at
- 10 that and let me know when you're ready to answer
- 11 questions.
- 12 Α. Okay.
- 13 Q. Is this the statement that you recall
- 14 from Mr. Nelson?
- 15 Α. No, this isn't it. This was just they
- 16 wanted more information, I think.
- 17 Okay. So you have a different statement
- 18 from Mr. Nelson that you were -- you or an entity that
- 19 you're in charge of was approved for a 1603 grant?
- 20 Yeah, I -- I -- this could be the letter,
- 21 I don't know. But I know they gave me something, you
- 22 know, that if we -- if we completed it that they would
- 23 probably -- they gave it to us.
- 24 So is your recollection now that you were
- 25 not approved for the grant?

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- No, no, as far as I -- we weren't 1 A. 2 approved for the grant until -- until after we
- 3 produced the product.
- 4 Q. Okay. Because he says in this e-mail --
- 5 A. But that's what --
- 6 Q. Hang on.
- 7 A. We were approved to start the project.
- 8 He says in this e-mail: Q.
  - "It looks like this is the
- 10 confirmation until we get the project
- 11 in service."
- 12 Do you see that?
- 13 A. Right.

9

20

- Right. Did you ever -- did you ever get 14 Q.
- 15 the project in service?
- 16 No, we never even -- we never went beyond
- 17 just putting out the -- the -- the metal structures.
- 18 We didn't put any lenses in place.
- 19 Q. Okay. So in fact --
  - Α. We were building the structure out.
- 21 In fact, there was no approval for a 1603
- 22 grant to you or any entity that you control?
- 23 Well, no, there was -- it was approved Α.
- 24 that we could do it.
- 25 No. sir. That's not what that e-mail

5

7

15

18

23

17

Page 269 1 Do you see that? 1 says. That's not what that e-mail says.

- 2
- Well, you can read it the way you want
- and I'll read it the way I want. 3
- 4 Q. Okav.
- Α. 5 I interpreted it meant that if I did the
- 6 job, that they would evaluate it and they would give
- me the credit.
- If you have any other statement from 8
- 9 Mr. Nelson on that topic, please produce it to the
- 10 United States.
- 11 Α. Okay. But, yeah, that's -- I don't know
- 12 that this is the letter, but he gave me something. So
- as far as I was concerned, he told me if I produced
- 14 the product, I would get it, so there you go.
- 15 Of course, I'm not a legal mind, so I
- 16 read it from the standpoint what the words meant to me
- 17 and my terms, you know.
- Mr. Johnson, I'm showing you what's been 18
- marked Plaintiff's Exhibit 362. Please take a look at
- 20 that and let me know when you have been able to review 20
- 21 it.
- 22 Okay. All right. Α.
- 23 Q. This is an e-mail from Ken Birrell to
- Jason@OrangeInsure.com.
- 25 Do you see that?

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- It was to who? 1 A.
- 2 Jason@OrangeInsure.com. Q.
- 3 Okay. Yeah. Α.
- 4 Q. Yeah. Mr. Birrell writes -- well,
- 5 actually, let me just ask you this: Did Jason Clement
- ever send this e-mail and its attachment to you? 6
- He may have done, but I haven't seen this 7
- e-mail before. I've seen -- I've seen the letter, but
- I haven't seen the -- whatever it is. I mean, I've
- got -- I've got this part of it. 10
- 11 Q. The memorandum?
- 12 Α. Yeah, but I don't think I ever got this
- 13 front page.
- All right. We're going to take a look --14 Q.
- But I don't know, I could have gotten it. 15 Α.
- Q. We're going to take a look at Plaintiff's 16
- 17 Exhibit 367.
- Α. Okay. 18
- Please take a look at that and let me 19 Q.
- know when you've had the chance to review. 20
- 21 Α. As far as I know, I've never seen this
- 22 particular information.
- 23 Well, let's take a look at the bottom of
- 24 the first page of Plaintiff's Exhibit 367. That's an
- 25 e-mail from Glenda Johnson.

- The e-mail to Glenda Johnson? Α.
- 3 Q. No. It's from Glenda Johnson, at the
- 4 bottom of the page.
  - Is that what -- the first page? Α.
- 6 Q. Yes.
  - Α. Okav.
- 8 Q. Do you see that? There's an e-mail from
- Glenda Johnson at the bottom of the page. 9
- 10 So you're saying that Glenda wrote this?
- That's what it looks like to me. It says 11 Q.
- 12 from Glenda Johnson.
- Do you see that? Let me show you. Right 13
- 14 here (indicating).
  - Okay. And so Page 10 of the --Α.
- 16 Q. No, no. Just hang on.
- 17 Α.
  - In the body of the e-mail it's addressed Q.
- 19 to Ken Birrell. Do you see that? Says Ken Birrell:
- "There are two corrections that need
- 21 to be fixed with the tax letter."
- 22 Do you see that?
  - Do you see that, sir?
- See what? I'm sorry. 24 Α.
- 25 Take a look, please, right here. Ken Q.
  - Page 272

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- 1 Birrell, Number 1:
  - 2 "There are two corrections that need
  - 3 to be fixed with the tax letter."
  - 4 Did I read that correctly?
  - 5 A. Yeah, right. Okay.
  - 6 Yeah. Okay. Q.
  - 7 So -- so Ken Birrell wrote this. Α.
  - 8 Q. Sir, let me ask the questions, please.
  - 9 At the bottom of her e-mail, Mrs. Johnson
  - 10 apparently writes:
  - 11 "Thanks, Neldon and Glenda Johnson."
- 12 Did I read that correctly?
- Α. 13 Yes, uh-huh.
- 14 Q. Any reason to think that Mrs. Johnson
- 15 would have written to Ken Birrell without you having
- 16 reviewed the memorandum?
  - Α. Well, I'm not -- what -- I don't even
- 18 know what the corrections are. Was she correcting a
- 19 spelling? Is that what you're saying?
- Sir, why -- why would your wife, 20
- 21 Mrs. Glenda Johnson, who does not make decisions for
- 22 International Automated Systems or any other company
- 23 that you've identified today, be writing to Ken
- 24 Birrell without your approval and go ahead?
- Well, I doubt that she did. But I'm 25

- 1 saying --
- 2 Q. Okay. So you -- you asked her, sir, to
- 3 write an e-mail to Ken Birrell; right?
- 4 A. Well, I don't know that I did or didn't,
- 5 but what I'm just asking you --
- 6 Q. Why would she have done it on her own, 7 sir?
- 8 A. Because she can spell and I can't. I
- 9 wouldn't even known what -- know that it was spelled
- 10 wrong. So there's two spelling places in there that 11 she corrected.
- TT SHE CONTESTED.
- 12 Q. So is it your testimony that you had not
- 13 read the Kirton & McConkie memorandum?
- 14 A. I would have read it, but I wouldn't have
- 15 known it was misspelled.
- 16 Q. But you read it?
- 17 A. I can't spell anyway.
- 18 Q. You read it by January 2013?
- 19 A. The Kirton & McConkie letter?
- 20 Q. Yes.
- 21 A. Oh, yeah, I read it when they gave it
- 22 out.
- 23 Q. When did they give it out?
- 24 A. To the -- the first -- the first company,
- 25 it was given out in 2000 -- what did they say, 2000 --

- 1 that to be on my file before I would -- would
  - 2 consummate the deal. That wasn't written for me. It
  - 3 was written for the other company. Then we bought it

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Page 276

- 4 after they got it done.
- 5 Q. Okay. But you read it at least as of
- 6 2010 or 2011?
- 7 A. Right. That's what I'm saying.
- 8 Q. Okay.
- 9 A. That's when they did it, yeah, but it
- 10 wasn't to me. It wasn't for me at that time. It was
- 11 for -- it was for the other company. And then I
- 12 bought the thing -- later they asked me if I would
- 13 want it, and I said yeah.
- 14 They -- they charged me a certain amount
- 15 for it, and they said I could use -- if I had any
- 16 other clients that would like to do that and use it,
- 17 that they would be happy to do business with them.
- 18 And I said fine, I got a whole bunch.
- 19 And not the little ones. I wasn't doing
- 20 this for little -- the little ones. This was for the
- 21 big companies. I wasn't -- I never put this out to
- 22 little people.
- 23 There's a reason why I wouldn't do that.
- 24 And that's -- I've asked -- I never authorized it.
- 25 Never told anybody to do it. It was used for only --

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- 1 it was before the -- it was 2010 or 2011. It was
- 2 right around that same period of time.
- 3 Q. You recall Kirton & McConkie giving out a
- 4 memorandum in 2010 or 2011?
- 5 A. Yeah. Yeah, it was that early. It was
- 6 when we signed the contracts on -- on the other 7 project.
- 8 Q. So let's take a look at the factual
- 9 background in this memorandum.
- 10 A. All right.
- 11 Q. Do you have any idea where Kirton &
- 12 McConkie got the information and the factual
- 13 background?
- 14 A. I assume he would have looked it up.
- 15 Q. Do you know where they got it?
- 16 A. No, I wouldn't know. I wouldn't have --
- 17 I wasn't involved in it. They weren't -- I wasn't
- 18 even their client when they wrote it.
- 19 Q. But, sir, on Page KM214, this memorandum
- 20 is to SOLCOI, LLC, attention Neldon Johnson.
- 21 A. Right, but it was -- it was because I
- 22 wouldn't do the deal without it. It wasn't -- it
- 23 wasn't written for SOLCOI. It was written for the
- 24 company that was going to do business with the
- 25 company, and they would have -- they -- I required

- 1 only sales or that -- that I couldn't handle.
  - 2 Q. Oh, I'm sorry. Let's mark that 515.
  - 3 (Exhibit 515 was marked for identification.)
  - 4 Q. BY MS. HEALY-GALLAGHER: Please take a
  - 5 look at what's been marked as Plaintiff's 515. Take a
  - 6 look and let me know when you're ready to answer 7 questions.
  - 8 For the record, while you look, the
  - 9 document is marked with Bates No. Hamblin\_R&C-00171
  - 10 through 172.
  - 11 Do you recognize this document, sir?
  - 12 A. No. I'm just -- I -- you know, I'm -- it
  - 13 -- it probably is accurate, but I -- I'm not --
  - 14 Q. So Plaintiff's 515 is a Memorandum of
  - 15 Understanding between RaPower-3 and Roger Hamblin.
  - 16 Do you see that at the top of the first
  - 17 page?
  - 18 Do you see that, sir?
  - 19 A. Oh, yeah, I see it.
  - Q. And your signature is on the second page
  - 21 under "Seller"?
  - 22 A. Right.
  - 23 Q. Is that correct?
  - 24 A. Correct.
  - 25 Q. Okay.

- A. 1 But -- okay. I know where this came from 2 now.
- 3 Q. Where did it come from?
- 4 Α. Roger Hamblin is a close friend of mine,
- and he got involved with this and he wanted -- he
- wanted something to -- for -- for -- for his files.
- 7 Q. Okay.
- And so I gave it to him. But this isn't 8
- -- this isn't a generally circulated letter.
- 10 Q. Okay.
- 11 It was just for people that understood.
  - He's a businessman and been in business a
- 13 whole long time and understands, you know, how to read 13 -- these -- this was developed for only clients that
- 14 the laws and how to -- I mean, he had his own people
- 15 evaluate it.

12

- 16 Q. All right.
- 17 A. And so that's why I gave it to him.
- Okay. I'm going to ask you to take a 18
- look at what's been marked Plaintiff's Exhibit 370. 19
- 20 For the record, that's KM274 through 322.
- 21 Α. Okay.
- 22 Do you recognize this document?
- Yes, uh-huh. He showed it to me. 23 Α.
- 24 Q. I'm sorry?
- 25 I think Greg showed it to me. I'm not

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- positive, but I think he showed it to me.
- 2 Q. Okay.
- 3 A. Whether I actually read it, I don't know.
- 4 Q. When do you think Greg showed it to you?
- 5 Α. I think he showed it to me shortly after 6 he got it, I don't know.
- 7 Q. So in or around January 2014?
- Could have been. Could have been right 8
- around that time, but I -- I don't remember the date.
- 10 I don't remember looking at it. I don't know.
- I didn't even authorize him to go up and 11
- 12 talk to him, so when he got through talking to him he
- 13 brought this -- I think he gave it to me and I looked
- 14 at it and I says fine.
- 15 So what, if anything -- what, if
- 16 anything, did you do after Mr. Shepard showed you this
- 17 letter in around 2014?
- I probably just glanced over like I'm 18
- 19 doing now. Probably says, well, what do I care.
- Did you change anything about what you 20
- 21 advised people about tax benefits to purchasing a
- 22 lens?
- 23 A. No, I didn't. I didn't see anything -- I
- 24 didn't see anything in there that was changed, so I
- 25 don't know. If he made a change in there, I never

1 read it. I never looked at it. I looked at it, but I

- 2 never really read it. So did he make a change in
- 3 there?
- 4 Q. So you didn't make any changes based on
- 5 the contents of Mr. Birrell's letter?
- A. I didn't think we were using this letter
- 7 for -- at the time I didn't think anything of it,
- 8 because I wasn't using it as a -- as a letter anyway.
- 9 as a -- as a sales tool. I wouldn't do that. I
- 10 wouldn't use this kind of stuff.
- 11 There's a reason why I wouldn't, but --
- 12 but I don't do that kind of -- I wouldn't -- this was
- 14 would be on my ability to -- to overcome an issue.
- 15 Otherwise I would not -- I would never have, you know,
- 16 got in a relationship with an individual with a letter
- 17 that's worth more than what they were putting in
- 18 anyway. I mean, it's silly to think that I would.
- 19 Mr. Johnson, did you share the Q. 20 information about depreciation and tax benefits with
- 21 Greg Shepard?
- 22 Α. Yes. I did.
- Yeah. And did you do that over time? 23 Q.
- 24 Like, when did you talk to him about that stuff?
- 25 Well, I think I just -- we went over it

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- 1 when I first started it, and I think that's about --
- 2 about the gist of it.
- But I told everybody, I says, we're not 3
- 4 tax -- I'm not a tax expert in this field. This is --
- 5 this is the things that I've discovered. This is the
- 6 things I understand. But I'm not a tax expert and so
- 7 I require that before anybody purchase anything, that
- 8 they take it to their CPA and they -- and the CPA then
- 9 becomes familiar with the laws and they offer their
- 10 opinion to a customer.
- I do not give out tax opinions and tax 11
- 12 information for the purpose of creating a sale or
- 13 doing anything like that to Greg, to Roger Hamblin, or
- 14 to anybody else. Nor have I ever done that, nor would
- 15 I.
- 16 But the reason why I didn't send this
- 17 letter out, okay, you want to know the reason why I
- 18 didn't? If you don't, I won't tell you. You'll have
- 19 to ask.
- 20 MS. HEALY-GALLAGHER: Next exhibit,
- 21 please.
- 22 (Exhibit 516 was marked for identification.)
- 23 BY MS. HEALY-GALLAGHER: Handing you
- 24 what's been marked Plaintiff's Exhibit 516. Take a
- 25 look at that.

Page 281 Q. 1 For the record, this is Jameson 08134 1

- 2 through 8143.
- 3 Α. Okay.
- 4 Q. And really I just want to ask you a
- couple of questions. Do you -- let's see.
- This is an e-mail from Roger Hamblin; 6
- 7 correct?
- 8 Α. Okav.
- 9 Q. Do you see that?
- 10 This letter here? Α.
- 11 Q. Yes.
- Okay. 12 Α.
- 13 Q. Do you see that?
- Roger Hamblin to Glenda Johnson, okay. 14 A.
- 15 And it's to Glenda Johnson. And Q.
- 16 Mr. Hamblin says:
- "Neldon asked that I add some wording 17
- 18 on statutory noncompliance and that
- we believe they have just 19
- 20 jurisdiction."
- Did I read that correctly? 21
- 22 Yeah, I guess, but I don't know what it Α.
- 23 means.
- 24 Q. Any reason that Roger Hamblin would have
- been claiming that you asked him to add some

- Okay. We can move on.
  - 2 I know that I hired an attorney for him
  - 3 and -- and so he would have been represented by -- by
  - 4 Paul Jones, who's an attorney. There was no reason
  - 5 for him to believe that I'm an attorney when I would
  - 6 have hired somebody else.
  - 7 And Paul Jones -- Paul -- you hired Paul
  - Jones to represent customers in audit before the IRS:
  - 9 correct?

12

23

- 10 Α. Yeah.
- 11 Q. Actually, I take that back.
  - To represent them in tax court; right?
- 13 Α. Well, whatever. I just hired the -- I'm
- 14 not -- I wasn't aware of how the tax court or how the
- 15 tax program worked, and I would not have been a good
- 16 person to talk to about it because I would not have
- 17 understood it. I'd never been audited myself, so I --
- 18 so I hired an attorney that was a tax attorney --
- 19 Q. Right.
- 20 Α. -- to handle those -- to handle that
- 21 information.
- 22 And you're paying his fees? Q.
  - Α. Yeah. So I wouldn't give out -- I
- 24 wouldn't give out legal opinions, nor would I give out
- 25 tax advice.

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- 1 information on statutory noncompliance and IRS
- 2 jurisdiction?
- 3 Α. I don't know, unless -- I've never read
- 4 it, but let's see what it says. I'm guite interested
- 5 in it.
- 6 We were wondering if we could combine
- 7 Roger Christian Hamblin and our partnerships.
- Q. Sir, I'm just asking if you know why he 8
- might have said something like that?
- Well, I -- I won't know until I read it 10
- 11 and tell what he says. Do you want me to read it out
- 12 loud or just read it to myself?
- 13 Q. Just to yourself.
- 14 Α. It's not as interesting to me that way.
- Mr. Johnson, that section is only to the 15 Q.
- 16 end of the -- the first page of the attachment.
- 17 So -- so what -- what is this? What does A.
- 18 this mean?
- 19 Q. Well, sir, I was asking you if you had
- 20 any reason to know why Roger Hamblin would have said 20
- that, and if you don't know, then the answer's "I
- 22 don't know."
- 23 Well, I might know if you tell me what it Α.
- 24 means. I'm not sure what it means or what it doesn't
- 25 mean.

Page 284 You are paying for Don Reay to represent

Page 283

- 1 Q. Greg Shepard and Roger Freeborn; correct? 2
- Correct, yeah. Want me to tell you how I 3
- 4 feel about it?
- 5 Q. And Matt Shepard as well?
- 6 Do you want me to tell you how I feel Α.
- about it? 7

9

- 8 Q. No.
  - Matt Shepard as well?
- 10 Α. Yeah.
- 11 Q. And Richard Jameson?
- 12 Α. I don't know Richard Jameson, but maybe.
- 13 Yeah, probably.
- Oh, I'm sorry. That's not Mr. Reay, I 14
- 15 don't think. I withdraw that question.
- 16 What was your first notice that the IRS
- was disallowing deductions and credits? 17
- I think when the -- when they were going 18
- 19 to put me in jail for 50 years, they said.
  - So would that have been summer 2012?
- 21 I imagine. I didn't even know they were
- 22 upset before then, so I don't know.
- 23 Did you tell the sales people to stop
- 24 letting people know about depreciation and tax credits
- 25 after that happened?

- A. No. 1
- 2 Q. Why not?
- 3 Because I wasn't a tax person. I don't
- give out tax advice. I just said, you know, you got a
- 5 CPA, you talk to them. I don't give out -- I don't
- give it out either way.
- 7 If I would have gave it out one way then
- 8 they'd get me for that, so I just wouldn't do it. I
- 9 don't do it. Never have done it. I say this is my
- 10 opinion, this is the way I understand it, find out for
- 11 yourself. I'm not a tax person. I do not give out
- 12 tax advice to you or anybody else.
- 13 So what, if anything, did you do, meaning
- 14 did you change your conduct in any way?
- No, I did not, because I didn't think I 15
- was guilty. And they -- and they came back and said I
- wasn't guilty. They said I was okay. 17
- Q. So what, if anything, did you do in terms 18
- of how, if at all, did you change your conduct --19
- 20 Α. Didn't.
- Q. -- after --21
- 22 Sorry. Α.
- -- after the complaint for injunction was 23 Q.
- filed in this case?
- Nothing. I didn't think I was guilty 25

- 1 before and I didn't think I was guilty now.
- And, Mr. Johnson, you've said before that 2
- you believe the IRS has exonerated you? 3
- 4 Α. Well, they gave me my tax credit.
- 5 So that's what I want to understand. Who
- 6 -- how did you arrive at that understanding?
- 7 Α. Paul Jones.
- Paul Jones? 8 Q.
- 9 Uh-huh.
- 10 Q. What did Paul Jones tell you?
- He told me that they -- they gave me my 11 Α.
- 12 tax credits.
- 13 And when you say they gave you your tax
- credits, you mean the IRS? 14
- The IRS. 15 Α.
- And what do you mean when you say the IRS 16
- gave you your tax credits? 17
- Just that they allowed them. Α. 18
- 19 Q. For what tax year?
- Well, the tax year they weren't doing the 20
- 21 audit. So...
- Q. When did Paul Jones tell you that? 22
- When they -- when they did it. I don't 23 Α.
- remember the date. 24
- 25 Was it last year? Q.

Page 285 Whenever they got through and give it to

2 me. I'm not sure -- I'm sure you got the records, but 3 I don't.

- 4 Q. Well, sir, I'm trying to understand what 5 your recollection is.
- 6 Was it ten years ago?
  - Okay. No, it wasn't ten years. No. It
- was -- it was last year.
  - Q. It was within the last year?
- 10 Α. But I don't know when. I don't remember
- 11 when.

7

9

- And did Paul Jones simply say that the 12 Q.
- 13 IRS allowed your tax credits?
- 14 Α. No. He showed me.
- 15 Q. Did he say the IRS has exonerated you?
- 16 Is that what he said?
- 17 Well, no, he didn't say that. I
- 18 interpreted it to mean that. That's my -- probably my
- 19 words, not his. But he -- but I felt like that that's
- 20 -- that is, if you allowed them, then I would assume
- 21 that exonerate would mean the same thing as allowed,
- 22 but maybe I'm wrong.
- 23 Do you have anything in writing from the
- 24 IRS to that effect?
- 25 Yeah, they -- they -- the letter that

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- 1 Paul Jones has, and I'm sure they sent me one, but I 2 never read it.
- Q. 3 Have you produced that letter to the
- 4 United States?
- 5 No. I assume Paul Jones, if you ask him,
- would do that. I assumed you had it. 6
- Well, I'm going to ask you to produce 7
- that letter to the United States.
- Fine, I'll ask Paul Jones to give it to
- 10 me. I probably had it, but I probably threw it away.
- 11 I figure that's what I pay my attorney to do is read
- 12 those things, not me.
- 13 MRS. JOHNSON: Are you going to have a
- 14 list of everything that I need to give to you?
- MS. HEALY-GALLAGHER: I'm going to talk 15
- 16 with Mr. Snuffer after the deposition.
- 17 MRS. JOHNSON: You make sure.
- 18 MR. SNUFFER: Right. I haven't commented
- 19 on any of this.
- 20 BY MS. HEALY-GALLAGHER: Mr. Johnson,
- 21 have you ever, other than any situation with the IRS
- 22 in 2012, have you ever been arrested?
- 23 Α. Yeah, I was arrested.
- Q. Have you ever been convicted of any 24
- 25 crime?

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1 A. No.	1 MS. HEALY-GALLAGHER: All right. At this
2 Q. When were you arrested?	2 time I have no further questions.
3 A. In my divorce is happened and and	3 MR. SNUFFER: And I don't have any
4 my wife went over and was living with my son-in-law	4 questions.
5 and his daughter, and I I had bought the house for	5 THE WITNESS: Thank you for making it as
6 them, and and my wife had tied up my funds, and I	6 pleasant as possible. 7 MS. HEALY-GALLAGHER: All right.
7 didn't know why.  8 The courts had issued a funds on	<ul> <li>7 MS. HEALY-GALLAGHER: All right.</li> <li>8 THE WITNESS: I hope we didn't I</li> </ul>
9 all my funds that I was providing for the company, and	9 didn't offend you and make you feel like you weren't
10 I wasn't able to pay the bills. And she knew about	10 welcome in Utah.
11 them. She was doing the books at that time.	11 MS. HEALY-GALLAGHER: We're off the
12 And I went over to ask her what was going	12 record.
13 on. And she said that she was divorcing me. And I	13 (There was a discussion held off the record.)
14 says, I thought you said you weren't going to do that,	14 MR. SNUFFER: I think I'm going to need a
15 divorce me, you were just mad for a couple of days and	15 copy of this.
16 was going to come back.	16 (The deposition was concluded at 5:19 p.m.)
17 She didn't think it was very funny	17 * * *
18 either. So and her son-in-law came down the stairs	18
19 and started yelling at me and asked me to leave. And	19
20 just as he asked me to leave, he shoved me, and my	20
21 foot caught the the doorstop, and the door was open	21
22 and I was going to fall out.	22
23 And so I reached back to grab an arm, and	23
24 he pulled me in and hit me. And then we got in a	24
25 little bit of a fight and and I just held him so he	25
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1 wouldn't hurt me or him. And he's bigger than I. He	1 Case: USA v. RaPower-3, et al.
2 was a police officer.	2 Case No.: 2:15-cv-00828-DN-EJF
3 Q. So, sir, were you arrested in connection	3 Date: June 28, 2017
4 with a domestic dispute?	4 Reporter: Vickie Larsen, CSR/RMR
5 A. Yeah. So he	5
6 Q. Okay.	6 WITNESS CERTIFICATE
7 A so he he said, you know, that I	7
8 started it, but I didn't. And so they let it go	8
9 because he started it.	9
10 Q. Do you have any other arrests?	10
11 A. No, I don't have anything, that I know	11 NELDON JOHNSON
12 of.	12
13 Q. Okay.	13 SUBSCRIBED and SWORN to before me on thisday or
14 A. Other than traffic tickets.	14, 2017, by NELDON JOHNSON.
15 Q. Are there any answers to any of my	
16 questions that you wish to change or amplify at this	16 Notary Public
17 time?	17 Notary Public
18 A. No, I don't have anything.	18
19 MS. HEALY-GALLAGHER: Okay. We'd request	19
20 that he read and sign.	20
21 MR. SNUFFER: Yeah.	21
22 THE WITNESS: Do I do what?	22
23 MR. SNUFFER: Read and sign the	23
24 deposition transcript when it's done.	24
THE WITNESS: Oh, yeah, that's fine.	25

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1	Reporter's Certificate
2	
3	State of Utah )
4	County of Salt Lake)
5	
6	I, Vickie Larsen, Certified Shorthand
7	Reporter and Registered Merit Reporter, in the State of
8	Utah, do hereby certify:
9	THAT the foregoing proceedings were taken
10	before me at the time and place set forth herein; that
	the witness was duly sworn to tell the truth, the whole
12	truth, and nothing but the truth; and that the
13	proceedings were taken down by me in shorthand and
14	thereafter transcribed into typewriting under my
15	direction and supervision;
16	THAT the foregoing pages contain a true
	and correct transcription of my said shorthand notes so
	taken.
19	IN WITNESS WHEREOF, I have subscribed my
	name this 10th day of July, 2017.
21	
22	V(, 1), 1
23	Vickie Larsen, CSR/RMR
24	
25	

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